

TSN Requirement Report - ALL "ACTIVE" COMMITTEE REQUIREMENT

| Requirement # | GIF | TSN Requirement Description | Submission date | Status | Release (s) | Comments |
|---------------|-----|---|-----------------|------------------|---|---|
| ACT-002 | 968 | The Trade must have the capability to access all data pertaining to their account, both administrative and transaction. (Portal requirement) | 8/1/2001 | Accepted in Part | 2, 3, 4, A1, A2.3, A2.4, A3, M1, M2.1, M2.3, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>AUGUST 18, 2009-THIS REQUIREMENT (CSPO-GIF-968) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF COVERS ACT-002, ACT-002.1 FOR ALL FUTURE RELEASES.</p> <p>062909: Existing functionality, if carried through to M1 and future releases, will meet this trade requirement.</p> <p>Status 3/25/08 - ad hoc reports capabilities are scheduled for A2.1 Additional data will also be made available in A2.1</p> <p>Status 4/11/2007: In scope for implementation.</p> <p>All ACS transadctional data will not be available with Account Creation (Release 2) and Periodic Payment (Release 3). Additional data will be available in the future Data availability will expand as ACE becomes the system of record. Initial transactional data will be updated nightly. Compliance data will be updated monthly. Access privileges to account data are controlled by the Account Owner. Ad hoc report generating capabilities will not be provided because of concerns with both band width and systems performance. Periodic Payment (Release 3) will provide additional capabilities to the trade in terms of being able to select specified fields for reports. Capability to run 60 plus reports will be provided in Periodic Payment (Release 3). Cross over with other business processes. Trade will have access to the transaction data in ACE that is available in ABI today</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |

Owner: Monica Crockett

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|----------------------|------------|--|------------------------|------------------|---|---|
| ACT-002.1 | 968 | The Trade must have the capability to access all data pertaining to their account, both administrative and transaction. A. Access must be "immediate, real time." (Portal requirement) | 8/1/2001 | Accepted in Part | 2, 3, 4, A1, A2.3, A2.4, A3, M1, M2.1, M2.3, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>AUGUST 18, 2009-THIS REQUIREMENT (CSPO-GIF-968) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF COVERS ACT-002, ACT-002.1 FOR ALL FUTURE RELEASES.</p> <p>062909: Existing functionality, if carried through to M1 and future releases, will meet this trade requirement.</p> <p>Update: 3/25/08 - Some data like release notifications will be real time. All other data will have mostly a 24 hour delay. Status 4/11/2007: In scope for implementation.</p> <p>Cross over issue with multiple business processes. Transaction data is real time. If the data is coming from the business warehouse then there will be a lag time. Trade will have access to the transactin data in ACE that they have access to in ABI.</p> <p><i>Owner:</i> Monica Crockett</p> |
| ACT-002.2 | 971 | B. Access to account data includes access to other accounts that have been linked hierarchically and/or across corporate structure | 8/1/2001 | Accepted in Part | 2, 3, 4, A1,A2, A3 | <p>AUGUST 18, 2009-THIS REQUIREMENT (CSPO-GIF-971) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF COVERS ACT-002.2, ACT-002.3, ACT-003.3, FOR ALL FUTURE RELEASES.</p> <p>Update 3/25/08 : Implemented in A1 thru cross account access</p> <p>Status 4/11/2007: In scope for implementation.</p> <p>Tied to cross account access which will be delivered in A1.</p> <p><i>Owner:</i> Monica Crockett</p> |
| ACT-002.3 | 971 | C. Access by the trade to account information must be prohibited unless the account-owner grants access. | 8/1/2001 | Accepted in Part | 2, 3, 4, A1, A2, A3 | <p>AUGUST 18, 2009-THIS REQUIREMENT (CSPO-GIF-971) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF ALSO COVERS ACT-002.2, ACT-002.3, ACT-003.3 FOR ALL FUTURE RELEASES.</p> <p>Status 4/11/2007: In scope for implementation.</p> <p>Cross account access - Role based access privileges will remain as they are today. The Trade Account Owner or proxy controls access to the portal.</p> <p><i>Owner:</i> Monica Crockett</p> |

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|----------------------|------------|--|------------------------|------------------|---------------------|---|
| ACT-003 | 969 | The Trade must have the capability to maintain all account data created/ submitted by their users. (Portal requirement) | 10/1/2001 | Accepted in Part | 2, 3, 4, A1, A2, A3 | <p>AUGUST 18, 2009-THIS REQUIREMENT (CSPO-GIF-969) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF ALSO COVERS ACT-003, ACT-003.1 ACT-007.11 FOR ALL FUTURE RELEASES.</p> <p>Status: 03/25/2008 - ESAR review - this functionality is and will be available for all releases.</p> <p>Status 4/11/2007: In scope for implementation.</p> <p>In Account Creation (Release 2), the Account Owner will be able to maintain their account structure through the portal. Access privileges to update account data are controlled by the Account Owner .</p> <p>In Account Creation (Release 2), the Account Owner will be able to create and update account relationships across the account structure.</p> <p>Merging of accounts will be handled in Periodic Payment (Release 3) and will be a collaborative effort with the Account Manager.</p> <p><i>Owner:</i> Monica Crockett</p> |
| ACT-003.1 | 969 | A. Maintenance must be "immediate, real-time." | 10/1/2001 | Accepted in Part | 2, 3, 4, A1, A2, A3 | <p>AUGUST 18, 2009-THIS REQUIREMENT (CSPO-GIF-969) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF ALSO COVERS ACT-003, ACT-003.1 ACT-007.11 FOR ALL FUTURE RELEASES.</p> <p>Update: 3/25/08 - Only some data like release notifications will be near real time; all other data will mostly have a 24 hour delay in availability</p> <p>Status 4/11/2007: In scope for implementation.</p> <p>Current design will be maintained.</p> <p><i>Owner:</i> Monica Crockett</p> |

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|----------------------|------------|--|------------------------|------------------|---------------------------------|--|
| ACT-003.3 | 971 | <p>The privilege to maintain account data rests with the Account Owner who can grant maintenance privileges to other users.</p> <p>In addition to granting maintenance privilege to a specific user, the Account Owner can authorize an agent to act on their behalf.</p> <p>ACE must validate transactions to ensure submission by only an authorized user.</p> | 10/1/2001 | Accepted in Part | 2, 3, 4, A1, A2, A3, M1, M2, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>AUGUST 18, 2009-THIS REQUIREMENT (CSPO-GIF-971) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF COVERS ACT-002.2, ACT-002.3, ACT-003.3 FOR ALL FUTURE RELEASES.</p> <p>062909: Existing functionality, if carried through to M1 and future releases, will meet this trade requirement.</p> <p>03/25/2008 - TAO and Proxy has ability in each release to grant maintenance privileges.</p> <p>Status 4/11/2007: In scope for implementation.</p> <p>The Trade Account Owner will continue to assign user roles which will control account access privileges.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| | | | | <i>Owner:</i> | Monica Crockett | |

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|----------------------|------------|--|------------------------|------------------|---|--|
| ACT-004 | 970 | Capability for the Trade to access the list of the compliance data elements and definitions, including how CBP tracks/measures them. | 8/1/2001 | Accepted in Part | 2, 3, 4, A1, A2.3, A2.4, A3, M1, M2.1, M2.3, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>AUGUST 18, 2009-THIS REQUIREMENT (CSPO-GIF-970) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF COVERS ACT-004, ACT-007.5, ACT-007.14, ACT-014.1FOR ALL FUTURE RELEASES.</p> <p>062909: Trade looking for compliance information for ocean/rail manifest.</p> <p>Update: 3/25/08 - Planned for A2.2</p> <p>Status 4/11/07: In scope for implementation.</p> <p>Account Creation (Release 2) provides for aggregated cargo exam compliance reports and entry summary compliance reports with the ability to drill down to the discrepancy details. Periodic Payment (Release 3) will provide further filtering capabilities for the reports and will allow the user to generate a report on one discrepancy flag indicator in addition to the Account Creation (Release 2) report which shows all flags. The ability to review remarks is under review by legal policy.</p> <p>Cross over with multiple business processes. Compliance measurement information as delivered in Release 2 will continue to be provided to brokers and importers with ACE portal accounts. CBP does not provide information on the methodology they use to track company compliance.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| | | | | <i>Owner:</i> | Monica Crockett | |

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|-------------------------------|------------|--|------------------------|------------------|---|---|
| ACT-007 | 972 | Importer Web Portal Requirements including view/list/search capabilities needed, maintenance functions required, query and download capabilities, status notifications and searches and interactive notes. | 6/14/2002 | Accepted in Part | 2, 3, 4, A1, A2.3, A2.4, A3, M1, M2.1, M2.3, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>AUGUST 18, 2009 - THIS REQUIREMENT (CSPO-GIF-972) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO COVERS ACT-007, ACT-007.1, ACT-007.12, ACT-007.8, ACT-007.9</p> <p>Update: 3/25/08 - The Accounts Committee will be preparing GIFs providing more specificity on requests included in ACT 007.</p> <p>3/25/08 - The CSMS has been delivered and meets the Administrative Message capabilities of this requirement.</p> <p>Status 4/11/07: Several GIFs will be prepared to address various capabilities requested in this requirement; including viewing Admin Messages via the portal and reports. GIF 216 submitted covering overall communications.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p>Initial functionality is provided in Account Creation (Release 2). Additional functionality will be provided in subsequent releases. Some points in this requirement are subject to CBP policy considerations. This requirement will be review ed point by point with further input provided to the Accounts Committee.</p> <p>This requirement covers multiple business process owners.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |
| ACT-007.1 | 972 | The importer account portal should contain the following additional detail: b. List/search capability for all Protests/Petitions/PEAs/SILs filed and status | 6/14/2002 | Pending | A2.3, A2.4, A3 | <p>AUGUST 18, 2009 - THIS REQUIREMENT (CSPO-GIF-972) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO COVERS ACT-007, ACT-007.1, ACT-007.12, ACT-007.8, ACT-007.9</p> <p>3/25/08 - Post Summary Corrections (replacing PEAS) is planned for A2.3. Protests will be in A2.4</p> <p>8/9/07 - Will be implemented in late A2 or A3. Will require a GIF</p> <p>Display capability will be available. Searches will be by entry number. 1/17/07 Post Summary Corrections will replace SILs and PEAs with A2.</p> |
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|----------------------|------------|--|------------------------|------------------|-------------------------|--|
| ACT-007.11 | 969 | Maintenance of Importer Account information | 6/14/2002 | Accepted in Part | 2, 3, 4, A1, A2.2, A2.3 | <p>AUGUST 18, 2009-THIS REQUIREMENT (CSPO-GIF-969) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF ALSO COVERS ACT-003, ACT-003.1 ACT-007.11 FOR ALL FUTURE RELEASES.</p> <p>Status: 03/25/08 - Functionality delivered and ongoing since Release 2</p> <p>Status 4/11/07: In scope for implementation</p> <p><i>Owner:</i> Monica Crockett</p> |
| ACT-007.12 | 972 | <p>Reports needed:</p> <ol style="list-style-type: none"> 1. Detail of Refund checks issued 2.ACE filers need the ability to query the Account Profile system for bond information 3. Check the status of a quota from the web portal | 6/14/2002 | Accepted in Part | A1, A2.3, A2.4, A3 | <p>AUGUST 18, 2009 - THIS REQUIREMENT (CSPO-GIF-972) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO COVERS ACT-007, ACT-007.1, ACT-007.12, ACT-007.8, ACT-007.9</p> <p>update: 3/25/08 - Refund checks planned for A 2.3; Bond query requested planned for A2.3; and checking the status on a quota is also planned for A2.3</p> <p>7/25/07: GIF requirement for item 2 will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update: 6/18/2007</p> <ol style="list-style-type: none"> 1. Detail of refund checks issued: Valarie Neuhart needs to review refund detail on how CBP will implement 2. ACE filers need the ability to query the accoujnt profile system for bond information : CBP plans to accept this requirement pending legal policy review. Phyllis Henry will verify if a separate GIF is required. If so a GIF will be prepared to provide the filer ability to query ACE for bond information. 3. Check the status of a quota from the portal: This is a post release requirement - Valarie Neuhart needs to review and determine how CBP will implement. <p>As this time there are no plans to make quota status available via the web portal.</p> <p><i>Owner:</i> Monica Crockett</p> |

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|----------------------|------------|---|------------------------|------------------|---|---|
| ACT-007.14 | 970 | View compliance measurements by port and nationwide by EIN for rejections, exam errors, CF 28s, CF29s, liquidation changes, disclosures, late filings, etc. | 6/14/2002 | Accepted in Part | 2,3,4, A1, A2.3, A2.4, A3, M1, M2.1, M2.3, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>AUGUST 18, 2009-THIS REQUIREMENT (CSPO-GIF-970) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF COVERS ACT-004, ACT-007.5, ACT-007.14, ACT-014.1 FOR ALL FUTURE RELEASES.</p> <p>Update: 3/25/08 - Needs more requirement specificity for CBP to address. The Accounts Committee will be preparing GIFs as needed to clarify this request.</p> <p>Status 4/11/07: Not all complete. Operational functions belong to V. Neuhart. Compliance functions belong to K. Bridgford. Exam errors belong to K. Santos. May need a GIF. Future discussions will be held and CBP/AST will prepare a GIF, if required, for committee review.</p> <p>1/26/07 - Accepted in part as some of the requested data is currently available.</p> <p>Transaction data will be available but maybe not in the 'score card' format that the requirement is requesting.</p> <p>Cross over with multiple business processes.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Monica Crockett</p> |
| ACT-007.4 | 977 | The importer account portal should contain the following additional detail: h. ii. See a flag on each line where the HTS was changed in liquidation | 6/14/2002 | Pending | A2.3, A2.4, A3 | <p>AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -977) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF COVERS ACT-023, ACT-007.4</p> <p>Updated: 3/25/08 - This functionality should come when liquidation is delivered in A2.4</p> <p>8/9/07 - This refers to liquidation information. CBP will provide an ABI liquidation query and the information can be obtained in a report download.</p> <p>This functionality is not currently planned.</p> <p><i>Owner:</i> Monica Crockett</p> |

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| ACT-007.5 | 970 | The importer account portal should contain the following additional detail: j. Exam Status section | 6/14/2002 | Accepted in Part | 4, M1, M2, M2.3, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>AUGUST 18, 2009-THIS REQUIREMENT (CSPO-GIF-970) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF COVERS ACT-004, ACT-007.5, ACT-007.14, ACT-014.1 FOR ALL FUTURE RELEASES.</p> <p>1/26/07 - R4 provided the capability to identify that an exam took place on an entry.</p> <p>(Being reviewed by CCR team June 2006-regular updates will be provided)</p> <p>Confirm what is being delivered for the trade to know the exam status of a shipment.</p> <p><i>Owner:</i> Monica Crockett</p> |
| ACT-007.7 | 842 | Add USC Administrative messages List /search open CF 28/29s by importer and filer List/search closed CF 28/29s by importer and filer | 6/14/2002 | Accepted in Part | A2.3, A2.4, A3 | <p>AUGUST 28, 2009 - Fro importers this functionality was ACCEPTED and delivered in A2.2 and will be completed in A2.3.1a. For Brokers this functionality is included in CSPO-GIF-842-ACT-801-A2.3-BROKER ACCESS TO CBP FORMS 28, 29, & 4647 VIA THE PORTAL OR EDI.</p> <p>Update 4/20/2009 - A2.2 delevered a CBPF 28, 29, 4647 status report. Account committee members will be evaluating whether report meets needs. Portal also provides a search capability for importers.</p> <p>Update: 3/25/08 - Administrative messages delivered with CSMS. List/search open CF 28s 29s is planned for A2.2</p> <p>4/3/2007 - CR 1896 will deliver a listServ approach to receiving administrative messages for all parties.</p> <p>GIF 217 has been prepared covering the request to receive CBP Administrative Messages via the ACE portal. Presented to CBP on 2/16/2006.</p> <p>1/17/07 A CR has been created for the administrative messages.</p> <p>Administrative messages - current plans are to continue to provide Admin Messages thru ABI. An enterprise communications tool has not been selected.</p> <p>ACE is not tracking open and closed 28s and 29s. The trade will be able to search an entry individually to determine if a 28 or 29 has been issued.</p> <p><i>Owner:</i> Monica Crockett</p> |

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|----------------------|------------|---|------------------------|---------------|--------------------|--|
| ACT-007.8 | 972 | List open increased Duty Bills/Sanction | 6/14/2002 | Pending | A2.4 | <p>AUGUST 18, 2009 - THIS REQUIREMENT (CSPO-GIF-972) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO COVERS ACT-007, ACT-007.1, ACT-007.12, ACT-007.8, ACT-007.9</p> <p>Update: 3/25/08 - Planned for A2.4</p> <p>Status 4/11/07 - Should be owned by V. Neuhart or P. Henry. Need to discuss further.</p> <p><i>Owner:</i> Monica Crockett</p> |
| ACT-007.9 | 972 | List open outstanding Duty Bills (all duty bills that do not have a paid status) (portal requirement) | 6/14/2002 | Pending | A2.3, A2.4, A3 | <p>AUGUST 18, 2009 - THIS REQUIREMENT (CSPO-GIF-972) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO COVERS ACT-007, ACT-007.1, ACT-007.12, ACT-007.8, ACT-007.9</p> <p>Update: 03/25/08 - Rev GIF 669 submitted covering request 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update : 6/18/2007: Does the trade want a query (pull) or a push capability. CBP can provide a query capability. If the trade wants a pull a separate GIF will be required</p> <p><i>Owner:</i> Monica Crockett</p> |
| ACT-009.10 | 985 | The surety account shall have the ability to query/view protest data by importer and entry number or bill number with the ability to file a protest through the portal. It shall also provide the ability to view/query/petitions and offers in compromise by importer and entry number or case number, with the ability to file a petition or offer in compromise through the portal. (Portal requirement) | 6/14/2002 | Pending | A2.4, A3 | <p>AUGUST 28, 2009 - THIS REQUIREMENT IS COVERED IN CSPO-GIF-985-ACT-009.9-A2.4-ACCESS TO CLAIM INFORMATION.</p> <p>Update: 3/25/08 - Need to determine if a GIF was filed providing more specifics by the Revenue Committee. Protests are planned for A2.4</p> <p>8/9/07 - Ability to file a protest via the Portal is not in scope for ACE. May require legal policy determination on access to the data. Need more specific information on what data the surety wants to query. Will be discussed at the October 2007 Revenue workshop.</p> <p>Revised 9/23/04.</p> <p>This point includes two recommendations to be tracked.</p> <p>Query/view functionality will be available. There are no plans at this time to allow protests to be filed via the portal.</p> <p><i>Owner:</i> Monica Crockett</p> |

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| ACT-009.12 | 959 | The surety account shall continue to be provided the ability to receive regular, periodic downloads of its continuous bonds, and entries (and related courtesy notices), in-bond movements, increased/supplemental duty bills, and FP&F cases arising from activities secured by its bonds. (Download requirement) | 6/14/2002 | Accepted in Part | A1, A2.4, A3 | FEBRUARY 8, 2011 - DETERMINED TO BE NOT IN SCOPE FOR CARGO RELEASE. AUGUST 28, 2009 - THIS REQUIREMENT IS INCLUDED IN CSPO-GIF=959-ACT-828-A2.3-EXTRACTS TO SURETIES ASI DATA DOWNLOAD. Update: 3/25/08 - Further investigation needed to determine if GIF was filed or still being prepared. 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Update 6/18/2007: This is a surety download issue that requires further review by the ESAR A1 and A2 teams. This is part of the data download issue and needs to be discussed further to determine if an additional GIF is required. Requirement revised 9/23/04. No FP&F data. Surety download in A1 will be thru ABI. |
| | | <i>Owner:</i> Monica Crockett | | | | |
| ACT-009.13 | 985 | The surety account shall have the ability to export data from entry, bill, and FP&F portal reports in order to manipulate for output or internal processing. Would be similar to the currently available entry summary and importer/bond queries under ABI. (Download requirement) | 6/14/2002 | Pending | A2.4, A3 | AUGUST 28, 2009 - THIS REQUIREMENT IS INCLUDED IN CSPO-GIF-985-ACT-009.9-A2.4-ACCESS TO CLAIM INFORMATION. 03/25/08 - A GIF will be prepared by the Surety Subcommittee to address this request 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Update 6/18/2007: Same status as ACT-009.12 Requirement revised 9/23/04. No FP&F data. The reporting tool for ESAR is still under investigation. |
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| ACT-009.16 | 959 | The surety account shall have the ability to view, query and download information from the "Extract Reference File" as currently identified in ABI, or its equivalent under ACE. The surety account requests the ability to query any code in the extract reference file, such as but not limited to claims and status codes. (Also referenced in Rev-008.) (Portal & download requirement) | 6/14/2002 | Accepted in Part | A1, A2.4, A3 | AUGUST 28, 2009 - THIS REQUIREMENT IS INCLUDED IN CSPO-GIF=959-ACT-828-A2.3-EXTRACTS TO SURETIES ASI DATA DOWNLOAD. Update: 3/25/08 - A2.4 if not earlier 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Update 6/18/2007: Same status as ACT-009.12 Requirement revised 9/23/04 ABI filers can download reference data. CBP is currently reviewing whether all reference data will be available on the portal. |
| | | | | <i>Owner:</i> | Monica Crockett | |
| ACT-009.18 | 985 | All claims should indicate how a claim was resolved (petitioned, protested or paid) and by whom (i.e. importer or surety, or set-off). (Portal & download requirement) | 9/23/2004 | Pending | A2.4, A3 | AUGUST 28, 2009 - THIS REQUIREMENT IS INCLUDED IN CSPO-GIF-985-ACT-009.9-A2.4-ACCESS TO CLAIM INFORMATION Update: 3/25/08 - A2.3 - Surety subcommittee will be preparing a GIF to cover specifics of the request /25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Update 6/18/2007: Same status as ACT-009.12 Requirement revised 9/23/04 |
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| ACT-009.19 | 986 | Access to the periodic monthly statement reports. This should include the ability to view at the continuous bond number level, importer ID level and Filer code level. (Portal requirement) | 9/23/2004 | Pending | A2.4, A3 | AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -986) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Update: 3/25/08 - A2.3 pending legal review and approval by CBP. 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. 6/18/2007: Same status as ACT-009.12 Requirement revised 9/23/04. Need bond number on receivable. Reporting tool for ESAR still under investigation. |
| <i>Owner:</i> Monica Crockett | | | | | | |
| ACT-009.20 | 986 | Access to the A/R reports. This should include the ability to view at the continuous bond number level as well as the importer ID level. (question: is the bond number currently available?? It could be at the CBP view level and not at the trade's view level. (Portal requirement) | 9/23/2004 | Pending | A2.4 | AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -986) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Update: 3/25/08 - A2.3 if CBP legal agrees that sureties can see this information. 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Update 6/18/2007: Same status as ACT-009.12 Requirement revised 9/23/04. Need bond number on receivable. |
| <i>Owner:</i> Monica Crockett | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|-------------------------------|------------|--|------------------------|---------------|--------------------|---|
| ACT-009.21 | 987 | Include any default as an instant alert (i.e., periodic statement.) Allow each surety to filter output based on default type and status on a per portal user basis. (Portal requirement) | 9/23/2004 | Pending | A2.4 | AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -987) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Update: 3/25/08 - A2.3 if GIF was created at October workshop. Need to verify with Surety Subcommittee and Revenue Committee 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Update 6/18/2007: Same status as ACT-009.12 Requirement revised 9/23/04 Under review. |
| <i>Owner:</i> Monica Crockett | | | | | | |
| ACT-009.22 | 988 | The portal should have the capability for a surety to set up payment to CBP through it. It should allow a surety to identify an item which will be paid in full, mitigated amount paid, or if an offer in compromise is being paid. The access to this part shall be controlled by the surety/account owner. (Portal requirement) | 9/23/2004 | Pending | A2.4 | AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -988) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Update: 3/25/08 - A2.4 - tied to Payment tab GIF 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Update 6/18/2007: Same status as ACT-009.12 Requirement revised 9/23/04 |
| <i>Owner:</i> Monica Crockett | | | | | | |
| ACT-009.23 | 989 | The surety and CBP should have the ability to track payment by bond. (i.e.: CBP should be aware when a surety has paid its full limit of liability and the bond can no longer be called upon). (Portal requirement) | 9/23/2004 | Pending | A2.4 | AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -989) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Update: 3/25/08 - A2.4 at the latest 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Update 6/18/2007: Same status as ACT-009.12 Requirement revised 09/23/04 |
| <i>Owner:</i> Monica Crockett | | | | | | |

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| ACT-009.24 | 959 | The surety download shall provide information at various times: daily (entry data), weekly (FPF data), monthly (CTB data), and quarterly (re-fresh of entry data). It shall also be able to download up to 15 gigabytes of information to any surety. (Download requirement) | 9/23/2004 | Pending | A2.4, A3 | AUGUST 28, 2009 - THIS REQUIREMENT IS INCLUDED IN CSPO-GIF-959-ACT-828-A2.3-EXTRACTS TO SURETIES ASI DATA DOWNLOAD. Update: 3/25/08 - A2.4 at the latest 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Requirement revised 09/23/04. For A1, the surety download will remain thru ABI. |
| <i>Owner:</i> Monica Crockett | | | | | | |
| ACT-009.3 | 982 | The surety account shall include the ability to view all continuous and single transaction bonds and all types of riders on file including their effective and termination dates, with look up by importer identification number when you are the surety, or importer name with wild card. The surety should able have the ability to view history of bonds (i.e., name change, address change, etc.) (Portal & download requirement) | 6/14/2002 | Pending CBP L/P Input | A1, A2.4 | SEPTEMBER 16, 2011 - This requirement is pending CBP L/P input for cargo release. It is part of the electronic single transaction bond process. MAY 3, 2011 - This GIF was determined to be partially in scope for cargo release - CBP will provide an ABI Query. The GIF is still listed in "Review" status in Dimensions. JANUARY 7, 2011 - Cargo Release Revalidation comment - This GIF could also be met with GIF 574 and the ability to search and view both continuous and single transaction bonds. The GIF covers bothe the ability to view all STBs in the portal as well as revising the current Customs Bond Report AM 5024 to include STBs. AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -982) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Update: 3/25/08 - Ability to view continuous bond information will be available by A2.1. Single transaction bond will be 2.4 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. Update 6/18/2007: Same status as ACT-009.12 Revised 9./23/04 10/16/05 Need to coordinate with Finance Team. Will any funtionality be included in A1? When will single transaction bonds be included in ACE? 1/17/07 - In A1 the surety will be able to view information on continuous bonds thru the ACE Secure Data Portal. |
| <i>Owner:</i> Monica Crockett | | | | | | |

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|-------------------------------|------------|---|------------------------|-----------------------|--------------------|--|
| ACT-009.6 | 959 | <p>The surety account shall provide the ability for the surety to view/query all entry data, liquidated and unliquidated for all entries for any bond a surety writes.</p> <p>Part II: It is requested that this include line item HTS data, ADD/CVD case number, open CF 28/29 and closed CF 28/29 information and A1A documents available to surety for public access.</p> <p>(Portal & download requirement)</p> | 6/14/2002 | Pending CBP L/P Input | A2.4, A3 | <p>AUGUST 28, 2009 - THIS REQUIREMENT IS INCLUDED IN CSPO-GIF-959-ACT-828-A2.3-EXTRACTS TO SURETIES ASI DATA DOWNLOAD.</p> <p>Update: 3/25/08 - A 2.4 at earliest if CBP legal allows.</p> <p>8/9/07 - Need more information from committee on what data elements are needed. May need legal policy determination on access to data. Will discuss further at the October 2007 Revenue Workshop. Will require a GIF.</p> <p>Revised 9/23/04</p> <p>Trade will be able to query an entry summary to determine if a 28 or 29 was issued.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |
| ACT-009.7 | 983 | <p>SURETY VIEW OF ENTRY SUMMARY DATA - The surety account shall allow the surety to view or query entry data including periodic payment and reconciliation flags secured by any of its bonds by importer identification number.</p> <p>(Portal requirement)</p> | 6/14/2002 | Pending | A2.4, A3 | <p>FEBRUARY 3, 2011 - This GIF was determined to be not in scope for cargo release. The GIF is still listed in "Review" status in Dimensions.</p> <p>JANUARY 7, 2011- This GIF was updated and submitted to CBP Change Control Board (CCB) review as part of the cargo release revalidation effort.</p> <p>AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -983) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Update: 3/25/08 - A2.4 at the earliest if no legal issues.</p> <p>8/9/07 - ACE can provide status field for reconciliation that can be downloaded in a report. Need to confer with Phyllis Henry on periodic payment data. Will discuss at the October 2007 Revenue Workshop. Will need a GIF</p> <p>Revised 9/23/04.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|---|------------------------|---------------|--------------------|--|
| ACT-009.9 | 985 | <p>I. The surety account shall provide the ability for the6/14/2002 surety to view/query, both by importer number or entry number or bill/case number, the Increased Duty/Additional Duty/Supplemental Duty Bill or FPF Case. These claim types include: FPF claims, Increased Duty/Additional Duty/Supplemental Duty Bills, Passenger User Fees, Debit Vouchers/ACH Defaults, Liquidated Damages, and Penalties.</p> <p>II. The surety should be able to view detailed information on each claim type above by status: open, closed, protested, protest decision, petitioned, petition decision, offer in compromise, offer in compromise decision, formal demand on surety, billing cycle statuses, and sanction eligibility. (For purposes of this document any reference to "bill" is considered an increased duty bill, additional duty bill or supplemental duty bill).</p> <p>(Portal requirement)</p> | 6/14/2002 | Pending | A2.4, A3 | <p>AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -985) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Update: 3/25/08 - A2.3</p> <p>/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: Same status as ACT-009.12</p> <p>Revised 9/23/04</p> <p>No FP&F data</p> |

Owner: Monica Crockett

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| ACT-011 | 591 | <p>Broker Quick Views & Reports: In this requirement the brokers are requesting quick views and reports that support their compliance efforts and business needs. Below are a few of the sample views & reports:</p> <p>Quick Views: a. CM Compliance rate b. ABI/ACE reject rate c. Total duties/taxes/fees paid by broker</p> <p>Reports: a. Port reports providing the entered value, entries filed, duties, taxes & fees, etc. b. % broker's share of the entry summaries, value & duties, taxes & fees, etc.</p> | | Accepted in Part | 3, A1, A2.3, A2.4, A3, M2 | <p>May 19, 2009 - THIS REQUIREMENT (GIF-591) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. PREVIOUS GIF 213 IS CLOSED. This requirement was re submitted as GIF 591 which is in review status in the Dimensions data base</p> <p>Update: 3/25/08 - Quickviews A & C delivered with A1. B will be partially delivered in A2.1 Report A delivered with A1. Report B would be 2.3 at the earliest</p> <p>8/9/07 - New GIF no = CSPO-GIF-279 -ACCEPTED - 6/27/07 ECP-CR-1748</p> <p>Initial functionality will be provided in Periodic Payment (Release 3). Additional functionality will be provided in subsequent releases.</p> <p>Reporting tool is still under investigation.</p> <p>A GIF will be prepared addressing the ABI ACE Reject Report and the Port Monitoring Report. GIF 213 was submitted on 2/16/2006. Referred to determine available of data elements for A1.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p>1/17/07 - Under review.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |
| ACT-013 | 842 | <p>CF 28's & 29's: This requirement involves the introduction of the CF 28, 29 and 4647 into the ACE portal. Quite often, brokers are involved in responding to the CBP's requests on CF 28s and 29s for importers. In order to do so in a timely manner, it would be vary advantageous for importers and brokers to be notified through the account portal as soon as CBP creates a CF 28 or 29. An alert message could appear on the Account's home page notifying them of a new item (potentially within the SAL). If so, SAL would also need to display the creation date or due date of the request. Also, the advent of a reminder notice as the CF 28 or 29 due date approaches, would allow importers to be even more compliant.</p> | 6/13/2003 | Pending | A2.3, A2.4 | <p>JANUARY 21, 2009 - THIS REQUIREMENT (CSPO-GIF-842) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Update: 3/25/08 - A2.2 importers only. At the January 2007 meeting with trade brokers requested not to push forms to brokers via the portal. The Broker Account Subcommittee will be preparing a GIF requesting this capability in A2.3</p> <p>8/9/07 - Accepted in part. There will be no alert or reminder notice. Brokers would need to request the information and let CBP know the preferred method of communication.</p> <p>Currently under review. Subject to CBP policy considerations.</p> <p>Notification will be thru ABI or paper.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |

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|----------------------|------------|--|------------------------|------------------|--------------------------------|--|
| ACT-014 | 974 | <p>The trade needs the ability to download entry summary data, and other compliance related results and statistics via the Internet Account portal.</p> <p>A. All entry summary elements that have been transmitted to CBP should be available for download. B. These downloadable elements should include all data and data fields referred to in the CATAIR. C. An authorized user would be able to download entry data for a specific period, for a specific importer or record, and even for a specific filer, SCAC or port of entry. D. Data should be downloadable in a variety of formats such as Adobe Acrobat, MS Excel, Dbase formats, comma delimited, etc. (R3)</p> | 1/30/2004 | Accepted in Part | A1, A2.3, A2.4, A3, M1, M2, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -974) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>: Will there be an extract download capability for carriers with M1?</p> <p>8/9/07 - The data will be available from the authorized data extract and data can be downloaded with the new reporting tool.</p> <p>Concern is band width and systems performance.</p> <p>(072704: Changed to L/P. SM)</p> <p>The data download capability is currently being investigated. Reporting tool is under investigation.</p> <p>A GIF has been prepared covering the request for a bulk data download capability (submitted to CBP 1/9/2006). Approved as part of CR 1687.</p> <p>An authorized data extract capability is scheduled to be provided after the move to the new ACE reporting tool.</p> |
| | | <i>Owner:</i> | Monica Crockett | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|--|------------------------|------------------|---|---|
| ACT-014.1 | 970 | All related compliance data, such as exam results, including Stratified compliance exams, and related commodity specialist (and entry specialist) review notations, should be available to download. | 1/30/2004 | Accepted in Part | A1, A2.3 A2.4, A3, M1, M2, M2.3, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>AUGUST 18, 2009-THIS REQUIREMENT (CSPO-GIF-970) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF COVERS ACT-004, ACT-007.5, ACT-007.14, ACT-014.1 FOR ALL FUTURE RELEASES.</p> <p>062909: Will there be an extract download capability for carriers with M1?</p> <p>Update: 3/25/08 - will be delivered prior to A2.1 via the authorized data extract. The Account Committee is drafting an updated GIF requesting all information be available in one download in lieu of multiple downloads.</p> <p>This is the data download issue and has not been totally resolved yet.</p> <p>This requirement needs further discussion. What exam information will be available routinely and what will be available thru a FOIA request? The data download request is currently being investigated.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p>1/26/07 - Comments/review notations will be available through FOIA requests.</p> |

Owner: Monica Crockett

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|----------------------------------|------------|---|------------------------|------------------|--|---|
| ACT-014.2 | 974 | E. The minimum "maximum time/date parameter" should allow the user to download data for a period covering at least one year. F. The ability to download data on a daily, weekly or monthly basis must be provided. G. If there are limitation parameters on the size of the download, then the requestor needs to be notified. | 1/30/2004 | Accepted in Part | A1, A2.3, A2.4, A3, M1, M2.1, M2.3, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>AUGUST 28, 2009 -THIS REQUIREMENT IS COVERED IN CSPO-GIF-974-ACT-014-ACCESS TO BULK DATA DOWNLOAD CAPABILITY.</p> <p>Update: 3/25/08 - Delivered prior to A2.1 with the authorized data extract</p> <p>Status 4/11/07 - the authorized data extract download will meet this requirement. The coverage period will be dependent on the company.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p>1/26/07 - Business rules for this capability being defined.</p> <p>10/17/05 This issue is currently being discussed to see what can be provided. Business rules will be established to govern what can be done. This requirement will need to be updated when final decisions are made. Data will be available as each release is rolled out.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |
| ACT-015 | 975 | The "24-hour Rule" makes advance manifest notification to CBP mandatory. The trade needs to make use of the same data for enhanced efficiency with respect to proper and timely entry of merchandise. Bill of lading data would be directed to the authorized user/Account using key identification codes for each party. A. For all modes, there should be an optional consignee identification field which would allow ACE to forward the pertinent manifest details to the Consignee's ACE account portal or via EDI. B. For all modes, there should be an optional filer identification field which would allow ACE to forward the pertinent manifest details to the designated entry filer's ACE account portal or via EDI. This could be accomplished through the use of a secondary party notify. C. For all modes, there should be an optional additional notify field which would allow ACE to forward the pertinent manifest details to the designated notify ACE account portal or via EDI. | 1/30/2004 | Pending | M1 | <p>FEBRUARY 8, 2011 DETERMINED TO BE NOT IN SCOPE FOR M1. M1 is meeting the EDI requirements for this GIF. Broker subcommittee needs to determine if they want to update the GIF to request Portal functionality.</p> <p>AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -975) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Update: 3/25/08 - a GIF will be drafted to cover this if needed</p> <p>4/11/07 - Requires updated status information from CCR team.</p> <p>This requirement has been forwarded to the Multi Modal Manifest Committee for their review.</p> <p>Need input from CCR</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

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| ACT-020 | 702 | <p>MULTIPLE HTS NUMBER REPORT. An authorized user should have the ability to request a report that reflects all entry summary lines that were classified within a range of HTS numbers or for multiple HTS numbers with total value, quantity, and a list of entries under which any were filed.</p> <p>A. An authorized user should have the ability to request a report that reflects all entry summary lines associated with HTS number groupings (e.g. clocks, American goods returned, etc) even if there isn't a value reported for each associated HTS number.</p> <p>B. ACE should be designed to allow the user to specify up to the maximum HTS numbers per line item (currently eight) when requesting a report.</p> <p>C. Each entry summary line where the HTS was changed in liquidation should be flagged such that a report can be generated reflecting the changes.</p> <p>D. A link to rulings pertaining to any of the specified HTS classifications, including rulings affiliated with the importer should be provided.</p> <p>E. An Authorized user should be able to run a report that reflects the HTS data for a specific period, a specific importer, port of entry, entry type, mode of transport, release message, liquidation status, reported primary and secondary quantities, MID, TIB status, "entry query status stuff".</p> <p>F. Report elements should include all data and data fields referred to in the CATAIR.</p> <p>G. The requestor should receive a message notification that either the requested size of the report exceeds the maximum allowed size parameter or that the return report contains only partial information.</p> | 1/30/2004 | Pending | A2.3 | <p>APRIL 11, 2008 - THIS REQUIREMENT (CSPO-GIF-702) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Update: 3/25/08 - The Accounts Committee is drafting a GIF to address running a report with multiple HTS numbers. An indication of where the HTS was changed in liquidation should be available with A2.1. There will be no link to ruling (D). There will be no release message (E).</p> <p>1/25/08 This requirement needs to go back to the Entry Committee for review/input. Is this requirement still relevant given the reports that are available today? If the Committee is seeking a separate report for "entry date," a GIF will be required</p> <p>8/9/07 - Item A - Accepted Item B - Accepted Item C - Accepted Item D - Rejected - CBP will not provide a direct link Item E - Should be an M2 requirement. Does Entry have a GIF on this? This is a mix of entry and entry summary data. Steven Lubel and Anita Brown will coordinate resolution. Potential GIF. Item F - Need data on CATAIR messages referred to. Item G - Partial data is available at current time.</p> <p>Timeframe for reports will be based on design.</p> <p>Reporting tool is under investigation.</p> <p>A GIF will be prepared addressing the two reports requested: Additional HTS Range report and Additional HTS Multiple report.</p> |

Owner: Monica Crockett

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| ACT-022 | 976 | <p>In addition to its current capabilities, the ACE Secure Data Portal needs to provide the ability to schedule reports to automatically run at a specified time interval (e.g. nightly, weekly, etc.).</p> <p>A. An authorized user should be able to schedule any saved report to automatically run at a selected time interval (e.g. nightly, weekly, monthly, quarterly, etc).</p> <p>B. Users should be notified when the results of a scheduled report are available.</p> <p>C. Scheduled reports requested prior to an established submission deadline (e.g. midnight Eastern Time) would be run automatically and the results routed to the requestor's portal. Reports requested after the established submission deadline would be run during the next automated report opportunity.</p> <p>D. The report elements should include all data and data fields referred to in the CATAIR.</p> <p>E. In the event the scheduled report results exceed an allowable file size or maximum number of records, the requestor and/or recipients should receive a message notification that either the requested size of the report exceeds the maximum allowed parameter or that the report returned is only partial fulfillment of the request.</p> | 1/30/2004 | Pending | M1, M2, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -976) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>062909: Existing functionality, if carried through to M1 and future releases, will meet this trade requirement.</p> <p>Update: 3/25/08 - Scheduled for delivery prior to A2.2</p> <p>A GIF has been prepared addressing the trade's need to be able to schedule reports (submitted to CBP 1/9/2006). CR 1687.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p>1/17/07 - The new ACE reporting tool to be delivered prior to A1 will allow the user to schedule reports.</p> <p>Timeframe for reports based on design.</p> <p>The ability to schedule reports may be tied to the data download functionality which is being investigated now. The ability to schedule reports is one of the criteria being considered in evaluating a new reporting tool. The new reporting tool is still under investigation.</p> |

Owner: Vincent Annunziato

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ACT-023 | 977 | <p>The ACE Portal needs to provide a list/search capability that provides a single line on the report for each entry summary regardless of the number of line items, totaling the entered value and estimated duties, fees and taxes, listing the MID code that is in box 21 of the Customs Form 3461 or 7501.</p> <p>A. Each entry summary that was changed in liquidation should be flagged. The user should be able to drill down on any flagged entry summary line within a flagged entry.</p> <p>B. A properly authorized user should be able to run an entry summary report that reflects the data for a specific period, a specific importer or record (for which the user is authorized) and for a specific port of entry.</p> <p>C. In the event the entry summary report exceeds the allowable file size or maximum number of records, the requestor should receive a message notification to that effect.</p> <p>D. Eighteen data elements were recommended for the report.</p> | 1/30/2004 | Accepted in Part | A1, A2.4, A3, | <p>AUGUST 24, 2009 - THIS REQUIREMENT (CSPO-GIF -977) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS GIF COVERS ACT-023, ACT-007.4</p> <p>Update: 3/25/08 - All available except for request "A". A will be available via ABI in A2.4</p> <p>8/9/07 Item A - Data available in liquidation report Item B - Can drill down now on the entry no. to see line item detail. Item C - Message will be available Item D - If data is available from the ACE data warehouse it can be downloaded for the report.</p> <p>Timeframe for reports based on design.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |
| ACT-044 | 1079 | <p>BOND AUTHORIZATION LISTING REPORT: Listing of a surety's bond authorization by filer code (all or specific) for blanket, specific or both authorizations, including current only or used or expired authorizations.</p> | 3/30/2005 | Pending | A2.4, CR | <p>SEPTEMBER 16, 2011 - This requirement is pending review of the electronic single transaction bond process.</p> <p>MAY 4, 2011- This GIF is listed as pending review of the single transaction bond process in cargo release. Still listed as in "Review" in Dimensions.</p> <p>JANUARY 7, 2011-This GIF was updated and submitted for CBP Change Control Board (CCB) review as part of the cargo release revalidation effort.</p> <p>OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1079) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked in the Surety Account subcommittee.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|---|------------------------|---------------|--------------------|---|
| ACT-047 | 985 | CLAIM LIST BY ACCOUNT REPORT List claims (open & closed) by account including FP&F, supplemental duty bills and any claims as they are added to ACE | 3/30/2005 | Pending | A2.4 | OCTOBER 20, 2009 - THIS REQUIREMENT WAS ADDED AS AN ATTACHMENT TO CSPO-GIF-985. REFERENCE CSPO-GIF-985 - ACT-009.9 "ACCESS TO CLAIM INFORMATION" SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the Surety Accounts Subcommittee. <i>Owner:</i> Monica Crockett |
| ACT-050 | 1075 | BROKER PERMIT REPORT: This report will capture permits specified under section 111.19 to reflect the permits held by the broker as well as the qualifying licensed individual for local amd matopma; [ermits to meet section 111.19 provisions. | 3/30/2005 | Pending | A2.3 | OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1075) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It was delivered in A1 and then taken down after a problem was discovered with the report. It is currently covered by a problem ticket report. <i>Owner:</i> Monica Crockett |
| ACT-051 | 985 | DEMAND ON SURETY CLAIM LISTING REPORT: List all claims that have reached demand on surety including FP&F, supplemental duty bills and any claims as they are added to ACE so that the sureties can review claims which CBP has demanded payment. | 3/30/2005 | Pending | A2.4 | OCTOBER 20, 2009 - THIS REQUIREMENT WAS ADDED AS AN ATTACHMENT TO CSPO-GIF-985. REFERENCE CSPO-GIF-985 - ACT-009.9 "ACCESS TO CLAIM INFORMATION" SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the Surety Account subcommittee. <i>Owner:</i> Monica Crockett |
| ACT-052 | 1080 | RECONCILIATION REPORT: View entries flagged for reconciliation. | 3/30/2005 | Pending | A2.4 | OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1080) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the account committee. <i>Owner:</i> Monica Crockett |
| ACT-054 | 1081 | FP&F CLAIM DISPLAY REPORT: Display all information including current status, status history and payment information regarding a particular FP&F claim to provide a current status of any FP&F claim to complement the weekly FP&F download. | 3/30/2005 | Pending | A2.4 | OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1081) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the Surety Account subcommittee. <i>Owner:</i> Monica Crockett |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ACT-055 | 1076 | LATE FILES/NO FILES REPORT: This report will capture when an entry summary has not been filed 10 working days after release of the cargo and when it has been filed late. This report will be run by compliance managers to ensure all entry summaries are filed timely and to identify those which were missed or filed late. | 3/30/2005 | Pending | A2.3 | OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1076) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the account committee. <i>Owner:</i> Monica Crockett |
| ACT-058 | 1077 | OPEN BROKER PERMITS REPORT: This report shows those ports where the permitted broker has been terminated. When the permit qualifier for the port leaves, the broker has 180 days to provide a new permit qualifier. The report will track that process. | 3/30/2005 | Pending | A2.3 | OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1077) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It was delivered in A1 and then taken down after a problem was discovered with the report. It is currently covered by a problem ticket report. <i>Owner:</i> Monica Crockett |
| ACT-060 | 986 | MONTHLY STATEMENT REPORT: This report lists all entries for an importer that have been flagged to be paid on a monthly statement to allow the surety to evaluate the outstanding duty owed to CBP on an importer basis. | 3/30/2005 | Pending | A2.4 | OCTOBER 20, 2009 - THIS REQUIREMENT WAS ADDED AS AN ATTACHMENT TO CSPO-GIF-985. REFERENCE CSPO-GIF-986 - ACT-009.19 "ACCESS TO ACCOUNT REVENUE REPORTS" SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the Surety Account subcommittee. This requirement is partially covered by CSPO-GIF-986 but a sample report is not included. <i>Owner:</i> Monica Crockett |
| ACT-064 | 1082 | SANCTION ELIGIBLE FP&F CASE LISTING: List of FP&F claims that have reached sanction eligible status for a surety. Sureties will want to review claims which CBP has demanded payment and could result in a sureties sanction. | 3/30/2005 | Pending | A2.4 | OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1082) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the Surety Account subcommittee. <i>Owner:</i> Monica Crockett |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ACT-066 | 1083 | SINGLE TRANSACTION BOND DATA BY TRANSACTION NUMBER: Display bond related information for single transaction bonds. Used by the surety to verify bond information in CBPs system to the surety's system. | 3/30/2005 | Pending CBP HQ Input | A2.4 | <p>MAY 3, 2011 - This GIF is pending acceptance for TMAC review for cargo release pending review of the single transaction bond process. This GIF is still listed as in "Review" in Dimensions.</p> <p>JANUARY 7, 2011-This GIF was updated and submitted for CBP Change Control Board (CCB) review as part of the cargo release revalidation effort.</p> <p>OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1083) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. ACT-067 is also included in this GIF.</p> <p>SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the Surety Account subcommittee.</p> |
| | | | <i>Owner:</i> | Monica Crockett | | |
| ACT-067 | 1083 | SINGLE TRANSACTION BOND DATA BY ACCOUNT REPORT: List single transaction bonds related to an account by importer number. Used to verify bond data in CBPs system to the sureties systems and confirm a surety's exposures for an account | 3/30/2005 | Pending | A2.4 | <p>OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1083) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. ACT-066 is also included in this GIF.</p> <p>SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the Surety Account subcommittee.</p> |
| | | | <i>Owner:</i> | Monica Crockett | | |
| ACT-068 | 1084 | SUPPLEMENTAL DUTY BILL REPORT: Display all information including current status and payment information regarding a particular supplemental duty claim. | 3/30/2005 | Pending | A2.4 | <p>OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1084) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It is currently being worked by the Surety Account subcommittee.</p> |
| | | | <i>Owner:</i> | Monica Crockett | | |
| ACT-069 | 1144 | TEMPORARY IMPORTATION UNDER BOND (TIB) REPORT: This report will track TIBs and allow the filer to track TIBs for compliance purposes. | 3/30/2005 | Pending | A2.4 | <p>JULY 8, 2010 - THIS REQUIREMENT (CSPO-GIF-1144) IS PENDING CPB CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. This requirement has been partially accepted/delivered. The remaining portion will be addressed in a separate GIF</p> |
| | | | <i>Owner:</i> | Monica Crockett | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|--|------------------------|---------------|--------------------|---|
| ACT-070 | 1078 | TRIENNIAL REPORT (PARTNERSHIP, ASSOCIATION, OR CORPORATION) This report provides for the Triennial Report as required in section 111.30 (d). The triennial report is a status report and fee payment that must be submitted every three years by all licensed brokers, whether they are licensed as in individual, corporation, partnership or association. : | 5/30/2005 | Pending | A2.3 | OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1078) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. SEPTEMBER 2009 - This requirement was written as part of the 2005 report workshop. It was delivered in A1 an then taken down after a problem was discovered with the report. It is currently covered by a problem ticket report. |
| | | | | | | <i>Owner:</i> Monica Crockett |
| ACT-071 | 1085 | ANTI DUMPING COUNTERVAILING DUTY REPORT: The Surety Account Subcommittee is requesting a report that lists aggregate AD/CV duty (estimated, paid, bonded) by importer. The report should contain the following data elements: <ul style="list-style-type: none"> o Bonded ADD o Bonded CVD o Entry Number o Entry Type o Estimated ADD o Estimated CVD o Importer Name o Importer Number o Paid ADD o Paid CVD o Surety Code o Value The report should contain the following variables: <ul style="list-style-type: none"> o Importer Number (Default All) o Entry Date Range o Entry Type (Default All) o Surety Code (Default All) Security for this report needs to be based on the surety code reported on the entry summary. | 10/19/2009 | Pending | A2.4 | OCTOBER 19, 2009 - THIS REQUIREMENT (CSPO-GIF-1085) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | | | | | <i>Owner:</i> Monica Crockett |
| ACT-801 | 842 | BROKER ACCESS TO CBP FORMS 28, 29, 4647 VIA THE ACE PORTAL OR EDI Brokers are requesting the ability to receive their courtesy copy of CBP Forms 28, 29, 4647 through the ACE Portal. They would also like the ability to respond to CBP Forms on behalf of their importer clients through their broker portal account. The functionality being requested by brokers through the ACE portal is similar to what is available to importers with ACE accounts in A2.2. Brokers will also need the ability to select their method of communication to receive their courtesy copy of the forms. | | Pending | A2.3 | MAY 19, 2009 - THIS REQUIREMENT (GIF 842) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. |
| | | | | | | <i>Owner:</i> Monica Crockett |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|---|------------------------|-----------------|--------------------|---|
| ACT-824 | 957 | <p>FREEZING IMPORTER RECORD NUMBERS VIA THE ACE PORTAL: Trade would like to request the ability through the ACE portal to freeze/unfreeze importer or record numbers at the 11 digit level and to identify the users in a company that are authorized to request changes to the IR number. The Trade Account Owner and Proxy Trade Account Owner, along with designated users should have the ability to freeze/unfreeze the importer of record number or make changes to the related information. The authorization of each user will be to each importer of record number at the 11 digit level. This functionality is applicable to the importer and carrier views only. The freeze should apply to both ACS and ACE entry summaries.</p> | 8/7/2009 | Pending | A2.3, A2.4 | <p>AUGUST 7, 2009 - THIS REQUIREMENT (CSPO-GIF-957) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>April 14, 2009 - Pending submission to CBP CCB for review. Awaiting designation of a CBP Business sponsor.</p> |
| | | | <i>Owner:</i> | Monica Crockett | | |
| ACT-827 | 958 | <p>BROKER EVALUATION & ANALYSIS REPORT (BEAR) EXTRACT. As CBP and the Trade prepare for the BSA (Broker Self Assessment) it is critical that all brokers have the necessary tools and controls to help manage their own compliance. To assist all parties in moving that direction, brokers would like to be able to generate the equivalent of the BEAR report/extract in ACE. The BEAR report is currently provided to managed accounts by their National Account Managers. The report is in the form of an Excel spreadsheet with multiple tabs at the bottom of the report. The tabs reflect the following: - SEE REQUIREMENT FOR DETAILED TABS</p> | 8/7/2009 | Pending | A2.3 | <p>AUGUST 7, 2009 - THIS REQUIREMENT (CSPO-GIF-958) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> |
| | | | <i>Owner:</i> | Monica Crockett | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|--|------------------------|---------------|--------------------|--|
| ACT-828 | 959 | <p>EXTRACTS TO SURETIES (AUTOMATED SURETY INTERFACE) DATA DOWNLOAD.</p> <p>The trade is requesting capability through the ACE Portal and an EDI download to retrieve information about entries and claims to sureties that result from bonds they issue, similar to those currently provided. The sureties currently receive nightly downloads, through the Automated Surety Interface (ASI) in ACS, of entry information, including liquidation for all entries on which the surety is obligated.</p> <p>The sureties require:</p> <ul style="list-style-type: none"> • All existing data elements within each data file to remain the same (current and new data elements attached). • Information on entries covered by both single transaction bonds and continuous bonds. • Coordination with the eBond process, including providing information about single transaction bonds and the related entry information. (Coordinate with "eBond Concept" CSPO GIF 692). • Information must be provided at release, summary and any time there is an update to any of the data elements. • System to recognize that more than one surety may be obligated on the entry and provide information to all the sureties that are obligated. • If a surety is no longer obligated on an entry, it should be notified. If it is found that a claim was issued to the wrong surety, notification to the "wrong" surety should be provided that they are no longer responsible for that claim. For instance, when an entry is submitted with the wrong surety code, the wrong surety receives entry information. When later the surety code is corrected, that wrong surety stops receiving entry information and has no way to know what has happened on the entry to close its files. Therefore, the trade is requesting if a surety starts to received data regarding an entry, but it is later found that it will not continue to received this data, the surety should be notified. • Any changes which may limit a bond's liability under that entry, such as filing a superseding bond, must be communicated in the entry download information. • Changing the current weekly download of Fines, Penalty and Forfeiture cases and other bills (Supplemental Duties, etc) to daily. This consists of information regarding bills or cases which have been issued and for which the surety may be obligated. • Information on any new claim system, such as those resulting from the debit voucher system, should also include a surety extract feature. | 8/7/2009 | Pending | A2.3 | <p>FEBRUARY 3, 2011- This GIF was determined to be not in scope for cargo release. Sill listed in "Review" status in Dimensions.</p> <p>AUGUST 7, 2009 - THIS REQUIREMENT (CSPO-GIF-959) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> |

• Continued type of access to the Entry Summary Query and Importer/Bond Query in ACS. The sureties need to have access to all information they are entitled to see when they are entitled to receive it.

The current data elements are in the attached document (ASI Layout). The new data elements the surety requires are in the attached spreadsheet which contains seven worksheets within it (ASI New Data Elements 1-2008). As ACE develops and additional data elements are defined, this request will be updated.

Owner: Monica Crockett

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| ACT-829 | 960 | SUBMITTING ITAR EXEMPTIONS VIA ACE. The trade requests the ability to transmit International Trade in Arms Regulations (ITAR) exemptions via ACE cargo release and entry summary. This information should then be available to filers of Shippers Export Declarations when they reference the import entry number. Currently the broker is required to override a paperless release and submit documents to the port of entry to register the import exemption. If the goods are subsequently exported, the shipper must prove that the goods were in the US via the exemption by submitting copies of the import paperwork. This manual processing causes delays and unnecessary work for both the trade and CBP. | 8/7/2009 | Pending | M2.3 | AUGUST 7, 2009 - THIS REQUIREMENT (CSPO-GIF-960) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
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The electronic submission of the exemption code would allow CBP to apply automated targeting to these data points. This would improve security and tracking of these items for both the importation and exportation of the goods.

A list of the commonly used import exemptions is being provided for convenience. This list may not be all inclusive. The trade would like the ability to transmit the code only. For example, 22CFR123.4a1. CBP should allow for the field to be large enough to accept the correct format. Some of the regulations are case sensitive as shown above. A lower case "A" may appear as an upper case letter, and CBP will need to allow slight deviations from the actual citation due to the limitations. Also, the format may or may not include the parenthesis () separating the fields. If included, additional field space will need to be allocated.

Owner: Vincent Annunziato

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|-------------------------------|------------|---|------------------------|-----------------------|--------------------|--|
| ACT-830 | 979 | <p>SURETY ACCOUNT PORTAL BOND QUERY OPTIONS: Query capabilities are important as any one surety can have thousands of bonds on file with CBP. The bond tab in the surety portal currently only allows the surety to query a bond by bond number, activity code, and bond status. The portal should allow for querying by importer of record number, bond type (continuous vs single), principal name, bond effective date, filer code and agent ACE ID. In addition, the portal should allow for querying by a date range and by terminated and active bonds. Today large sureties with ACE Portal accounts are unable to view all of their bonds because of an error when bonds are being loaded for their account after opening the bond page. While there is a search capability that was delivered for CBP and the sureties, that capability for the trade only searches the first 2000 bonds thus rendering the search capability useless for large sureties. In the future, when single transaction bonds are in the system, the portal should also allow for querying by entry number and entry type.</p> | 8/18/2009 | Pending CBP L/P Input | A2.4, | <p>SEPTEMBER 16, 2011 - This requirement is pending CBP L/P review for cargo release. This requirement is related to the electronic single transaction bond process.</p> <p>MAY 4, 2011 - This GIF was accepted as in scope for cargo release. Still listed in "Review" status in Dimensions.</p> <p>JANUARY 7, 2011 - This GIF was updated and submitted to CBP Change Control Board (CCB) review to cover searching for STBs by entry number and Importer Record number as part of the cargo release revalidation effort.</p> <p>AUGUST 18, 2009 - THIS REQUIREMENT (CSPO-GIF-979) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |
| ACT-831 | 980 | <p>ENHANCEMENTS TO PERIODIC MONTHLY STATEMENT: If there is an Office Code other than spaces, the ABE record for the Filer Code + Port Code + Office Code never indicates they are a periodic monthly statement participant. This is because when ACE sends periodic monthly statement participant messages to ACS, only the Filer Code and Port Code are used. The Office Code is not used in ACE for periodic monthly statement participation. If the Office Code for a RLF Daily Statement is spaces there is no problem with processing the PMS entry summaries. It is only with statements that have an Office Code other than spaces that the problem exists. Programs need to be changed to allow periodic monthly statement to work with remote location filing and an office code.</p> | 8/18/2009 | Pending | A2.3, A2.4 | <p>AUGUST 18, 2009 - THIS REQUIREMENT (CSPO-GIF-980) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ACT-832 | 1094 | <p>OPEN RECONCILIATION REPORT: The purpose of this report is to allow CBP and trade to view all entry summaries that contain one or more line items which has been flagged for reconciliation and for which the reconciliation entry summary (entry type 09) has not been filed. Trade recommends to accomplish this that either a separate reconciliation report be created of that ESM 7008 be modified as follows: The following additional data elements should be available to drag into ESM 7008: SEE REQUIREMENT FOR DATA ELEMENTS</p> | 10/30/2009 | Pending | A2.3 | OCTOBER 30, 2009 - THIS REQUIREMENT (CSPO-GIF-1094) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | <i>Owner:</i> | Monica Crockett | | | |
| ACT-833 | 1095 | <p>OPEN RECONCILIATION EXTRACT REPORT: The purpose of this extract report is to allow CBP and trade to view all entry summaries that contain one or more line items which has been flagged for reconciliation and for which the reconciliation entry summary (entry type 09) has not been filed. The extract should include the following data elements:</p> | 10/30/2009 | Pending | A2.3 | OCTOBER 30, 2009 - THIS REQUIREMENT (CSPO-GIF-1095) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | <i>Owner:</i> | Monica Crockett | | | |
| ACT-834 | 1096 | <p>RECONCILIATION REPORT: The purpose of this report is to allow CBP and trade to view entry summaries flagged for reconciliation and to associate those underlying entry summaries with the reconciliation (entry type 09) entry summary. This report is designed for importers and brokers. A separate report GIF will be submitted by the sureties. The report should contain the following data elements: SEE REQUIREMENT FOR LIST OF DATA ELEMENTS</p> | 10/30/2009 | Pending | A2.3 | OCTOBER 30, 2009 - THIS REQUIREMENT (CSPO-GIF-1096) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | <i>Owner:</i> | Monica Crockett | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ACT-835 | 1097 | <p>POST SUMMARY CORRECTION REPORT: This GIF contains a request from the trade community related to a post summary corrections report for brokers and importers. While a list of data elements is provided below, this is not the final list. This GIF will need to be updated once CBP identifies all data elements to trade that can be corrected via post summary correction.</p> <p>The first request is for a new report which would show all versions of the entry summary making it possible to trace the history of the summary from when it was initially transmitted (version 1.0), through any post summary corrections to final liquidation. The report should contain the following data elements as known on October 7, 2009 : SEE REQUIREMENT FOR LIST OF DATA ELEMENTS</p> | 10/30/2009 | Pending | A2.3 | OCTOBER 30, 2009 - THIS REQUIREMENT (CSPO-GIF-1097) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | | <i>Owner:</i> | Monica Crockett | | |
| ACT-836 | 1098 | <p>POST SUMMARY CORRECTION EXTRACT: This GIF contains a request from the trade community related to a post summary corrections extract for brokers and importers. While a list of data elements is provided below, this is not the final list. This GIF will need to be updated once CBP identifies all data elements to trade that can be corrected via post summary correction. The extract should contain the following data elements as know on October 7, 2009: SEE REQUIREMENT FOR LIST OF DATA ELEMENTS</p> | 10/30/2009 | Pending | A2.3 | OCTOBER 30, 2009 - THIS REQUIREMENT (CSPO-GIF-1098) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | | <i>Owner:</i> | Monica Crockett | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ACT-837 | 1099 | <p>ABILITY TO FILE A PSC THROUGH THE ACE PORTAL: CBP has delivered the ability for the broker and self filer to file post summary corrections via ABI for ACE entry summaries. However, the ability for the importer to make their own corrections to their entry summaries has been taken away since CBP will no longer accept paper Post Entry Amendments (PEA) from the importer if the entry summary was filed in ACE. Many importers both large and small file their own PEAs today. This is a means of ensuring compliance from their perspective. Importers are therefore requesting that ability to maintain control over their own corrections by being provided the means to make post summary (pre-liquidation) amendments and corrections to the entry summary data initially filed and accepted by CBP through the ACE Portal.</p> <p>The ACE Portal should provide the ability for the importer and the broker or a third party designee to correct an individual entry summary through the ACE Portal.</p> <p>Notifications:</p> <ul style="list-style-type: none"> •When a PSC is made through the portal, an initial system generated acceptance or rejection message should be displayed in the ACE Portal once CBP has edited the entry summary. The acceptance message should include a date. If the correction is rejected the message should include a date and the reject reason. •The filer/broker associated with the entry summary should receive a notification through ABI or the portal when a PSC is received from the importer. •When a broker files a PSC on behalf of the importer, the importer should be notified through the ACE Portal that a correction has been made on their behalf. The same information that was provided to the filer through ABI should be included in the portal notification to the importer. •If a PSC is filed by a third party, then both the importer and the broker should be notified of the change. <p>Portal Functionality:</p> <ul style="list-style-type: none"> •All functionality available to the importer through the Portal must be available to the broker through their ACE portal account. •When entering a correction, users should be able to enter an entry number and the most recent version of the entry summary displays with all line items shown for the user to input their corrections. •Each PSC will create a new version of the Entry Summary or, if there was a previous PSC, it will replace that. Corrections will be subject to CBP validations upon submission. Note: If trade corrects the HTS number on a line item, they will be | 10/30/2009 | Pending | A2.3 | <p>NOVEMBER 10, 2011 - This GIF was revised and resubmitted to the CBP Change Control Board for review. New text is included.</p> <p>OCTOBER 30, 2009 - THIS REQUIREMENT (CSPO-GIF-1099) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> |

responsible for making any additional changes needed to duty, taxes and fees both at the line and header level.

- If a change to duty is posed and a change to fees is not but fees should have been adjusted as well, an error message will display to the user (not display when the MPF is at max).
- Users need to be able to view the version number associated with the entry summary through the ACE portal.
- Users should receive an initial system generated "Accepted CBP Status notification" or a "Rejected notification" through the ACE portal once CBP has successfully edited the entry summary. The acceptance or rejection notice should include a date and the reason for the rejection. The initial acceptance of the PSC should start the clock for final approval of the PSC by CBP.
- When a post summary correction is accepted through the ACE portal, the source of the correction (portal or ABI) needs to be captured such that the party and user making the updates can be identified. This indicator likewise will need to be available in any post summary correction report.
- The importer will be able to make multiple PSCs prior to liquidation. Trade will have 270 days from the entry summary date to file the post summary correction, but not less than 20 days prior to liquidation.
- Trade would like the ability to request an extension of liquidation through the ACE portal.
- Corrections through the ACE Portal is to the line or lines since that might impact the fees for the entire entry summary, the changes should be processed as a complete replace of the entry summary.
- The ACE Portal should provide the ability for a filer other than the PSC filer to be able to make a correction to the entry summary through the ACE Portal, as designated/authorized by the importer.

Types of Corrections:

- PSCs should be allowed for all types of corrections. Users should be able to enter the individual correction (s) at the header or line level on the entry summary and ACE should treat the corrected summary as a full replace.
- The trade would also like the ability to make corrections to other governmental agency requirements, as agencies participate in PGA filing. In addition, if the PGA is not a filing participant but is a DIS participant, we would like the ability to PSC a DIS-identified form.

Security/Access Requirements:

- The Trade Account Owner should be able to designate who can make post summary corrections

on behalf of the Account. Trade requests user access should be defined as "No Access", "Read Only Access" and "Full Access."

- The Trade Account Owner should be able to designate PSC capability by account type to allow for a broker, consultant or attorney to file on their behalf.
- When a user is removed from portal access, ACE should capture the expiration date and display the field under "AllPersons." This would allow the TAO to monitor, limit, and reauthorize access with greater ease due to personnel changes, vendor consolidations, etc.
- The portal should prevent more than one user from editing an entry summary at the same time and display an "entry summary under edit" message.
- When submitting a post summary correction through the ACE portal, trade requests an "Are you sure" message appear after hitting "Submit" such that the user would need to confirm they want to submit the correction.
-

Extension of Liquidation

- Since a post summary correction beyond 270 days and within 20 days must include a request to extend liquidation, the ACE portal needs to provide the ability for trade to request liquidation be extended.

Comparing Different Versions of the Entry Summary

- Trade is requesting the ability to view through the ACE Portal the entry summary before and after the post summary correction. This could be accomplished through some sort of a comparison view where the user could see version 1 and version 2 displayed simultaneously.
- The other option would be to have a report that would display multiple versions of the entry summary.

Owner: Monica Crockett

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| ACT-839 | 1163 | COMBINE MANIFEST & CARGO ENTRY DATA IN 1/12/2011 ACE REPORTS: Trade request the ability to run ACE reports that will allow the user to pull data elements currently available in the manifest universe and combine them with data elements from the cargo entry universe. | 1/12/2011 | Pending CBP HQ Input | CR | SEPTEMBER 16, 2011 - This requirement is pending CBP HQ input for cargo release under TMAC.I;k January 7, 2011 - This GIF was submitted for CBP Change Control Board (CCB) review as part of the cargo release revalidation effort. |
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Owner: Vincent Annunziato

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ACT-840 | 1202 | REVISION TO CARGO ENTRY REPORTS FOR SIMPLIFIED PROCESS: CBP is currently planning for a simplified entry process that would allow importers who meet specified criteria to file 12 data elements that will serve as both the ISF filing and the cargo entry. The existing Aggregate and Detail Cargo Entry Reports need to be modified to include all of the data elements from the simplified entry that are not currently available in the existing cargo entry reports. In addition a new field needs to be added to the aggregate and detail cargo entry reports reflecting the fact that this is a simplified entry filing. | 10/4/2011 | Pending | CR | OCTOBER 4, 2011 - This requirement/GIF was submitted to the CBP Change Control Board for review. |
| | | | <i>Owner:</i> | Monica Crockett | | |
| ACT-841 | 1215 | REPORT REQUEST TO INCLUDE DOCUMENT IMAGE SYSTEM (DIS) INFORMATION: Trade community requests access to DIS information in ACE Reports through the ACE Portal and a report housed within the DIS Portal or through the ACE Portal using the current reporting tool. If access is through the ACE Portal, DIS data elements should be added to any applicable entry summary extract. | 12/7/2011 | Pending | DIS | DECEMBER 7, 2011: This GIF was submitted to the CBP Change Control Board (CCB) for review. |
| | | | <i>Owner:</i> | Monica Crockett | | |
| ACT-842 | 1216 | ACCESS TO DOCUMENT IMAGE SYSTEM (DIS): Trade requests access to images submitted electronically to CBP through the DIS. The ACE Portal should provide the option for viewing DIS data and the image itself either through the ACE Portal or by linking from the ACE Portal to the DIS Portal. | 12/7/2011 | Pending | DIS | DECEMBER 7, 2011- This GIF was submitted to the CBP Change Control Board (CCB) for review. |
| | | | <i>Owner:</i> | Monica Crockett | | |

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| ACT-GIF 187 | 981 | <p>ACCESS TO BOL NUMBER FOR REPORTS: Make the bill of lading field from the 7501 available to "add" to reports. The bill of lading number should be available on all cargo entry and entry summary detail reports.</p> <p>Often times the trade has a BOL number and needs to tie the bill back to the entry/entry summary data. If the BOL field was available under the "add hoc or modify" feature of reports, reports such as AM_008, ESM_008, etc could be used to cross reference the data. The bill of lading number should be available on all cargo entry and entry summary reports as well as ACE Entry Summary reports. This change should be designed so that any house bill of lading or sub-house bill of lading numbers would also be provided once such data is transmitted or available. This GIF covers trade user requirement ACT-GIF-197 dated 12/6/2005. A CR was approved for this GIF but has not been scheduled. Please note that GIF 187 was approved and CR 1731 created. The CR has never been scheduled.</p> | 12/6/2005 | Pending CBP HQ Input | A2.3, CR | <p>SEPTEMBER 16, 2011 - This requirement is pending CBP HQ input for cargo release in TMAC.</p> <p>JANUARY 7, 2011-This GIF was updated and submitted for CBP Change Control Board (CCB) review as part of the cargo release revalidation effort.</p> <p>AUGUST 18, 2009 - THIS REQUIREMENT (CSPO-GIF-981) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. CR 1731 WAS CREATED TO HANDLE THIS GIF.</p> <p>Update: 3/25/08 - Approved for A2.3</p> <p>4/11/07 - will be met with new reports tool</p> <p>1/17/07 - Scheduled to be part of the conversion to the new ACE reporting tool. Will be delivered prior to A1.</p> <p>GIF was approved by PBE Board on January 17, 2006. CR 1731 created.</p> |
| | | <i>Owner:</i> | Monica Crockett | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s) Comments</i> |
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| ACT-GIF 203 | 974 | <p>As part of the overall Automated Commercial Environment Account Portal, the trade needs the ability to download entry summary data, and other compliance related results and statistics via the Internet "account portal". In addition, carriers require the capability to download all data related to their Account including history of manifest transactions, penalty/liquidated damages, bond obligations, compliance data, statistical data, etc.</p> <p>1. A properly authorized user would be able to download entry data for a specific period, a specific importer of record number (for which the entry has been filed), and even for a specific filer, SCAC or port of entry. This data would be downloadable in a variety of formats such as Adobe Acrobat (.pdf), MS Excel (.xls), Dbase formats (.dbf), comma delimited, etc.</p> <p>2. The minimum "maximum time/date parameter" for a single download should enable an authorized account user to download data for a period covering at least one year. Additionally, the ability to download data on a daily, weekly or monthly basis must also be provided.</p> <p>•3. All entry summary elements (on all entry summary lines) that have been transmitted to CBP should be available to download. In addition, all related compliance data, such as exam results, including Stratified compliance exams, and related commodity specialist (and entry specialist) review notations, should be available to download.</p> | 1/9/2006 | Pending | <p>M1, M2.3, M3 DETERMINED TO BE IN SCOPE FOR M1</p> <p>AUGUST 18, 2009 - THIS GIF (CSPO-GIF-974) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. THIS GIF COVERS ACT-014, AND ACT-GIF-203.</p> <p>062909: Existing functionality, if carried through to M1 and future releases, will meet this trade requirement.</p> <p>MAY 19, 2009 - This requirement is covered by CR1687</p> <p>Update: 3/25/08 - Authorized data extract to be delivered prior to A2.1. Carrier authorized data extract is scheduled for A2.2</p> <p>1/17/07 - The capability to do an authorized data extraction is scheduled to be delivered about 6 weeks after the conversion to the new reporting tool. (Current GIF #288)</p> <p>Reference TSN Requirements - (ACT 014 & MMM FR 05.15). This GIF was submitted to CBP on January 9 and was reviewed by the Production Baseline Enhancements Board on January 31, 2006. The ability to do a bulk data download will be added to an existing PBE Change Request.</p> <p>Please note that exam results will not be part of the data download as requested by the trade. The trade will need to request that information thru a Freedom of Information Act (FOIA) request. Information provided will be subject to CBP policy.</p> |
| | | | | <i>Owner:</i> | Vincent Annunziato |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ACT-GIF 213 | 591 | <p>BROKER QUICK VIEW REPORT- MONTHLY PORT ACTIVITY REPORT</p> <p>This requirement addresses the quick views and reports the brokers would like to see available in their portal, for example quick views should include their ABI/ACE reject rate, the total duties, taxes and fees paid by the broker, etc. Brokers also receive separately from the local ports a monthly port activity report which should be made available thru ACE. Having the quick views customized to meet brokers needs allows them to better manage their business.</p> | 2/16/2006 | Pending | A2.3 | <p>MARCH 21, 2008 - THIS REQUIREMENT (CSPO-GIF-591) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>MAY 19, 2009 - This requirement is replaced and covered under CSPO GIF 591 (See ACT-011 for more detail)</p> <p>Update: 3/25/08 - Can be accomplished thru new report tool by running aggregate reports. See comment on ACT 011.</p> <p>New GIF number = CSPO-GIF-279: Accepted 6/27/07 ECP-CR-1748</p> <p>This GIF references ACT 11. The GIF was reviewed by the Production Baseline Enhancement Board on March 7, 2006. We are checking the status of the GIF for A2. (Current GIF #279)</p> <p>1/29/08 - accepted but no change request created.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |
| ACT-GIF 223 | 1030 | <p>ALPHABETIZING DATA OBJECTS:</p> <p>Modify data element lists shown in the dropdown boxes for Add attribute and Add metric on the Analyze Tab so that the data elements are listed in alphabetical order rather than a random order. This change needs to be made for Account Management, Account Revenue and Transactions reports.</p> | 3/14/2006 | Pending | A2.3 | <p>SEPTEMBER 4, 2009 - THIS REQUIREMENT (CSPO-GIF-1030) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. THIS FUNCTIONALITY IS NOT CURRENTLY AVAILABLE IN BUSINESS OBJECTS.</p> <p>DECEMBER 18, 2006-THIS REQUIREMENT (CSPO-GIF-271) WAS REJECTED BY THE CCB BECAUSE THIS FUNCTIONALITY WILL BE AVAILABLE WITH THE IMPLEMENTATION OF BUSINESS OBJECTS.</p> <p>Update: 3/25/08 - Data elements are in alphabetical order now under the available objects after a report is run. Any further work on this will be completed by A2.2</p> <p>1/17/07 - With the conversion to the new ACE reporting tool, the list of available objects will be in alphabetical order. Scheduled prior to A1. (Current GIF#271)</p> <p>Sent to CBP on 3/14/2006. Reviewed by PBE on 4/4/2006.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ACT-GIF 245 | 260 | <p>Currently there is no way to distinguish between entries that were completed and entries that were cancelled when running cargo entry reports and filtering by create date or entry date. If an entry is cancelled for what ever reason, it is not removed from ACE. Thus if you run any cargo entry detail reports those cancelled entries will be part of the report. When running entry summary detail reports, if you filter by create date there is not way to exclude cancelled entry summaries from the report. Add a field with a flag code for cancelled entries such that those entries/entry summaries can be eliminated by the user when running ACE cargo entry and entry summary detail reports. This flag should be available on all entry and entry summary detail reports as a filter before launching the report. This would be better than having to run the report and then filter for either cancelled or not cancelled entries.</p> <p>It would also be advantageous to be able to simply run a cancelled entry/entry summary report for a specified date range</p> | 7/20/2006 | Pending | A2.3 | <p>SEPTEMBER 10, 2009- THIS REQUIREMENT HAS BEEN ACCEPTED BY CBP BUT NOT YET DELIVERED.</p> <p>Update: 4/20/09 - Committee is checking A2.2 ad hoc report capabilities for ACE entry summaries to see if a cancelled entry status is shown.</p> <p>Update: 3/25/08 - A2.3</p> <p>News GIF number = CSPO-GIF-260 - ACCEPTED 1/10/07</p> <p>This GIF was presented to the Production Baseline Enhancement Board on July 24, 2006.</p> <p>1/17/07 - CBP has approved this GIF but there is no corresponding change request with money.. (Current GIF#260)</p> <p>1/28/08 - to be baked into 2.2 functionality</p> |
| | | | | <i>Owner:</i> | Monica Crockett | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ACT-GIF 246 | 259 | AMS provides data that is not presently incorporated in the ACE Reports/Cargo Exam data repository, e.g. APHIS, other government agency, Customs holds and VACIS exams. The information is classified by the trade as "cargo exam" data. The incorporation of such data, in addition to performing a refresh of cargo exam data nightly, will provide the trade with visibility of ALL cargo exams which we can then use to define predictability for our own import process. This information is only available through our Customs Account Manager today. The trade recommends adding the following fields to the cargo exam report: 1) EXAM TYPE (examples of exam type would be: Manifest Hold, VACIS exam, Stratified Compliance, Compliance Measurement, Intensive, APHIS, Agriculture Hold, etc.) 2) BILL OF LADING NUMBER 3) CONTAINER NUMBER 4) ARRIVAL DATE 5) RELEASE DATE. The trade recommends updating cargo exam statistics nightly. | 7/20/2006 | Pending CBP HQ Input | CR | <p>SEPTEMBER 16, 2011 - This requirement is pending CBP Trade Modernization & ACE Coordination (TMAC) review. Comment: There are two parts to this comment. The first deals with incorporating specific AMS information in the ACE reports /cargo exam data repository requires a policy review. The second aspect recommends adding information fields into the cargo exam reports (exam type, bill of lading number, container number, etc.) warrants further discussion during the next phase of development. Additionally the timing of cargo exam statistics updates also warrants further discussion during the next phase of development.</p> <p>JANUARY 7, 2011-This GIF was updated and submitted for CBP Change Control Board (CCB) review as part of the cargo release revalidation effort.</p> <p>SEPTEMBER 12, 2006 - THIS REQUIREMENT (CSPO-GIF-259) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>4/11/07 - Current GIF number = CSPO-GIF-259 = In review by CCR team.</p> <p>This GIF was presented to the Production Baseline Enhancement Board on July 24, 2006. The GIF is being referred to Cargo Control and Release for consideration.</p> <p>1/17/07 - Under review. (Current GIF#259)</p> <p>1/29/08 - Under review</p> |
| | | | <i>Owner:</i> Vincent Annunziato | | | |
| ACT-GIF 425 | 425 | Importers will have the ability to flag entries for reconciliation at the line item level in A2. Currently they can only flag the entries at the header level. Surety companies receive a download of all entries secured by that surety's bonds. This entry data is summary data - the surety does not receive line item data. With the change in reconciliation flagging, the surety may not be aware of reconciliation entries if the entry is not flagged at the header level. CBP must ensure that the surety will receive the entry data flagged for reconciliation at the header level even if the importer is flagging only one line item on the entry. | 9/26/2006 | Pending | A2.3 | <p>SEPTEMBER 10, 2009 - THIS REQUIREMENT WAS ACCEPTED BY CBP BUT HAS NOT YET BEEN DELIVERED.</p> <p>FEBRUARY 26, 2007 - THIS REQUIREMENT (CSP0-GIF-425) WAS ACCEPTED BY THE CBP CHANGE CONTROL BOARD.</p> <p>Update: 3/25/08 - A2.3</p> <p>1/17/07 - In review status.</p> <p>1/29/08 - to be baked into 2.3 functionality</p> |
| | | | <i>Owner:</i> Monica Crockett | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ACT-GIF 574 | 979 | The screens that will be available to the surety include a "Bond Portlet". While the screens presented at the Dec 2006 TSN conference are for CBP use only, the surety subcommittee is commenting on how the surety screen should be set up and queried. Query capabilities are important as any one surety can have thousands of bonds on file with CBP. The portlet should allow for querying by bond type (continuous vs single), bond activity code, ACS/ACE bond number, IRS/Importer number, Principal Name, Bond Effective Date, filer code and Agent ACE ID. In addition, the portlet should allow for querying by a date range and by terminated and active bonds. In the future, when single transaction bonds are in the system, the portlet should also allow for querying by entry number and entry type. | 3/20/2007 | Accepted in Part | A2.1, A2.4 | ACCEPTED IN PART AND REMAINING FUNCTIONALITY COVERED BY ACT-830 (CSPO-GIF-979). MAY 8, 2008 - THIS REQUIREMENT WAS CLOSED IN CCB Update: 3/25/08 - Surety will have partial query capability prior to A2.1 1/29/08 - CR in place for searching by bond number. A new CR will be created to address other A2 functionality. |
| | | | | <i>Owner:</i> | Monica Crockett | |
| ACT-GIF 575 | 575 | The surety account concept calls for an optional ACE ID number for a surety administrator/agent. This Surety Agent ACE ID number should be a mandatory field in the surety account portal, as well as the Surety Producer Account Number. The Surety Agent ACE ID must be built into the BAF. This should be available in A1 with the ability for the surety to update existing bonds with an Agent ACE ID. | 3/20/2007 | Pending | A2.4, CR | FEBRUARY 3, 2011 - This GIF was determined to be not in scope for cargo release. JANUARY 7, 2011 - This GIF was updated and submitted for CBP Change Control Board (CCB) review as part of the cargo release revalidation effort. JUNE 12, 2008 - CCB STATUS IS DEFERRED. REASON FOR DEFERRAL WAS THAT MORE INFORMATION WAS REQUIRED. |
| | | | | <i>Owner:</i> | Monica Crockett | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ACT-GIF 664 | 1036 | <p>The functionality delivered with ESAR A1 does not allow the mid to large size broker to take advantage of using the ACE Portal to meet their 19 CFR 111 requirements. On average it takes 20 minutes to manually enter information on one employee. Additionally there is no copy paste functionality to help reduce the time it takes to enter employee information. To fully take advantage of the new functionality delivered, the trade is requesting the ability to upload employee information from an Excel spreadsheet into the ACE portal. All data elements currently required would be included in the spreadsheet. In addition, the trade is recommending that the actual update date should also be captured. The update date would allow CBP to know how current the information is. Trade would like to receive a confirmation that the upload worked correctly to ensure they remain compliant with regulations. The message should confirm the number of records received. If for some reason the upload is not successful, error messages should be returned that are understandable. The option to upload an entire file each month should be available as well as the ability to upload changes only. While the trade would like to start with an Excel spreadsheet as a means of providing employee information, ultimately the trade would like to have an EDI option as well.</p> | 3/1/2008 | Pending | A2.4 | <p>SEPTEMBER 10, 2009 - THIS REQUIREMENT (CSPO-GIF-1036) WAS RESUBMITTED FOR CBP CHANGE CONTROL BOARD (CCB) REVIEW TO ENSURE THAT THE FUNCTIONALITY WILL BE DELIVERED</p> <p>MAY 19, 2009 - THIS REQUIREMENT (CSPO GIF 664) IS LISTED AS "CLOSED" AND "DEFERRED" IN THE DIMENSIONS DATABASE. THE CLOSED STATUS LISTED THE FOLLOWING CONDITION " Will be included in A2.4". THE DEFERRED STATUS LISTED THE FOLLOWING CONDITION "Lower priority; doesn't need to be completed at the present time".</p> <p>Update: 03/25/08 - Approved and deferred until A2.4</p> |
| <i>Owner:</i> | | | Monica Crockett | | | |
| ACT-GIF 665 | 665 | <p>The Manage Access tab should be used for all aspects of an individuals' access, information, associations, etc within the ACE portal. This would include the ability to associate a person to a specific port for reporting requirements, license qualification for brokers at all levels, and all designations (licensed) etc. In essence, the manage access tab would be used as a database to feed all the other tabs.</p> <p>The current functionality requires identical input of data in two separate areas. This is redundant and results in a loss of productivity. The original vision was to have a single entry point of data for all information with regards to people.</p> | 3/1/2008 | Pending | A2.4 | <p>MAY 19, 2009 - THIS REQUIREMENT (CSPO GIF 665) WAS DEFERRED BY THE CCB ON MARCH 19, 2008 WITH THE FOLLOWING CONDITIONS "Lower priority; doesn't need to be completed at the present time".</p> <p>Update 3/25/2008 - Approved and deferred until A2.4</p> |
| <i>Owner:</i> | | | Monica Crockett | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ACT-GIF 707 | 707 | <p>The current delivery of the Authorized Data Extract (ADE) allows the trade to access currently existing reports in a way that allows for more data to be pulled at one time and that allows the user to obtain the data in a .CSV format so that it can be analyzed offline from ACE. Each of the current data downloads cover a portion of the entry/entry summary process. In separate downloads, the trade can obtain the following information: entry summary data, ADD/CVD information, entry processing (cargo release data), exam information, team review results, Accounts Receivable information, and AR Aged information. The trade had requested, in the past, to get all of this data in a single download, so that the entire history of an entry could be seen at the same time. It has been proposed that these separate downloads can be somehow tied to one another, by the trade, in order to see that history. However, since these separate downloads have separate filters (some use release date, some use summary date as an example), and each dataset uses different keys in the results. Some downloads are at a detail level and some are at a summary level. So, it is not possible for the trade to align these separate downloads into one complete history of a single entry, because the data periods may or may not align as it is currently designed.</p> <p>It is the request of the trade that ACE align this data as appropriate so that the trade can obtain a complete import life cycle of the transactions filed with CBP in a single data download (as is received today in the ITRAC data download). While we understand that the data mart has been designed to support these particular reports for efficiency, it is imperative that the trade be able to trace a transaction throughout the data in a single download. This is not possible in the current delivery of ADE. This request data download may not be support by the existing data views, but it can be managed and optimized in a new data view in the data mart.</p> | 4/26/2008 | Pending CBP HQ Input | A2.3 | <p>SEPTEMBER 16, 2011 - This requirement is pending CBP TMAC review for cargo release. Much of the information requested falls outside the scope of cargo release. However, all data that will be housed in the data warehouse will be available to query for authorized users.</p> <p>JANUARY 7, 2011-This GIF was updated and submitted for CBP Change Control Board (CCB) review as part of the cargo release revalidation effort.</p> <p>MAY 19, 2009 - THIS REQUIREMENT (CSPO GIF 707) WAS DEFERRED BY CPB CCB ON MAY 8, 2008 WITH THE FOLLOWING CONDITION "Meeting will be held to discuss in further detail. GIF will be brought back to a future CSPO CCB".</p> <p>Update: 4/20/2009 - Submitted to CBP for review</p> |
| | | | | <i>Owner:</i> | | Monica Crockett |

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| ACT-GIF 657 | 657 | <p>With A1 implementation, brokers are now able to input and view data related to their 19 CFR 111 reporting responsibilities, for instance, the reporting of broker employees and employee information such as social security number, home address, previous employment history, etc.</p> <p>Brokers need to be able to restrict access to this personal data in such a manner that only certain of their employees can edit or view. Currently, access can be denied a user by completely removing that user's access to the Accounts tab. However, any user that has permission to run reports can run any of the newly created reports found in the "Public Folders" under "Account Management"/"Broker Permits and Employees" sub-folder. The ability must exist to allow users to run reports such as those found in the "Aggregate Reports", "Detail Reports", and "Quick Views" sub-folders, while restricting that same user from "Broker Permits and Employees" reports which contain confidential personal information.</p> <p>One way to do this would be to allow the Trade Account Owner or the Proxy to assign access privileges to the various report folders (i.e. Account Management folder, Account Revenue folder, Personnel Records folder, etc) in lieu of just being able to grant access to the Reports Tab. See attached illustration. This would ensure the privacy and confidentiality of personnel reports for brokers, cartmen, lightermen, warehouses and in the future foreign trade zones. It would also address an earlier recommendation that access to Account Revenue reports be restricted to those with a need to know the financial information in the company.</p> | 2/25/2008 | Pending | A2.3, A2.4 | <p>MAY 19, 2009 - THIS REQUIREMENT WAS ACCEPTED BY THE CBP CCB ON MARCH 12, 2008.</p> <p>Update: 3/25/08 - Approved but with a low priority</p> |
| | | <i>Owner:</i> | Monica Crockett | | | |

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| ACT-GIF-721 | 721 | <p>PROXY TRADE ACCOUNT OWNER: With ESAR A2.2, importers will have the ability to elect to use the ACE Portal as their means of communication for such CBP forms as 28s, 29s, and 4647s when the entry summary is filed using the AE record identifier. These forms will appear in the Task List for authorized trade users to respond to within the designated time frame. It therefore becomes imperative for the Trade Account Owner to have a true backup to ensure compliance with CBP rules and regulations. The Accounts Committee is recommending the creation of a new trade user role, Proxy Trade Account Owner. The Proxy Trade Account Owner would serve as the back up for the TAO and would have all the same privileges the TAO has with the exception of being able to create another Proxy Trade Account Owner. The Proxy Trade Account Owner by default would have access to all IR numbers in the account, all port codes, all SCAC codes, all surety codes, etc. They would be notified as the TAO is when new IR numbers, SCACs, etc. are added to the account. In addition, the Proxy Trade Account Owner, like the TAO does today, would be able to assign IR numbers, port codes, SCACs, etc. to proxies and trade users. Although a proxy TAO today has almost all of the abilities of a TAO, what they don't have, which the Proxy Trade Account Owner would have is, once an identifier/account has been added to the organization tree, to automatically have access to that account, run reports, manage access, etc. to that account. Each account would be able to designate one or two Proxy Trade Account Owners. Currently the proxy may add an identifier/account to the organizational tree, but they do not have access until the TAO give them access. This means that only when the TAO is available to grant the proxy access can the proxy or any trade account user get access to the new account. If the TAO is on vacation, out of the country on business, on maternity leave, etc. no action can be taken on a new account until the TAO gives access to a proxy or user. The trade views the Proxy TAO as an intricate part of the business continuity program. Failure to have an option for emergencies, contingencies, disasters is detrimental to managing their portal account. Unfortunately the current workaround is "password sharing" by the TAO. TAO's do NOT approve of "password sharing" but find no alternative currently. This GIF applies to all Trade Account types.</p> | 5/23/2008 | Pending | A2.3 | MAY 28, 2008 - THIS REQUIREMENT (CSPO-GIF-721) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW |

Owner: Monica Crockett

| Requirement # | GIF | TSN Requirement Description | Submission date | Status | Release (s) | Comments |
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| BUS-007-DET | 935 | Category: Account Profile Provide users the web-based functionality to access and manage account profile data. | 5/15/2001 | Accepted in Part | 2, 3, A1, A2.1, A2.2, A2.3, M1, M2, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>July 16, 2009: This requirement (GIF 935) is pending CBP Change Control Board (CCB Review)</p> <p>062909: Existing functionality, if carried through to M1 and future releases, will meet this trade requirement.</p> <p>Status: 03/26/2008 - ESAR review - this functionality will be continuous in ESAR A2.1, A2.2, A2.3 and A2.4.</p> <p>This functionality to access and manage account profile data is being provided within Release 2 & 3 for Importers, Brokers and Carriers. Other account types proposed for future releases include FTZ's and Sureties.</p> <p><i>Owner:</i> Susan Maskell</p> |
| BUS-016-DET | 936 | Category: Security Implement data privacy and provide for role-based access according to user levels. | 5/15/2001 | Accepted in Part | 2, 3, 4, A1, A2, A3, M1, M2, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>JULY 16, 2009: THIS REQUIREMENT (GIF 936) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>062909: Existing functionality, if carried through to M1 and future releases, will meet this trade requirement.</p> <p>Status: 03/26/2008 - ESAR review - this functionality will be provided throughout the ACE releases.</p> <p>Role based access to trade account data is being provided in Release 2 & 3.</p> <p><i>Owner:</i> Susan Maskell</p> |

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| ENT-003 | 690 | <p>Release: Implement Importer Activity Summary Statement (IASS) to provide an option for aggregate filing of statistical and revenue data for multiple releases on a monthly basis.</p> <p>A. The IASS will consist of "reconfigured entries", i.e., entries filed on an IASS which substitute for all or part of an importer's entries. In general, reconfigured entries will each contain rolled-up data, aggregating values and quantities of common elements such as multiple imports of goods with the same tariff number. In any case, the reconfigured entry will be a legal entity for purposes of finality and for purposes of satisfying the requirement that summary information be filed (e.g., The summary declaration for a month) for filers who use it instead of individual entry summaries.</p> <p>B. If Customs needs to extend for reasons allowed by law (i.e., additional information is needed from the importer/filer), the entire IASS (all reconfigured entries filed on an IASS) should not be extended. Rather, either via extension or a new reconciliation requested by Customs, only those reconfigured entries on the IASS with respect to which it has insufficient information to should remain open.</p> <p>B. Multiple refunds per IASS must be allowed. C. Multiple IASS's can be filed for a month. D. Importers need the flexibility to structure the IASS in a manner that best comports with their business process.</p> | 6/21/2001 | Pending | A3 | <p>July 14, 2009: THIS REQUIREMENT (GIF 690) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>3/18/08: CSPO-GIF-690</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Committee for review. GIF 003 includes ENT 003.1 and ENT 003.2</p> <p>1/25/08 All IASS requirements are to be reviewed by the IASS subcommittee</p> <p>8/9/07 - ALL IASS AND RECONFIGURED ENTRY REQUIREMENTS NEED TO BE SUBMITTED AS SEPARATE GIFS.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. This requirement recommendation is based on the IASS being the legal entity and thus needs to be re-written. The requirement is being returned to the Entry Committee for incorporation in one comprehensive requirement addressing IASS.</p> <p>Revised 9/24/04 to clarify that the IASS will consist of reconfigured entries. Pending reconfigured entry legislation.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> |
| | | | | | | <p><i>Owner:</i> Monica Crockett</p> |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ENT-003.1 | 690 | In addition, because the reconfigured entries in an IASS will cover aggregate import activity during an entire month, multiple protest (and request for re-liquidation) issues are likely to abound. For some issues, Customs may be willing to grant a protest, while other issues may be subject to judicial contest. The use of reconfigured entries on an IASS enables each issue with respect to the covered imports to be handled individually without reference to other reconfigured entries. | 6/21/2001 | Pending | A3 | <p>JULY 14, 2009: THIS REQUIREMENT (GIF 690) IS PENDING CBP CHANGER CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>4/8/08: This requirement has been submitted to CBP for GIF Board review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review. GIF 003 includes ENT 003.1 and ENT 003.2</p> <p>1/25/08 All IASS requirements are to be reviewed by the IASS subcommittee</p> <p>8/9/07 - ALL IASS AND RECONFIGURED ENTRY REQUIRMENTS NEED TO BE SUBMITTED AS SEPARATE GIFS.</p> <p>Revised 09/24/04 to reflect the IASS will consist of reconfigured entries. Pending reconfigured entry legislation.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> |
| | | | <i>Owner:</i> | Monica Crockett | | |

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| ENT-003.2 | 690 | The use of reconfigured entries on an IASS enables each issue with respect to the covered imports to be handled individually without reference to other reconfigured entries. This allows importers to receive refunds without having to wait for all issues to be resolved as would be the case if the entire IASS was the legal entity and required re-liquidation. This protects importers' interest in maintaining cash flows and solves the government's dilemma of accumulating large interest obligations on uncontested principal amounts that would otherwise have to await resolution of other issues in the IASS. Importers should have flexibility to structure the IASS in the manner that best comports with their business practices (e.g., either by importer number, port, etc.). Multiple IASS's can be filed for a month. This flexibility simplifies the aggregation rules required for an individual IASS. | 6/21/2001 | Pending | A3 | <p>JULY 14, 2009: THIS REQUIREMENT (GIF690) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>4/8/08: This requirement has been submitted to CBP for GIF Board review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review. GIF 003 includes ENT 003.1 and ENT 003.2.</p> <p>1/25/08 All IASS requirements are to be reviewed by the IASS subcommittee</p> <p>8/9/07 - ALL IASS AND RECONFIGURED ENTRY REQUIREMENTS NEED TO BE SUBMITTED AS SEPARATE GIFS.</p> <p>Revised 9/24/04 to reflect the IASS will consist of reconfigured entries. Pending reconfigured entry legislation.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> |
| | | | | <i>Owner:</i> | Monica Crockett | |

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| ENT-004 | 922 | <p>Post Release: Importers should have flexibility to use an IASS or any of the prescribed methods for completing statistical and accounting requirements.</p> <p>A. ACE should accommodate full data at release, or within a specified period after release.</p> <p>B. ACE should accommodate release followed by the filing of an IASS containing statistical and revenue data for each release not later than a specific number of days after the close of the month in which a shipment is released.</p> <p>C. ACE should accommodate release followed by an IASS summarizing statistical and revenue activity for the previous month, filed no later than a specific number of days after the closed of the month in which a shipment is released.</p> | 6/21/2001 | Pending | A3 | <p>JULY 14, 2009: THIS REQUIREMENT (GIF 922) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>4/8/08: This requirement has been submitted to CBP for GIF Board review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements are to be reviewed by the IASS subcommittee</p> <p>8/9/07 - ALL IASS AND RECONFIGURED ENTRY REQUIREMENTS NEED TO BE SUBMITTED AS SEPARATE GIFS.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. This requirement recommendation is based on the IASS being the legal entity and thus needs to be re-written. The requirement is being returned to the Entry Committee for incorporation in one comprehensive requirement addressing IASS. Pending reconfigured entry legislation.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> |
| | | | | <i>Owner:</i> | Monica Crockett | |

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| ENT-005 | 923 | The IASS, as originally introduced into the law, was a consolidation/aggregation of periodic entry summary data; it was envisioned as the legal entity (i.e., the entry) for purposes of finality. The trade community required a change to this status so that if CBP extends liquidation it should extend only those lines on the IASS with respect to which it has insufficient information to finalize the IASS. As a result, the "reconfigured entry," as described in ENT-003 was developed. Consequently, for purposed of extension, it is the reconfigured entry (both for filers that report each release in list in the IASS and for those that summarize aggregated/rolled-up monthly import data) that will be extended, rather than the entire IASS. | 6/21/2001 | Pending | A3 | <p>JULY 14, 2009: THIS REQUIREMENT (GIF 923) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>4/8/08: This requirement has been submitted to to CBP for GIF Board review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements are to be reviewed by the IASS subcommittee</p> <p>8/9/07 - ALL IASS AND RECONFIGURED ENTRY REQUIRMENTS NEED TO BE SUBMITTED AS SEPARATE GIFS.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. It has now been decided that the IASS will not be the legal entity. The IASS summary itself will function as a box. Each line item on the IASS will be considered a reconfigured entry summary and will be subject to liquidation, protest, extension and etc. This requirement recommendation is based on the IASS being the legal entity and thus needs to be re-written. The requirement is being returned to the Entry Committee for incorporation in one comprehensive requirement addressing IASS.</p> <p>Revised 9/24/04 to reflect the reconfigured entry.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> |

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| ENT-006 | 921 | <p>Post-release. Importers should have flexibility to structure the IASS in the manner that best comports with their business practices (e.g. by importer number, port, etc.)</p> <p>A. The trade should not have a flag at the time of release, indicating the type of follow-up: individual summary of IASS.</p> <p>B. The release should be treated as an IOU, with satisfaction coming through the actual filing of an individual summary (currently within 10 working days) or through an IASS.</p> | 6/21/2001 | Pending | A3 | <p>JULY 14, 2009: THIS REQUIREMENT (GIF 921) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>4/8/08: This requirement has been submitted to CBP for GIF Board review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements are to be reviewed by the IASS subcommittee</p> <p>8/9/07 - ALL IASS AND RECONFIGURED ENTRY REQUIREMENTS NEED TO BE SUBMITTED AS SEPARATE GIFS.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. This requirement recommendation is based on the IASS being the legal entity and thus needs to be re-written. The requirement is being returned to the Entry Committee for incorporation in one comprehensive requirement addressing IASS.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> |
| | | | | <i>Owner:</i> | Monica Crockett | |

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| ENT-008 | 1087 | DATA ELEMENT EXPANSION: Expansion of data elements under reconciliation that can be revised. Additionally, proposal of new narrower flags, e.g., value assist and broad flags. A. All data elements should be subject to revision through the filing of a post-entry amendment or reconciliation. B. Provide for both broad flagging of an issue like value and for narrow flags like dutiable commissions to reduce contingent liabilities. | 6/21/2001 | Pending | A2.3 | <p>OCTOBER 23, 2009 - THIS REQUIREMENT (CSPO-GIF-1087) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>6/29/09: this requirement was submitted to the ESAR team for review as part of the reconciliation requirements; this requirement is also still outstanding for legal/policy review (email sent to legal on 1/27/09)</p> <p>4/20/09: Pending further Committee review (also submitted to legal/policy review on 1/27/09)</p> <p>Status: 03/26/2008 - Per ESAR review legal policy approval will also be required.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All reconciliation requirements are to be reviewed by the reconciliation subcommittee</p> <p>8/9/07 - Will require HQ and legal policy approval. A SEPARATE GIF WILL BE REQUIRED.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. There is an addition requirement submitted by the Reconciliation Subcommittee providing recommendations for addition reconciliation issues. This requirement is being referred to the Entry Committee for further input. CBP is rejecting the request for narrow flags.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: Partially implemented in A2. the reconciliation reasons are not being changed for A2 with the exception of an additional reason for AD/CVD Reconciliation. The Post Summary Correction component is being implemented in A2. Will continue to review the requirement.</p> |

Owner: Monica Crockett

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| ENT-009 | 908 | Aggregate Reconciliation: ACE should accommodate current policies/options to file aggregate reconciliations. In addition, once CBP has been satisfied that its release control function requirement has been met, there should be no need to link reconciliation back to individual releases. | 6/21/2001 | Pending | A2.4 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF- 908) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>6/29/09: this requirement was submitted to the ESAR team for review as part of the reconciliation requirements</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All reconciliation requirements are to be reviewed by the reconciliation subcommittee</p> <p>8/9/07 - Aggregate reconciliation is in scope for A2. WILL REQUIRE A SEPARATE GIF.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. This requirement is being referred to CBP for additional input on aggregate reconciliation. CBP is rejecting the statement that once CBP has been satisfied that its release control function requirement has been met, there should be no need to link the reconciliation back to the individual releases. (072704: Changed to L/P. SM)</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: Partial acceptance in A2. Still being reviewed by CBP.</p> |

Owner: Monica Crockett

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ENT-010 | 924 | <p>Importers should be able to designate either prospectively, or retrospectively those elements of an ES/IASS they want to reconcile or revise. Business reality dictates that an importer will frequently not know or be able to identify on a prospective basis the types of adjustments it will need to make. While an importer filing computed value reconciliations will always know ahead of time that Computed Values will have to be adjusted, an importer using Transaction Value may not have such a general knowledge. For example, a given importer knows that for the vast majority (99.9%) of its business, Transaction Value will be based on the price paid or payable, without any adjustments to price or for the other statutory additions provided for in 19 USC 1401a(b). On occasion, however, certain business transactions may occur which may impact dutiable value. For a specific group of SKU's, months after import, the importer may receive a bill for a special mold that had to be provided to the manufacturer. Upon performing a review, the importer's compliance department discovers and validates that the mold expense relates to merchandise previously imported. At that point in time, the importer is ready to signal intent to reconcile as to the specific SKU's (and issue) affected.</p> <p>Retroactive flagging does not guarantee that every issue will be identified in a manner timely enough to capture within the reconciliation process, i.e., discovery of the issue may still occur after the deadline for retroactive flagging, necessitating an SIL or prior disclosure. However, many importers do not believe that aberrational adjustments warrant the prospective blanket flagging of all import activity just in case a Reconciliation adjustment may be necessary. Such blanket prospective flagging would require that the importer who might have an assist covering ten out of ten thousand SKU's, flag each and every summary/IASS covering all SKU's. Moreover, it is inequitable to create huge contingent liabilities with respect to an importer as a result of flagging all activity for the few aberrations (for finality purposes, Customs currently treats each flagged element as open (unliquidated) for one year after the Reconciliation is filed plus extensions, when applicable). Retroactivity provides the means to pinpoint the exact merchandise and issues subject to adjustment. Such pinpointing allows every thing else to liquidate.</p> <p>Under current legal and policy protocols, Customs needs to know what will be reconciled prior to liquidation, so that the items (e.g. IASS line (s)) will</p> | 6/21/2001 | Pending | A3 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-924) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 927)</p> <p>6/29/09: This requirement was submitted to the ESAR team for review as part of the reconciliation requirements</p> <p>Status: 03/26/2008 - Per ESAR review this requirement needs to be split into an IASS component and a Reconciliation component. Reconciliation is scheduled for development in ESAR A2.3 and IASS is scheduled for development in ESAR A3.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements will need to be reviewed by the IASS subcommittee</p> <p>8/9/07 - WILL REQUIRE A SEPARATE GIF FOR IASS AND RECONCILIATION.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. ENT 039 also references prospective and retrospective flagging in more detail.</p> <p>Revised 9/24/04</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: partial acceptance in A2. Retroactive flagging for reconciliation will be supported in A2 via a Post Summary correction. It is unknown how this will be supported in IASS.</p> |

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| | | <p>remain open for adjustment by the importer. However, in the case of Aggregate Reconciliation, where the aggregate adjustments are never traced back to individual entry summaries, there is no systems logic to flagging summaries or IASS's. In the latter case, the items are flagged and then the flags are resolved as a pro-forma process.</p> <p><i>Owner:</i> Monica Crockett</p> | | | | |
| ENT-016 | 925 | The Importer Activity Summary Statement (IASS) should be national, not port specific. However, the port needs to be identified for statistical purposes. | 7/11/2001 | Pending | A3 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-925) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 927)</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements are to be reviewed/ revised by the IASS subcommittee</p> <p>8/9/07 - Will require a GIF</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. This requirement recommendation is based on the IASS being the legal entity and thus needs to be re-written. The requirement is being returned to the Entry Committee for incorporation in one comprehensive requirement addressing IASS.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p><i>Owner:</i> Monica Crockett</p> |

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| ENT-017 | 926 | Multiple IASSs can be filed for a month. The Trade community should have the flexibility to determine what to put on an IASS and how many to file. This flexibility simplifies the aggregation rules required for an individual IASS. | 7/11/2001 | Pending | A3 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-926) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 927)</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements are to be reviewed/revise by the IASS subcommittee</p> <p>8/9/07 - Will require a GIF.</p> <p>Subject to CBP policy determination and possible statutory and regulatory changes. This requirement recommendation is based on the IASS being the legal entity and thus needs to be re-written. The requirement is being returned to the Entry Committee for incorporation in one comprehensive requirement addressing IASS.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> |

Owner: Monica Crockett

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ENT-030 | 917 | ACE shall notify the importer when a sample is taken. A. ACE shall identify the reason the sample is being taken. B. ACE shall identify the date the sample was sent to the laboratory. C. ACE shall identify the lab (location) where the sample was sent. D. ACE shall provide lab findings and reports from CBP and PGAs. E. ACE shall display the information on the web portal. | 6/10/2002 | Pending | A2.4 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-917) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/27/09: This requirement was submitted to the ESAR team for review.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Committee for review.</p> <p>1/25/08 This requirement will need to be converted into a GIF; additional input is also needed from CBP</p> <p>8/28/07 - Requires further review by OFO - Jim Swanson</p> <p>Subject to CBP policy considerations. Points A, B and E have preliminary CBP approval while points C and D are being referred to CBP for input.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: CCR, not PR.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |
| ENT-031 | 928 | ACE shall automate the filing of the Consolidated Informal Entry Summary provided for under 19 CFR 142.17a (One Consolidated Entry Summary for Multiple Ultimate Consignees). ACE shall post the consolidated entry summary on the daily or periodic statement for payment. ACE shall maintain the existing liquidation cycle for informal entries. | 5/26/2003 | Pending CBP L/P Input | A3 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-928) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/27/09: Transferred into a GIF and submitted for legal/policy review</p> <p>Status: 03/26/2008 - Per ESAR review legal policy approval will also be required.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 This requirement is to be reviewed by the Entry Committee; Has a GIF already been prepared by Don Woods?</p> <p>8/9/07 - Need to be an ABI filer to file PMS. Need input from Phyllis Henry on crossover Revenue issues.</p> <p>Subject to CBP legal and policy considerations.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |

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| ENT-039 | 915 | <p>The trade needs the ability to de-flag entries and reconfigured entries/IASS lines previously flagged for reconciliation. The trade also needs the ability to retroactively flag entries and reconfigured entries/IASS lines for reconciliation.</p> <p>A. A reason code will be provided to indicate why the entry is being de-flagged. B. CBP's approval is required for some reason codes but not for "no change". C. ACE should provide the flexibility for an importer or their designated broker to do aggregate de-flagging, by this we mean an importer should be able to de-flag a group of entry summaries at one time as opposed to individually. D. Importers may NOT retroactively add reconciliation flags after the entry or reconfigured entry liquidates. E. ACE should allow de-flagging either through the portal or via EDI. F. De-flagging would need to occur within the 21 month time frame set for filing the reconciliation entry. G. In addition to de-flagging, the trade needs the ability to change the reconciliation issue flag. H. The filer should have the option of transmitting minimal data elements or the complete entry summary to accomplish the de-flagging, retroactively flagging or changes to the reconciliation issue flag.</p> | 5/17/2004 | Pending | A2.3; A3 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-915) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>6/29/09: This requirement was submitted to the ESAR team as part of the reconciliation requirements review</p> <p>7/14/09: ENT 003 and all of its subparts have been incorporated into one requirement (that is, GIF 690). Additionally, this requirement incorporates by reference, the following: IASS White Paper; ENT 004 (CSPO GIF 922); ENT 005 (CSPO GIF 923); ENT 006 (CSPO GIF 921); ENT 010 (CSPO GIF 924); ENT 016 (CSPO GIF 925); ENT 017 (CSPO GIF 926); ENT 039 (CSPO GIF 915)</p> <p>Status: 03/26/2008 - Per ESAR review this requirement should be split into an IASS and Reconciliation component. IASS is scheduled for development in ESAR A3 and Reconciliation is scheduled for development in ESAR A2.3.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 All IASS requirements are to be reviewed/revise by the IASS subcommittee</p> <p>8/9/07 - Will require a GIF.</p> <p>Subject to CBP policy considerations. Points A through E have preliminary CBP approval. Point F has preliminary approval but the time period should read 10 months. Point G requires additional explanation from the Subcommittee and CBP input. Point H also requires CBP input.</p> <p>Updated 10/16/2005 to reflect current ESAR plan. Deflagging has been rejected. Retroactive has preliminary CBP approval.</p> <p>11/2/05: Partial acceptance in A2 Retroactive flagging will be supported in A2 via a Post Summary Correction. IASS impacts are unknown.</p> |

Owner: Monica Crockett

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| ENT-040 | 1089 | <p>EXPANSION OF RECONCILIATION ISSUES: ACE should provide the ability for the trade to file quantity reconciliations, except on quota lines where a quantity change could indicate an admissibility issue.</p> <p>ACE should provide for the expansion of the use of HTS reconciliation to include American Goods Returned when AGR has been claimed at the time of summary filing.</p> <p>ACE should provide the flexibility for additional reconciliation categories as might be needed in the future to reconcile trade agreements.</p> | 5/17/2004 | Pending | A2.3 | <p>OCTOBER 23, 2009 - THIS REQUIREMENT (CSPO-GIF-1089) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>6/29/09: This requirement was sent to the ESAR team as part of their reconciliation requirements review</p> <p>4/27/09: This requirement is awaiting further Committee review</p> <p>3/06/2008 - This requirement has been integrated into ENT-008 and is pending further Entry committee review.</p> <p>1/25/08 All reconciliation requirements are to be reviewed/revise by the reconciliation subcommittee</p> <p>8/9/07 - Needs legal policy input and will require a GIF.</p> <p>Subject to CBP policy considerations. (072704: Changed to L/P. SM)</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: This is not planned for A2. Need L&P approval before implementing in a future release.</p> |
| | | | | <i>Owner:</i> | Monica Crockett | |

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| ENT-041 | 930 | <p>The trade needs the ability to make post-summary corrections through the portal during the 10 month period when corrections by the trade will be allowed. Changes to the entry summary and the reconfigured entry may occur at the header or the line level.</p> <p>A. The trade also needs the ability to make post-summary corrections via EDI. B. The trade needs to be notified when CBP makes a change to the entry summary or reconfigured entry. C. The trade should not need to retransmit the entire entry or reconfigured entry if just one piece of information is changing. D. ACE should accommodate either a retransmission of the entire entry summary/reconfigured entry or a correction to certain fields. Corrections at the line level may result in corrections to the entry totals. E. The trade requires that any electronically made adjustment have the capability to credit or debit the subject entry's duties, taxes, and fees. F. The trade needs the ability to electronically correct entries/reconfigured entries via ABI as well as through the web portal and EDI. G. The trade will supply a reason code, general in nature, which describes the asserted change. H. The trade should have the ability to designate the change as being covered under prior disclosure provisions. I. The trade requires this electronic feature to provide similar features to the current Post Entry Amendment process. The trade also needs the option to report any change immediately whether major or minor.</p> | 5/17/2004 | Pending | A2.3 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-930) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>6/29/09: This requirement was submitted to the ESAR team as part of their post summary corrections requirements review</p> <p>4/20/09: This requirement will be revised and will be transferred into a GIF; this requirement was discussed with CBP during the 3/31-4/1 Trade Ambassador Work Session; the request for PSC via the Portal will be submitted as a separate GIF by the Accounts Committee</p> <p>Status: 03/26/2008 - Per ESAR review: Item C - trade requested retransmission of entry summary not just one piece.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>8/9/07 - Post Summary Corrections will be available and the time period will be 183 days not 10 months. Item A - OK Item B - Yes as an ABI query - not a notification. Item C - Valarie Neuhart needs to confirm. Item D - OK Item E - OK Item F - not in scope for the Portal in A2. Item G - OK Item H - Not in scope Item I - OK</p> <p>Points A and B have preliminary CBP approval. Points C and D need to be referred to the Reconciliation Subcommittee. CBP would like one decision from the trade community. Point E is referred for CBP Legal Policy review. Point F and G have preliminary CBP approval. Point H needs to be clarified by the Reconciliation Subcommittee and point I has preliminary CBP approval.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: Partial acceptance. This is currently only planned via ABI and not via the ACE Portal.</p> <p>1/26/07: Post Summary Correction is currently planned to be allowed up to 183 calendar days from the date of Release.</p> |

Owner: Monica Crockett

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| ENT-045 | 907 | ACE should allow the paperless release on warehouse withdrawals for consumption (type 31) on the classes of merchandise where a paperless release is currently allowed in ACS on a consumption entry (type 01). | 9/24/2004 | Accepted in Part | CR | <p>SEPTEMBER 16, 2011 - Per legal policy review this requirement is accepted in part for cargo release. Additional review is required. CBP supports a paperless warehouse withdrawal, however, electronic blanket withdrawals and inventory controls are out of scope.</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-907) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/27/09: Transferred into a GIF and submitted for legal/policy review</p> <p>Status: 03/26/2008 - Per ESAR review legal policy approval will also be required.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review. ENT-045 includes both ENT045.1 and ENT-045.2</p> <p>8/9/07 Pending legal policy review.</p> <p>May require legal policy review</p> <p>Pilot is currently proposed under ACS</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: subject to pilot programs initiated by CBP</p> |

Owner: Vince Annunziato

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| ENT-045.1 | 907 | In the same vein, ACE should allow paperless release on the classes of merchandise entered for warehouse (types 21 and 22) that ACS allows a paperless release when entered for consumption (type 01). | 9/24/2004 | Pending CBP L/P Input | M2.3 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-907) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/27/09: Transferred into a GIF and submitted for legal/policy review</p> <p>Status: 03/26/2008 - Per ESAR review legal policy approval will also be required.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review. ENT-045 includes both ENT045.1 and ENT-045.2</p> <p>8/9/07 - pending legal policy review</p> <p>May require legal policy review.</p> <p>Pilot is currently proposed under ACS</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: subject to pilot programs initiated by CBP</p> |
| <i>Owner:</i> Vince Annunziato | | | | | | |
| ENT-045.2 | 907 | To enable the paperless release of warehouse entries, ACE must provide a mechanism to allow the specific designations that are currently manually indicated on the face of the CF7501 to be electronically transmitted such as requests for blanket withdrawals provided for in 19.6(d), use of the FIFO method of recordkeeping required in 19.12(f)(6), and the statement when merchandise is entered "For Export Only." | 9/24/2004 | Pending CBP L/P Input | M2.3 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-907) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/27/09: Transferred into a GIF and submitted for legal/policy review</p> <p>Status: 03/26/2008 - Per ESAR review legal policy approval will also be required.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review. ENT-045 includes both ENT045.1 and ENT-045.2</p> <p>8/9/07 - In scope for implementation</p> <p>Updated 10/16/2005 to reflect current ESAR plan. Crossover with Accounts</p> |
| <i>Owner:</i> Vince Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ENT-050 | 913 | <p>ACE should allow paperless release on warehouse entries (types 21 and 22) on the classes of merchandise where ACS currently allows a paperless release when entered for consumption (type 01). Reference ENT 045.</p> <p>To enable the paperless release of warehouse entries, ACE must provide a mechanism to allow the specific designations that are currently manually indicated on the face of the CF 7501 to be transmitted electronically such as requests for blanket withdrawals provided for in 19.6 (d), use/approval of the FIFO method of recordkeeping required in 19.12 (f)(6), and the statement when merchandise is entered "For Export Only".</p> <p>ACE must also allow transmission of cargo selectivity on entry type 22.</p> | 11/1/2004 | Accepted in Part | CR | <p>SEPTEMBER 16, 2011 - Per legal policy review this requirement is accepted in part with additional review required. CBP supports a paperless warehouse withdrawal, however electronic blanket withdrawals and inventory controls are out of scope.</p> <p>JULY 13, 2009: THIS REQUIREMENT (CSPO-GIF- 913) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW - ENT-050-M2.3-PAPERLESS RELEASE ON WAREHOUSE ENTRIES (TYPES 21 & 22).</p> <p>1/27/09: Transferred into a GIF and submitted for legal/policy review</p> <p>4/8/08: This requirement has been submitted to CBP for GIF Board review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 This requirement will require a GIF; further input required from the bonded warehouse subcommittee.</p> <p>8/9/07 - Will require a GIF for further CBP review.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: OPEN Needs further discussion by CBP.</p> |
| | | | <i>Owner:</i> | Vince Annunziato | | |

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| ENT-051 | 914 | <p>ACE should provide a mechanism that will permit warehouse entries (types 21 and 22) covering tax exempt goods of domestic origin (US Country of Export) to be electronically transmitted and processed through ACE. (Reference ENT-048). The mechanism must provide a means to differentiate tax exempt domestic goods entered for warehouse from entires of American Goods Returned.</p> <p>For the Census Bureau and the thade to be able to track this merchandise separately, a new country code for tax exempt domestically produced goods is recommended; the existing "US" country of origin code is designated for American Goods Returned only.</p> | 11/1/2004 | Pending CBP L/P Input | A2.3 | <p>JULY 13, 2009: THIS REQUIREMENT (GIF 914) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW - ENT-051-A2.3-AUTOMATE WAREHOUSE ENTRIES FOR TAX EXEMPT DOMESTIC GOODS/US COUNTRY OF EXPORT.</p> <p>1/27/09: Transferred into a GIF and submitted for legal/policy review</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 This requirement will require a GIF; further input required from the bonded warehouse subcommittee.</p> <p>8/9/07 - Will require a GIF for further CBP review.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: This is not planned. Not in A2.</p> |
| | | | <i>Owner:</i> | Monica Crockett | | |
| ENT-052 | 918 | <p>ACE should expand the eligibility qualifications for Remote Location Filing to include entry types 21 (warehouse) and 22 (rwarehouse).</p> | 11/1/2004 | Pending | A2.3 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-918) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>3/6/08: This requirement has been transferred to a GIF and is with the Entry Committee for further review.</p> <p>1/25/08 This requirement will require a GIF; further input required from the bonded warehouse subcommittee.</p> <p>8/9/07 Will require a GIF for further CBP review.</p> <p>Updated 10/16/2005 to reflect current ESAR plan.</p> <p>11/2/05: This has not been discussed. Still possible for A2 if appropriate direction provided by CBP OFO.</p> |
| | | | <i>Owner:</i> | Monica Crockett | | |

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| ENT-062 | 896 | <p>Trade members consisting of law firms and economic consulting firms would like to request a new and separate ACE Account category, entitled AD/CVD Interested Parties. For the most part, this Account would be available to those law firms and economic consulting firms who participate in the Department of Commerce and International Trade Commission proceedings for specific AD/CVD cases. However, other Interested Parties, such as trade associations, could also apply for ACE Interested Party Account status.</p> <p>As ACE Accounts, approved AD/CVD Interested Parties would have the same access, through their Portal, as importers, filers and sureties will have to the Commerce AD/CVD Case Information Management System that was previewed for the Trade Ambassadors at the December 17, 2008 ESAR Trade Session.</p> <p>Additionally, AD/CVD Interested Party Accounts would have limited functionality with regard to AD/CVD Reports. Accounts should be able to get reports on AD/CV duties paid, AD/CV duties bonded, and AD/CV duties liquidated during a specific Commerce Period-of-Review (POR). These summary reports would only be available at the 7-digit case level, not the 10-digit case level. Under this restriction, no individual entry information would be available, nor any value summary information. The information proposed for these reports is already provided by Customs on their website, but on a fiscal year basis.</p> <p>This GIF would require no additional information. It would only involve establishing certain limits to existing data and reports.</p> | | Pending | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-896) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>5/4/09: This GIF was discussed during the March 31-April 1 ESAR Trade Ambassador Work Session. This GIF was further discussed during a 5/1/09 internal call with the Office of International Trade and a dermination is pending from that office.</p> |
| | | | <i>Owner:</i> | Monica Crockett | |

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| ENT-063 | 903 | <p>Trade members consisting trade associations and other interested trade associated firms would like to request a new and separate ACE Account category, entitled Trade Associations or ACE Interested Party. For the most part, this Account would be available to those associations dealing with trade as its primary function or serving as an association for such firms, and who have an interest in AD/CVD cases as well as other reference only material.</p> <p>As ACE Accounts, approved ACE Interested Parties would have the same access, through their Portal, as importers, filers and sureties will have to the Commerce AD/CVD Case Information Management System that was previewed for the Trade Ambassadors at the December 17, 2008 ESAR Trade Session, as well as other reference only data available.</p> <p>Additionally, ACE Interested Party Accounts would have limited functionality with regard to AD/CVD Reports. Accounts should be able to get reports on AD/CV duties paid, AD/CV duties bonded, and AD/CV duties liquidated during a specific Commerce Period-of-Review (POR). These summary reports would only be available at the 7-digit case level, not the 10-digit case level. Under this restriction, no individual entry information would be available, nor any value summary information. The information proposed for these reports is already provided by Customs on their website, but on a fiscal year basis.</p> <p>This GIF would require no additional information. It would only involve establishing certain limits to existing data and reports.</p> | | Pending | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-903) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>5/4/09: This GIF was discussed during the March 31-April 1 ESAR Trade Ambassador Work Session. This GIF was further discussed during a 5/1/09 internal call with the Office of International Trade and a dermination is pending from that office.</p> |
| | | | <i>Owner:</i> | Monica Crockett | |

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| ENT-065 | 1071 | <p>oACE should provide functionality to report Department of State licenses and International Traffic in Arms (ITAR) exemptions as they apply to U.S. imports as part of the release and entry declaration process, and maintain this data as part of the entry related information.</p> <p>oThe license and exemption information, together with entry number and date, should be loaded into ACE to allow future reports showing entries claimed under these exemptions / licenses. (See also CSPO-GIF-960 for Account Management, "Submitting ITAR Exemptions via ACE".)</p> <p>oFor entries requiring Department of State licenses at time of entry, capture the associated value and quantity declared against that license in ACE. Ensure this information is available for future use in license balancing (see also CSPO-GIF-943-EXP005 for Export Process, "Export Control & Licensing via ACE".)</p> <p>Certain ports have also released specific bulletins on this issue addressing the current gaps in ACS and attempting to implement manual workarounds to compensate for this gap (See for example, Information Notice Number 09-FO-017, issued on April 29, 2009, from the Port of Buffalo, N.Y.)</p> | 10/15/2009 | Pending | M2.3 | OCTOBER 15, 2009 - THIS REQUIREMENT (CSPO-GIF-1071) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| <p><i>Owner:</i> Vince Annunziato</p> | | | | | | |

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| ENT-066 | 1090 | <p>ABI REPORTS TO REPLACE DAILY STATEMENT:10/23/2009</p> <p>When ACE is fully integrated with the new financial system [need name] the result will be a full separation of the trade's operational and financial interface with CBP. When this occurs, the operational/financial flow of information to the filer that currently exists under ACS will change. As a result, filers utilizing the Periodic Monthly Statement (PMS), will no longer receive the Daily PMS Statements (PDS) that incorporates both the financial information and operational information currently used by the trade to manage its workflow. This change creates the need for something in ACE to replace the statement. It also raises a number of operational questions.</p> <p>Today, customs brokers and self filers utilize the Daily PMS Statement (PDS) to manage their workload to create the checks and balances necessary to manage (validate) the PMS statement process on a daily basis. Under ACE PMS, the PDS represents all releases for a particular date that will be due for payment, in aggregate, the following month. In addition, the PDS is used as a daily transmission sheet for "documents due;" that is, trade uses it to monitor the 10-day clock to file documents required for specific entries identified on the PDS. It also is used to track the acceptance and finalization of duty free and fee free entries where no payment is due.</p> <p>From an operational standpoint, after full ACE financial integration, brokers and self filing importers that will handle any volume of transactions will still need daily information to manage their operations. Waiting until the end of the month to receive the first ACE PMS statement is not an option. The inability to manage this large volume of data could subject the filer to late filing penalties. More frequent access to this information allows the importer/filer to incorporate "due diligence" into the process.</p> <p>Requirement: The trade would like the ability to have three reports that would replace the PDS and provide, essentially, the same information. The reports covered by this GIF are specifically aimed at the filers and exchanges only through ABI EDI interfaces in ACE as this is the way all entry filers work with CBP and the one that will have the immediate critical need for this once the revenue processing is broken away from the commercial operations in ACE. It is expected that some similar requests may come using the portal, but this request is not intended to cover the more limited needs of the group using the Portal. The first report (Report A) would be provided to the</p> | 10/23/2009 | Pending | A2, A2.3 | OCTOBER 23, 2009 - THIS REQUIREMENT (CSPO-GIF-1090) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |

filer (push by CBP via ABI) on a daily basis. It would be similar to the current PDS and would be managed the same way. Unless a different day was selected through the portal, the report would be provided eight working days after the date of entry and would list all entries (free and dutiable) for that release date. If documents were due for those entry summaries, the report would indicate what is due. The second report (Report B) would be a selectable ABI report that would allow the filer to pull a report, with the same data as Report A. Report B would allow the filer to select a single date of release or a range of release dates.

The last report (Report C) would also be a pull report via ABI. This report would provide information about open "docs required" requests. In simplest form, this report would list the entry number, date of release, documents requested by CBP and the due date. The request could be made for all open "docs required" requests, or based on due date. For example, a filer could request all open "docs required" requests from CBP that are expiring within 5 days.

While these are general outlines of reports that will be needed, it is necessary for CBP to have a sufficient number of work sessions with the trade to fully vet the needs and methods to satisfy them with CBP and the programmers to finalize agreement on data details, timing, role of the Portal in the filer selecting time periods and other options.

There are still a number of issues that need further dialogue include:

- Proof of payment requirement for due diligence under 19 CFR 111.29 to satisfy CBP's auditors. It has been suggested that there may need to be a further message from CBP indicating that an ABI entry has been satisfied.
- Question as to whether reports A&B can be combined into one regular request from the broker/importer-filer as a daily pull request from the filer. Questions arise as to whether CBP wants all these messages.
- The whole "docs required" changes need to be reviewed in the context of this change and the proposed changes by CBP. There were a number of discussions as to ways for the filer to batch paper document submissions on a daily basis and CBP to issue one receipt for all -- as opposed to CBP and the trade having to process each one separately.
- Different size filers may need to process their workload differently depending on their size. A filer that submits large volumes will need daily management, but smaller filers may want to manage on a weekly basis. We need input from both types of filers.

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| ENT-067 | 1091 | <p>ULTIMATE CONSIGNEE AND ACE ID GENERATION: CBP and the trade are considering a proposal to replace the data elements "ultimate consignee" and the "manufacturer identification code (MID)" with four data elements to enhance targeting. In addition, CBP and the trade need to better align all trade entities in the manifest, entry/entry summary, and the Importer Security Filing (ISF). The trade entities should be clearly defined and consistent across the entire supply chain and all CBP applications. The new data elements replacing the ultimate consignee and MID would identify the "sold to" and "deliver to" parties and the "manufacturer/producer/grower" and "exporter/shipper/seller" parties, respectively. To identify these parties, an ACE ID would be used as an alternative to the employer identification number (EIN), social security number, and the manufacturer identification code (MID) on the entry (cargo release) and the equivalent CBP Form 3461.</p> <p>In the current environment, use of the EIN, social security number, and the MID code to identify the trade entities is very problematic. Importers are very concerned about using their tax number on customs transactions, especially individuals with the growing concern about theft identify. Additionally, the MID code presents a host of problems and challenges itself. The ACE ID will be used instead of the EIN, the social security number, and MID to identify the trade entities. Currently, there isn't a mechanism to generate the ACE ID for this purpose. This GIF sets forth the requirements for generating the ACE ID to replace the current identifiers.</p> <p>To generate an ACE ID to identify trade entities, the following information is needed:</p> <ul style="list-style-type: none"> • Full legal name • Complete address • One numeric identifier such as an EIN, social security number, DUNS number, driver's license number, passport number, date of birth (individuals), telephone number, GPS coordinates, and other commercially verifiable information. This third piece of data is needed for independent verification of the trade entity. The trade entity should have the ability to enter multiple pieces of data rather than just a single piece of data. <p>A separate ACE ID should be created whenever the address and the third piece of identifying information are different from the name.</p> | 10/23/2009 | Pending | A2, A3 | OCTOBER 23, 2009 - THIS REQUIREMENT (CSPO-GIF-1091) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |

This will allow the same entity with different operating divisions a way to create unique ACE IDs.

The role of the entity assigned an ACE ID should not be limited. One ID would be assigned for all roles exercised by that entity if for example the entity is the "sold to" and "deliver to" parties.

Each data element (or entity) should have an accompanying "identifier" or "qualifier" to establish their role. For example, when there is more than one possible entity such as the "manufacturer/producer/grower," this will help identify which entity that data element represents.

The trade needs the ability to file a "disclaimer" in the event a data element can't be accurately reported. An example would be when the exact manufacturer or deliver to party can't be identified. There needs to be some business rules when a disclaimer can't be used. However, there are some situations when by default a disclaimer is needed. The system should allow at least two disclaimers: one for one of the U.S. trade entities (sold to and deliver to) and one for foreign entities (manufacturer/producer/grower or exporter/shipper/seller).

CBP and the trade recognize the difficulty in implementing this requirement for low value and informal shipments. Therefore, the requirement for collecting this data must be part of a phased-in enforcement approach over a specified number of years to ensure the trade can fully comply with the new requirements.

The new data elements using the ACE ID as the identifier must be reported on the line item level on both the entry and entry summary transactions. There needs to be a conversion of the current ACS MID database to the ACE ID. The conversion of the FDA MID should be considered within the scope of this request.

Create the ability for any trade entity to obtain an ACE ID to help maintain strict confidentiality. The ID can then be given to the broker to file the customs entry.

Create the ability to query ACE to determine if an ACE ID already exists to avoid duplicating IDs. Certain identifying information would need to be

submitted in the query request to ensure confidentiality of information. Also, there needs to be rule to determine who can query ACE IDs. Query capability should be allowed using ABI and other mechanisms such as EDI and the Secure Data Portal.

Create the ability to update and inactivate the ACE ID. There needs to be rules to determine who can update the ACE ID. Update capability should be allowed using ABI and other mechanisms such as EDI and the Secure Data Portal.

In an effort to keep ACE IDs current, the following rules should apply:

- If an ACE ID shows no activity in 12 months, CBP should inactivate the ID. The party that created and the owner of the ID should be notified of CBP's intent to inactivate the ID 30 days prior to the close of the 12 months inactive period.

- After 18 months of continual transactions involving an ACE ID, CBP should send the party that created and the owner of the ID notice to verify all information used to create the ID is still current and correct. CBP should send this notice 30 days prior to the close of the 18-month period.

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| ENT-068 | 1092 | <p>ULTIMATE CONSIGNEE AND MANUFACTURER IDENTIFICATION NUMBER: CBP OFO proposes the elimination of the data field "Ultimate Consignee" and its replacement with two data fields with corresponding definitions (as below): "Sold To"- The named sold to (buyer, purchaser etc) from the invoice at the time of entry. Further defined as the U.S. party causing the importation by reason of establishing a financial interest in the imported goods. If goods are not arriving in the U.S. pursuant to a sale the field should be left blank and the "deliver to" party must be submitted. In short, if the goods are not sold, then this field should not be completed. "Deliver To"- The identification of the named party on the invoice that will physically receive the merchandise, which may be different from the consignee (e.g. de-consolidator warehouse).</p> <p>Manufacturer Identification Number: CBP also proposes the splitting of the current "Manufacturer Identification Number" field into the following two data fields with corresponding definitions (as below): "Manufacturer/Producer/Grower" - The entity that manufactures, produces, or grows the imported commodity. These entities produce or grow raw material or by labor, art or skill transform raw material into some kind of finished product or article of trade. The transformation of the raw material may involve processing into finished goods, or the production of goods to be further assembled to create a finished product, or the assembly of goods into a finished product. For entry purposes this is the location where the country of origin attaches to the merchandise. "Exporter/Shipper/Seller" - The last named overseas (foreign) party identified on the transaction invoice/purchase order, that has a financial interest in the goods, and who causes the goods to be shipped by any mode of transport. This is not the transportation shipping party (freight forwarder, consolidator or NVOCC). For consigned shipments, household effects shipments, lease transactions and similar contractual arrangements; the name of the supplier may be provided in lieu of seller information. The supplier could be a trading company, selling agent, owner (e.g. personal/household effects) or similar party.</p> <p>In addition, CBP and the trade need to better align all trade entities in the manifest, entry/entry</p> | 10/23/2009 | Pending | A2, A3, CR | <p>OCTOBER 12, 2011 -This requirement was determined to be out of scope for cargo release. But may be included in the future.</p> <p>SEPTEMBER 16, 2011 -This requirement in pending CBP L/P Input for cargo release.</p> <p>OCTOBER 23, 2009 - THIS REQUIREMENT (CSPO-GIF-1092) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> |

summary, and the Importer Security Filing (ISF) transactions. The trade entities should be clearly defined and consistent across the entire supply chain and all CBP applications. These definitions should be broad enough to allow for minor modifications for special emphasis programs or PGA definition. Additional defining elements may be added by policy or regulation to meet specific needs when appropriate but should fit within the definitions provided.

Identification Numbers:

It is also proposed that the following information be presented to identify the four data fields above :

- 1.The ACE ID of the appropriate party (see below).
- 2.The Tax ID, CBP assigned number or social security number of the appropriate party if known (current).
- 3.The Dun and Bradstreet (DUNS) number of the appropriate party if known.

New Functionality for ACE ID:

It is further proposed that a new account type and appropriate functionality will be developed that will allow these reference entities to be assigned ACE identification numbers directly from ACE. This should function in two ways:

- 1.An secure online (portal) functionality that will allow any party to establish their reference file directly with CBP. This will allow these parties to provide their name, address and at least one additional, verifiable unique identifier that is yet to be fully determined (anticipated examples include Social Security number, tax ID number, DUNS number, Drivers License number, Date of Birth, passport number etc.). For foreign addressing, the portal shall provide on-line guidance for registration in identified country's address format. CBP will provide a registration edits to ensure proper addressing.
- 2.The equivalent process for EDI (including ABI) participants to supply the information when known.

Elimination of the MID Algorithm:

It is also proposed that the current MID algorithm will not be used directly but shall be converted to an ACE assigned identification number. These numbers will be provided to the trade and PGA's once assigned. Future numbers will be assigned as above.

Query Functionality:

For these ACE identification numbers ACE will provide a query functionality that allows participants to input existing information and have matching ACE

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| | | <p>ID numbers returned. This will prevent duplication and allow trade partners to provide accurate information while preventing personal or trade sensitive information from being incorrectly distributed to unauthorized parties.</p> <p>Requirements for Entry/Release and Entry Summary: These requirements also envision that the above data from immediate delivery, entry or release will be reported at time of entry summary as well. The reporting of multiple entities on the line level in the ABI entry summary record similar to the current ability to report multiple Ultimate Consignees on the line level in the cargo release record. To accomplish this, the entity information defined above at the line level on the entry summary must be reported and be consistent with the definitions at the time of entry/release. This parallel reporting will minimize identification issues when the data is provided to state taxation departments. *(see also, ENT-067, GIF-ENT UC and ACE ID Generation)</p> | | | | |
| | | | | | | <i>Owner:</i> Monica Crockett |
| ENT-069 | 1093 | <p>CONTINUATION OF ACS FUNCTIONALITY IN ACE: During the several years of the ERP I to III discussions (1977 to 2000) between the U.S. Customs Service and the various trade organizations, the basic structure of the current entry/entry summary process was validated. Based on these IRP results, early on the TSN Entry Committee wanted to ensure that ACE would provide, at a minimum, the same functionality that was present in ACS. This included preserving a two-step process (entry/release and entry summary), ensuring that all EDI reports and queries in ACS are also in ACE and the like. This committee asked the Customs development team whether it was necessary to document all of these ACS capabilities. We were advised that we needed to focus on changes and improvements to the process in developing requirements; that Customs would move all the functionality from ACS to ACE without requirements. The purpose of this requirement document is to memorialize the importance of transferring ACS capabilities to ACE.</p> | 10/23/2009 | Pending | A2.4 | OCTOBER 23, 2009 - THIS REQUIREMENT (CSPO-GIF-1093) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | | | | | <i>Owner:</i> Monica Crockett |

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| ENT-070 | 1127 | <p>The trade would like to ensure they are informed when a PSC modification has been done by a CBP officer/employee against their entry summaries. The filer needs to keep their entries (within their internal systems) in synch with CBP's systems. This is to ensure processes such Reconciliation and Drawback are done with minimal errors and with accuracy. If the entry is no longer owned by the original filer, these notifications should still be sent to them, as well as to current entry's owner.</p> <p>The ACE system should generate a UC message back to the original filer(s) and current filer when a CBP officer updates the entry in any way. This UC message should indicate that a PSC change has been applied to the entry in question and include a summary of the change just made. The trade could, in return, query the entry to get the latest information on that entry.</p> <p>Application UC - Record E1 should be revised to include: -New Disposition Type Code to indicate this action. Maybe with a description such as: Entry Updated by CBP Officer -Notification Reason Code(s) to indicate the reason for this action. -</p> <p>It is important that this change is in place before the release of the Post Summary Correction release A2.3.2a.</p> | 4/12/2010 | Pending | A2.3.2a | APRIL 120, 2010 - THIS REQUIREMENT (CSPO GIF 1127) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | | | <i>Owner:</i> | Monica Crockett | |
| ENT-072 | | <p>ACE INTERESTED PARTY PORTAL ACCOUNT - Trade members consisting of law firms, economic consulting firms, trade associations, etc. request a new and separate ACE account category for ACE Interested Parties. This account would be available for those parties dealing with the trade as its primary function and who have an interest in AD/CVD cases as well as other reference only material. This account would have the same access, through their Portal as importers, brokers, and sureties who currently have access to the Commerce AD/CVD Case Information Management System.</p> | 2/28/2011 | Pending CBP HQ Input | A2.4 | February 28, 2011 - This requirement is requested by the Enty /AD/CVD Reconciliation subcommittee. |
| | | | | <i>Owner:</i> | Monica Crockett | |

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| ENT-FTZ-053 | 898 | <p>Upon inclusion of e214 data in ACE, it will be necessary for the trade to be able to access that data through ACE reporting.</p> <p>The trade needs reporting that provides information about the concurrence status of zone admissions (FZ program filings).</p> <p>Those with access to such reporting would include:</p> <ul style="list-style-type: none"> •Persons with authority for the IOR of the zone via the portal (operator – operator account type by IOR of the operator) •Persons admitting to the zone (users, applicants – importer account type by IOR of the applicant) •Persons filling admissions to the zone (broker, service provider account type by filer code) <p>Data elements should include:</p> <ul style="list-style-type: none"> •Bill of Lading •Zone ID •Calendar Year •Control Number •Authorization Date (system posted date on which the e214 was authorized in the system) – if not authorized, the item would appear on the report, but the authorization date would be blank •Concurrence Date (system posted date on which the concurrence was recorded in the system) – if not concurred, the item would appear on the report, but the concurrence date would be blank •Port Code •Import Date (in the FT20 record) •CBP's Arrival Date (as posted on the bill of lading in AMS when it arrived in port) •Number of days left on the GO clock (as calculated by CBP) <p>Report Filters:</p> <ul style="list-style-type: none"> •System implied (required according to ACE system access rules) <ul style="list-style-type: none"> oOperator IOR appearing on the admission oUser IOR appearing on the admission oBroker Code(s) on the admission •Concurrence Date (system posted date on which the e214 was accepted into the system) – Primary Filter •Bill of Lading – Secondary Filter | 12/5/2008 | Pending | M1, M2.3 | <p>JUNE 9, 2010 - Jim Swanson - BOL level dat should be available but not the information from the e214 at this time. Can be looked at with cargo release.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1 - IS PENDING CCB REVIEW FOR RELEASE M2.3</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-898) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>4/27/09- E214 Admission Concurrence Report: This requirement was submitted to Vinny Annunziato on 12/5/08 pursuant to Vinny's request for M2.3 requirements.</p> |
| | | | <i>Owner:</i> | Vince Annunziato | | |

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| ENT-FTZ-055 | 900 | <p>Upon inclusion of the e-214 in ACE, it will be necessary for:</p> <ul style="list-style-type: none"> •The FTZ Applicant or FTZ Operator to have the ability to add detail PGA information required for admission for each COO, HTSUS, and Status being admitted; •PGA's to have the ability to draw data that has been entered into the system as needed by the PGA rather than requiring the FTZ Operator to take action. •The ACE system must have the capability to notify the FTZ Applicant and/or FTZ Operator when information has been provided to the PGA. <p>In developing this functionality beyond the existing e214 data sharing with Census, CBP, the trade and the individual PGA should discuss the appropriate admission data sharing needed as compared to data sharing performed through the entry summary</p> | 11/21/2008 | Pending | M1, M2.3 | <p>JUNE 9, 2010 - Jim Swanson - Should be evaluated for cargo release development with PGAs</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1 - IS PENDING CCB REVIEW FOR RELEASE M2.3</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-900) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>April 27, 2009: PGA Access to E214: This requirement was submitted to Vinny Annunziato on 11/21/08 pursuant to Vinny's request for M2.3 requirements.</p> |
| | | <i>Owner:</i> | Vince Annunziato | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ENT-FTZ-056 | 901 | <p>Upon inclusion of In-Bonds in ACE, it will be necessary for the trade, particularly related parties, to be able to access real-time data to monitor and track in-bond movements. The trade needs this information to monitor the movement of in-bond cargo on a real time basis to meet their security, efficiency and quality objectives. "Inbond" includes both those traveling under a CBP 7512 process or a Permit to Transfer (PTT) process.</p> <p>Those with access to such reporting would include valid ACE/ABI account holders including but not limited to:</p> <ul style="list-style-type: none"> •Foreign-Trade Zone Operators •Carriers •Brokers •Importers •Bonded Warehouses <p>Reporting would include:</p> <ol style="list-style-type: none"> 1.Ability to search for previously entered in-bond information for a particular destination firms code. 2.Ability for a carrier to receive notification that an in-bond movement has been initiated by a zone under the carrier's bond. 3.Ability for a carrier to review an in-bond movement initiated by FTZ under the carrier's bond 4.Ability for a carrier to receive notification that a permit to transfer movement has been initiated by a zone under the carrier's bond. 5.Ability for a carrier to review a permit to transfer movement initiated by a zone. | 11/21/2008 | Pending | M1 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-901) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>April 27, 2009: InBond: This requirement was submitted to Vinny Annunziato on 11/21/08 pursuant to Vinny's request for M2.3 requirements.</p> |
| <i>Owner:</i> Vince Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ENT-FTZ-057 | 902 | <p>Provide the ability to pay HMF via ABI by ACH Debit/ACH Credit. Goal is to schedule and submit all payments to CBP through the same automated system. Trade prefers to maintain the process of ACH Debit though the ABI process. This would allow the FTZ operator to view all import and related revenue activity with CBP through ACE—either the portal or via ABI. The FTZ operator can see what is due, submit changes and approve and pay through ABI. The preference is to move away from the use of “3rd party” processors such as Pay.Gov to submit payment.</p> <p>Since the HMF is due by the 31st day after the quarter ends, it would be important to have a preliminary statement issued immediately after the close of the quarter. This would allow the FTZ to adjust the statement if necessary.</p> <p>The system must allow the ability to indicate the EIN of the HMF responsible party so that the charges will be billed to the right party. The HMF payment process in ACE would provide:</p> <p>1.Ability for the system to generate a calculation for the HMF due for the admission (including any corrections noted during acceptance) using the known information of HMF requirements for the provided HMF responsible party (without requiring the user to provide an indication of HMF requirements)</p> <p>2.Ability for the HMF responsible party to choose to accept the system calculated HMF or to disagree by entering an independently calculated HMF amount</p> <p>- OR -</p> <p>1.Ability for HMF responsible party to submit the CBPF349 or CBPF350 through ACE and, as it does for the entries that are submitted, ACE would send a statement that could be approved and paid by the 31st day following the end of the quarter.</p> | | Pending | A2.3 | <p>7/16/09: This may require coordination with BEMS</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-902) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>4/21/09: Electronic Payment of HMF: This GIF is pending subcommittee further review</p> |
| | | | <i>Owner:</i> | Monica Crockett | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ENT-FTZ-058 | 897 | <p>As CBP moves everything to account-based reporting in ACE, it is imperative that all payments are made through PMS.</p> <ul style="list-style-type: none"> •The FTZ user is aware of the date of the border crossing for shipments moving to Canada or Mexico. •The due date of Part I of the NAFTA 08 entry is within 10 (ten) working days of the border crossing. •The due date of Part II of the NAFTA 08 entry is within 60 calendar days of the border crossing. •Since the due date for any duties to be paid is known at the time Part I is prepared, the filer should be able to select the month of a PMS statement on which the charge will appear even if it is not the next PMS. | | Pending | A2.4 | <p>7/16/2009: This may require coordination with BEMS</p> <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-897) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>April 21, 2009: Payment of NAFTA via PMS: Pending submission to CBP CCB for review. Awaiting designation of a CBP Business sponsor.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |
| ENT-GIF-195 | 296 | <p>Inclusion of Zone Number on Reports</p> <p>Current reports do not include the zone number, when applicable. When a transaction is related to a Foreign-Trade Zone, the zone number should appear on the reports related to that transaction.</p> <p>Add the zone number to all cargo entry, entry summary detail, cargo exam and entry summary compliance reports.</p> | 10/6/2005 | Pending CBP HQ Input | A2.3, CR | <p>SEPTEMBER 16, 2011 - This requirement is pending CBP HQ Input under TMAC to decide if the zone number should be included on all cargo entry records. Additionally this is related to the review of the entire Foreign Trade Zone process.</p> <p>New GIF no = CSPO-GIF-296 - Status = Deferred ECP-CR-1748</p> <p>This is conditionally accepted, but cannot be scheduled for a release until e-214 data is available in ACE.</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|---|------------------------|----------------------|--------------------|---|
| ENT-GIF-196 | 295 | <p>e214 Data in Importer Portal Account The e214 data should be visible in the Importer Portal in the same way entry summary data is viewable in the Importer Portal today. The e214 data would include the data elements found in the FT application (documentation can be found at http://www.cbp.gov/linkhandler/cgov/import/cargo_control/ftz_trade_req.ctt/ftz_trade_req.doc). The format would be the same as the format used to view e214 data in the FTZ Operator Portal account, and it would appear in a new view in the Importer Portal account. Along with the data contained in the e214 document, operators should be able to view all concurrences that have been transmitted related to each e214 (FZ application), messages produced by CBP concerning the admission (NF application) and the current status of the e214 (approved, docs required, etc.) should be shown with the e214 data. Since the applicants EIN is included in the e214, that identifier could be used to determine if an e214 needs to be displayed in an Importer's Portal account.</p> <p>Upon completion of the e214, the zone admission data will be available in ACS, as entry and entry summary data is available today. An importer, with an Importer Portal Account, could act as an applicant and file an e214. In this case, the importer should be able to view the e214 in his/her Importer Portal Account.</p> | 10/6/2005 | Pending CBP HQ Input | A2.3, CR | <p>SEPTEMBER 16, 2011 - This requirement is pending CBP HQ Input for cargo release under TMAC as part of the FTZ review process.</p> <p>JUNE 9, 2010 - Jim Swanson - Should be evaluated for cargo release development with PGAs</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1 - IS PENDING CCB REVIEW FOR RELEASE M2.3</p> <p>New GIF = CSPO-GIF-295 Status = In Review</p> <p>This is conditionally accepted, but cannot be scheduled for a release until e-214 data is available in ACE.</p> |
| | | | | <i>Owner:</i> | Vincent Annunziato | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|---|------------------------|----------------------|--------------------|---|
| ENT-GIF-197 | 294 | <p>e214 Data in FTZ Operator Portal Account</p> <p>The e214 data should be visible in the FTZ Operator Portal in the same way entry summary data is viewable in the Importer Portal today. The e214 data would include the data elements found in the FT application (documentation can be found at http://www.cbp.gov/linkhandler/cgov/import/cargo_control/ftz_trade_req.ctt/ftz_trade_req.doc). The e214 data should be in a separate view from the entry summary data. Along with the data contained in the e214 document, operators should be able to view all concurrences that have been transmitted related to each e214 (FZ application), messages produced by CBP concerning the admission (NF application) and the current status of the e214 (approved, docs required, etc.) should be shown with the e214 data.</p> <p>Upon completion of the e214, the zone admission data will be available in ACS, as entry and entry summary data is available today. When the FTZ Operator Account is added in A1, that portal account view should include the e214 data available in ACS.</p> | 10/6/2005 | Pending CBP HQ Input | A2.3, CR | <p>SEPTEMBER 16, 2011 - This requirement is pending CBP HQ input for cargo release under TMAC as part of the FTZ review process.</p> <p>JUNE 9, 2010 - Jim Swanson - Should be evaluated for cargo release development with PGAs</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1 - IS PENDING CCB REVIEW FOR RELEASE M2.3</p> <p>JANUARY 12, 2007: THIS REQUIREMENT (CSPO-GIF-294) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>This is conditionally accepted, but cannot be scheduled for a release until e-214 data is available in ACE.</p> |

Owner: Vince Annunziato

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|--|------------------------|----------------------|--------------------|---|
| ENT-GIF-199 | 292 | <p>e214 Data in Broker Portal Account</p> <p>The e214 data should be visible in the Broker Portal in the same way entry summary data is viewable in the Importer Portal today. The e214 data would include the data elements found in the FT application (documentation can be found at http://www.cbp.gov/linkhandler/cgov/import/cargo_control/ftz_trade_req.ctt/ftz_trade_req.doc). The format would be the same as the format used to view e214 data in the FTZ Operator Portal account, and it would appear in a new view in the Broker Portal account. Along with the data contained in the e214 document, brokers should be able to view all concurrences that have been transmitted related to each e214 (FZ application), messages produced by CBP concerning the admission (NF application) and the current status of the e214 (approved, docs required, etc.) should be shown with the e214 data. Since the broker's filer code is included in the e214, that identifier could be used to determine if an e214 needs to be displayed in an Broker's Portal account.</p> <p>Upon completion of the e214, the zone admission data will be available in ACS, as entry and entry summary data is available today. A broker, with a Broker Portal Account, could file an e214. In this case, the broker should be able to view the e214 in his/her Broker Portal Account.</p> | 10/6/2005 | Pending CBP HQ Input | A2.3, CR | <p>SEPTEMBER 16, 2011 - This requirement is pending CBP HQ Input for cargo release under TMAC. This is part of the FTZ review process.</p> <p>JUNE 9, 2010 - Jim Swanson - Should be evaluated for cargo release development with PGAs</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1 - IS PENDING CCB REVIEW FOR RELEASE M2.3</p> <p>JANUARY 12, 2007: THIS REQUIREMENT (CSPO-GIF-292) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>This is conditionally accepted, but cannot be scheduled for a release until e-214 data is available in ACE.</p> |
| | | <i>Owner:</i> | Vincent Annunziato | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|---|------------------------|-----------------------|--------------------|---|
| ENT-GIF-198 | 293 | <p>e214 Data in Carrier Portal Account</p> <p>The e214 data should be visible in the Carrier Portal in the same way entry summary data is viewable in the Carrier Portal today. The e214 data would include the data elements found in the FT application (documentation can be found at http://www.cbp.gov/linkhandler/cgov/import/cargo_control/ftz_trade_req.ctt/ftz_trade_req.doc). The format would be the same as the format used to view e214 data in the FTZ Operator Portal account, and it would appear in a new view in the Carrier Portal account. Along with the data contained in the e214 document, carriers party to the admission should be able to view all concurrences that have been transmitted related to each e214 (FZ application), messages produced by CBP concerning the admission (NF application) and the current status of the e214 (approved, docs required, etc.) should be shown with the e214 data. Since the carriers SCAC code is included in the e214, that identifier could be used to determine if an e214 needs to be displayed in a Carrier's Portal account.</p> <p>Upon completion of the e214, the zone admission data will be available in ACS, as entry and entry summary data is available today. A carrier, with a Carrier Portal Account, needs to know goods are authorized to move to the zone. In this case, the carrier should be able to view the e214 in his/her Carrier Portal Account.</p> | 10/6/2005 | Pending CBP HQ Input | A2.3, CR | <p>SEPTEMBER 16, 2011 - This requirement is pending CBP HQ Input under TMAC as part of the FTZ review process.</p> <p>JUNE 9, 2010 - Jim Swanson - Should be evaluated for cargo release development with PGAs</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1 - IS PENDING CCB REVIEW FOR RELEASE M2.3</p> <p>JANUARY 12, 2007: THIS REQUIREMENT (CSPO-GIF-293) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>This is conditionally accepted, but cannot be scheduled for a release until e-214 data is available in ACE.</p> |
| | | | <i>Owner:</i> | Monica Crockett | | |
| ENT-RSC-001 | 529 | <p>ALIGNMENT OF COMMON DATA ELEMENTS AMONG SUMMARY, ENTRY & MANIFEST: Entry and Entry summary must be completely aligned. Fields and the definitions of those fields must be the same between the entry and the newly designed entry summary process created by A2.</p> | 2/1/2007 | Pending CBP L/P Input | CR | <p>SEPTEMBER 16, 2011-This requirement is pending CBP L/P Input for cargo release. Pending review and policy discussion with Vince Annunziato and Monica Crockett.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 529) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>/29/08: CSPO-GIF-529</p> <p>This GIF includes what was previously ENT-RSC-011 8/17/07 - Old GIF no. = RSC-001. OFO Sponsor = Jim Swanwon. Currently under review by OFO and the CCR team for implementation.</p> |
| | | | <i>Owner:</i> | Vincent Annunziato | | |

| Requirement # | GIF | TSN Requirement Description | Submission date | Status | Release (s) | Comments |
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| ENT-RSC-005 | 532 | TRUCK E-MANIFEST - BROKER DOWNLOAD: The broker download was recently changed on Sept 2006 to not refund the manifest shipment quantity, unit of measure and weights to the entry filer. This information is critical to all parties in the trading chain for resolving any discrepancies between reporting of this information on the e-Manifest and entry data. | 2/1/2007 | Pending | M3 | FEBRUARY 8, 2011 - DETERMINED TO BE OUT OF SCOPE AND OBE FOR CARGO RELEASE MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 532) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW 29/08: CSPO-GIF-532 8/17/07 - Old GIF no. = RSC-005. OFO Sponsor = Jim Swanson. This is a truck manifest requirement. Currently under review by CCR team. POC = Mike Riter, Chuck Bennett. |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| ENT-RSC-006 | 661 | CBP VALIDATION OF EIN/SSN AT TIME OF 5106 ADD: Whatever validation program is being run by CBP, it should be performed real-time as each 5106 add es received. An ABI response should be returned to the filer if the number is deemed invaled and the 5106 add should be relected. Filers would then be forced to contact the importer for a valid EIN/SSN before the shipment could be entered. | 3/6/2008 | Pending | CR | NOVEMBER 23, 2011 - Determined to be out of scope for cargo release. Do not have the technical capability to do this at this time. SEPTEMBER 16, 2011- This requirement is pending CBP L/P Input for cargo release. MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 661) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW 03/06/2008 - Submitted to CBP for GIF Board Review. Assigned CSPO-GIF-661 |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| ENT-RSC-010 | 536 | ELECTRONIC ENTRY DELETION: ACE release will allow for an entry deletion application sent by the originating broker/filer incorporating appropriate input and output records. | 2/1/2007 | Accepted in Part | CR | OCTOBER 18, 2011 - This requirement is partially accepted with modifications based upon the cargo release CONOPS to be worked out during functional requirement development SEPTEMBER 16, 2011 - This requirement is pending CBP L/P review for cargo release. Also Pending review and policy discussion with Vince Annunziato and Monica Crockett. MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 536) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 1/29/08: CSPO-GIF-536 8/17/07 - Old GIF No. = RSC-010. OFO Sponsor - Jim Swanson. Currently under review by OFO and CCR team for implementation. |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ENT-RSC-014 | 539 | 06 RELEASE (ENTRY) FOR WEEKLY ESTIMATE REQUIREMENTS FOR FTZ: In order to align field descriptions and values in a weekly estimate 06 release, the definitions of some fields must be changed and some must be eliminated fro a release from a FTZ. | 2/1/2007 | Accepted in Part | CR | <p>JANUARY 5, 2012 - The FTZ process will be included in cargo release however the process will not change as it exists per current regulations.</p> <p>SEPTEMBER 16, 2011 - Per legal policy review this requirement is being withdrawn and will be considered separately by CBP as part of the FTZ review process.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 539) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/29/08: CSPO-GIF-539</p> <p>8/17/07 -)ld GIF No. = RSC-014. OFO Sponsor - Jim Swanson. Currently under review by CCR team. POC - Jerry Leuters.</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| ENT-RSC-016 | 541 | PGA-CBP RELEASE COORDINATION: ACE will allow all PGA exam, review, release, and any other applicable data to be evident to CBP primary and secondary officers. ACE will not allow a release to be completed until all PGA exams, reviews and and other release related requirements are satisfied. | 2/1/2007 | Accepted in Part | CR | <p>SEPTEMBER 16, 2011 - Per legal policy review this requirement was accepted in part for cargo release.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 541) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/29/08: CSPO-GIF-541</p> <p>8/17/07 - Old GIF NO = RSC-016. OFO Sponsor - Jim Swanson. Currently under review by OFO and CCR team.</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| ENT-RSC-017 | 542 | PRE-REVIEW NOTIFICATION: ACE will push all PRE-REVIEW messages to the broker/filer on the release level at the time of certification of the release transmission, and before arrival of the conveyance within the port of arrival. | 2/1/2007 | Accepted in Part | CR | <p>JANUARY 11, 2012 - For land border truck the policy will not change there will be no notification prior to arrival. For other modes this will be handled thru simplified entry.</p> <p>SEPTEMBER 16, 2011 - This requirement is pending CBP L/P Input for cargo release.Document Image System functionality may be used for this process.</p> <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 542) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>1/29/08: CSPO-GIF-542</p> <p>8/17/07 - Old GIF No. - RSC-017. OFO Sponsor - Jim Swanson. Currenty under review by OFO and the CCR team.</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ENT-RSC-020 | 545 | RELEASE ENTRY QUERY FOR CARRIERS/NVOCC/TERMINAL OPERATORS: A query within ACE will be implemented for carriers, NVOCCs, or terminal operators utilizing one of the following identifiers at a minimum: BOL/HBOL, SID/SCN, Entry number. | 2/1/2007 | Pending | M2.3 | FEBRUARY 8, 2011 - DETERMINED TO BE NOT IN SCOPE FOR CARGO RELEASE. Referred to Manifest MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 545) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 1/29/08: CSPO-GIF-545 8/17/07 - Old GIF No. RSC-020. OFO Sponsor - Jim Swanson. Currently under review by OFO and CCR team for implemenation. |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| ENT-RSC-024 | 549 | TRANSFER OF ENTRY LIABILITY PROCESS: The transfer of liability from one broker/filer to another broker/filer should be an electronic process within ACE. The original broker (broker #1) will transmit a request to CBP to transfer liability to another superseding broker (broker #2) requesting that the responsibility for filing the entry summary be transferred to broker #2 | 2/1/2007 | Pending CBP L/P Input | CR | NOVEMBER 23, 2011 - This requirement is pending CBP L/P Input for cargo release. MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 549) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 1/29/08: CSPO-GIF-549 8/17/07 - Old GIF No. - RSC-024. OFO Sponsor - Jim Swanson. Currently under review by OFO and CCR team. |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| ENT-RSC-026 | 628 | 5106 DATABASE SEPARATION OF INFORMATION-IMPORTER OF RECORDS AND REPORTING PARTIES: The company records (5106 data records) within ACE will be separated into two categories: Importer of Record and Reporting Parties. | 9/21/2007 | Pending CBP L/P Input | CR | NOVEMBER 17, 2011- This requirement is pending CBP L/P Input for cargo release. MAY 20, 2009 - THIS REQUIREMENT (CSPO GI) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 1/29/08: CSPO-GIF-628 |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ENT-WHS-059 | 905 | <p>Currently anytime there is a need to invoke CF 3499 it is a very manual and paper filled process that results in a paper backlog and many hours of inefficient time to transport and process paperwork that can otherwise be processed electronically.</p> <p>There are many scenarios that one would invoke CF3499. The scenarios range from repackaging, destroying and/or adjusting for product damaged or destroyed while in bonded care and other similar scenarios that requires some sort of manipulation, exam, sample or transfer of goods while in bonded care. Today the CF 3499 is completed manually in paper form and taken to the local customs office where it is left usually in a basket/tray for review by a customs agent. Once the customs agent has reviewed the document, approved, denied or changed the requested process, the customs agent then contacts the notifying party to discuss the necessary next steps to complete the requested change of the shipment. The customs agent upon satisfaction will sign off on the CF 3499 leaving a paper copy for the requesting party and taking the original paper copy back to the customs office for input and updating to the shipment record at customs.</p> <p>Description of Recommended Change: We the trade believe that the CF3499 should be made available through ACE in an electronic format. We envision completing an online version of the form and submitting it to customs for their review. Once the customs agent has reviewed the electronic CF 3499, they could: A.Reply with approval electronically placing the CF 3499 in an "Approved Status" through ACE. B.Reply with a request for further information or need for inspection putting the CF 3499 into a "Pending Status" through ACE. C.Reply with a "Declined" notification requiring the requestor to resubmit and/or contact customs to determine next course of action. This option would leave the CF 3499 in a "Declined Status" Through ACE.</p> <p>All final approvals would be completed online by customs with the electronic status notifications being available to the requestor through ACE.</p> <p>For example; if a bonded facility handling product in full case quantities and needs to repackage in less than full case quantities, the Bonded Facilities operator would create and submit CF 3499 through ACE to U.S. Customs. U.S. Customs would review and either choose to approve the CF 3499 as</p> | | Pending | A2.3 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-905) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>April 27 2009: CF3499: Pending submission to CBP CCB for review. Awaiting designation of a CBP Business sponsor.</p> |

provided, put the CF 3499 into "Pending Status" if an inspection is deemed necessary or disapprove and put the CF 3499 into a "Declined Status" with comments as to why and what is required. In the case noted above, once the CF3499 was approval electronically through ACE by U.S. Customs, there would be an inventory adjustment made with a printed copy of the screen approval for the Bonded Facilities file.

Owner: Vincent Annunziato

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ENT-WHS-O60 | 906 | <p>Current Regulations provide for an allowance in Duty and Tax upon satisfactory proof of the destruction or loss, in whole or in part, of any merchandise while in a bonded warehouse – 19 USC 1563, 19 CFR 158.21. Within 30 days of the discovery of the loss, theft, injury or destruction, the importer SHALL file an application, in DUPLICATE, on Customs Form 4315, with the Port Director wherein the loss, theft, injury or destruction occurred and within 90 days from the date of discovery of such incident, the importer SHALL file with the Port Director wherein the loss, theft, injury or destruction occurred, any evidence required in sections 158.26 and 158.27 of 19 CFR. Furthermore, any theft, suspected theft, overage or extraordinary shortage or damage (one percent or more of the value of the merchandise in an entry or unique identifier – OR – if the missing merchandise is subject to duty and tax in excess of \$100) SHALL be immediately brought to the attention of the Port Director wherein the incident occurred and confirmed in writing within five (5) business days thereafter. The warehouse proprietor SHALL record all shortages, overages, thefts, loss, injury, damage or destruction of merchandise, whether extraordinary or NON-extraordinary in nature, in the inventory control and recordkeeping system of the warehouse proprietor, whether or not such an incidence need be required to be reported to the Port Director.</p> <p>REQUESTED CHANGE TO CURRENT PROCEDURE</p> <p>As it is the case that CBP no longer maintains a duplicate permit file folder for warehouse entries as such records are now required to be maintained by the warehouse proprietor, in lieu of processing and transmitting Customs Form 4315 in the antiquated manner of submitting a paper document to CBP for signature, which is inefficient and burdensome to both the filer and CBP, ACE should allow for the paperless release of an application for an allowance in duties on Customs Form 4315.</p> | | Pending | A2.3 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-906) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>April 27, 2009: Paperless Release on Warehouse Breakage: Pending submission to CBP CCB for review. Awaiting designation of a CBP Business sponsor.</p> |
| | | | | | | <i>Owner:</i> Monica Crockett |

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| ENT-WHS-061 | 904 | <p>BACKGROUND</p> <p>Current Regulations provide for the transfer of merchandise between bonded warehouses within the same port through the use of the non-automated CF 6043 – 19 CFR 144.34 and 144.41. Additionally, the port director MAY require the filing of a rewarehouse entry if the port director determines it is necessary for the proper control of the merchandise.</p> <p>REQUESTED CHANGE TO CURRENT PROCEDURE</p> <p>Current regulations and procedures governing the transferring of in-bond merchandise between bonded warehouses within the same port (1) do not allow for a paperless release, (2) do not allow for the transference of bond or importer information between warehouse proprietors and (3) do not provide for the filing of a paperless type 22 entry. Transferring in-bond merchandise to different bonded facilities operated by different entities within the same port limits is nothing new to Customs. FTZ's have been doing this for quite some time using a CF 7512 (see FTZM 9.11(a)) in order to assure that the bonded facility at destination has the necessary information in order to exercise proper control over the in-bond merchandise and to be able to file proper documentation for the admission (entry) of the merchandise into the bonded facility at destination.</p> <p>Ace should allow for the paperless release of in-bond merchandise, on CF 7512, for the purpose of transportation between bonded warehouses within the same port limits. Ace should also allow the filing of a paperless type 22 entry for such transferred merchandise.</p> | | Pending | A2.3 | <p>JULY 14, 2009: THIS REQUIREMENT (CSPO-GIF-904) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>April 27, 2009: Paperless Transfers Within Port Limits: Pending submission to CBP CCB for review. Awaiting designation of a CBP Business sponsor.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |

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| EXP-001 | 939 | <p>"Filing Automated Export System (AES) Electronic Export Information (EEI) commodity data via the ACE Portal and Electronic Data Interface (EDI)"</p> <p>1. ACE must provide the functionality to accept the filing of export commodity data elements via the ACE secure web portal and through EDI systems. The ACE portal must provide a vehicle by which U.S. Principal Parties in Interest (USPPIs), freight forwarders, and other authorized filers can file all commodity data required for filing the export manifest, and electronic export information (EEI) (formerly referred to as SED data), directly to Customs and Border Protection via the ACE portal. The ACE portal must be developed as a single window for processing EEI transactions.</p> <p>2. ACE shall make available to USPPIs and their authorized agents all functionality for filing electronic export information (EEI) that is currently provided to them by AES and AES Direct, except for functionality that has been rendered unnecessary by changes in the CBP business processes that AES and AES Direct support.</p> <p>3. ACE must provide the capability for an authorized user to enter or update EEI onto a single continuous form (i.e. single screen, or data entry port) via the ACE web portal. Changes may be made via the ACE web portal, whether the original data was submitted via the web portal or through system-to-system interaction. All historical data must be maintained in ACE for the longest required record retention period of any applicable PGA, and available to view by the account owner or authorized user.</p> <p>4. The data set for EEI, whether for the original transmission or for a change to an EEI data entry, shall include an optional comment field that will accommodate up to 500 characters of text. A comment must become read-only once it has been submitted and it must be associated with a date-time stamp that gives the date and time of submission.</p> <p>5. System has to be built to allow for amending EEI on originally filed cargo information. Data field(s) must be provided to report the previously assigned XTN or ITN for the shipment that is being amended en route. A Census regulatory change to 15CFR Part 30 will be required. Note: This would allow additional information to be provided to CBP for enforcement purposes, and/or to clarify special circumstances related to the shipment (split shipment information, cargo diversion, special</p> | 12/18/2006 | Pending | M3 | <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-939) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release. This requirement replaces EXP-001, EXP-001.1, EXP-001.2, EXP-001.3</p> |

export license information, sold en route, etc).

6. For a routed transaction, the USPPI is only entitled to see the EEI data elements the USPPI is responsible for providing to the foreign principal party in interests' freight forwarder (FTSR 15 CFR 30.4 (c)).

7. ACE must provide the capability for an authorized account to view, download, and print EEI that they have filed or that has been filed on their behalf.

8. ACE must provide viewable, downloadable (raw and pre-defined report data), and printable reports to authorized EEI filers and USPPIs through the export account portal. The report set must include the number of EEI transactions filed over a specified period, the number late or in error over a specified period, and specific details for each incident of a late or erroneous filing.

Note: -- These reports will support tracking of transaction statistics and compliance rates.

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| EXP-002 | 940 | <p>"Filing Export Manifest Information via the ACE Portal and EDI" TRADE ACT OF 2002, P.L. 107-210 SEC. 343. <<NOTE: 19 USC 2071 note.>> MANDATORY ADVANCED ELECTRONIC INFORMATION FOR CARGO AND OTHER IMPROVED CUSTOMS REPORTING PROCEDURES. (a) Cargo Information.-- (1) In general.--Subject to paragraphs (2) and (3), not later than 1 year after the date of enactment of this Act, the Secretary shall promulgate regulations providing for the transmission to the Customs Service, through an electronic data interchange system, of information pertaining to cargo destined for importation into the United States or exportation from the United States, prior to such importation or exportation. Background Information • The Federal Register, Vol. 68, No 234/Friday December 5, 2003 – Department of Homeland Security, 19 CFR Parts 4, 103 et al., Required Advance Electronic Presentation states on page 68162: The USPPI or its authorized agent must report the port of exportation as known when the USPPI or its agent tenders the cargo to the outbound carrier. Should the carrier export the cargo from a different port, the carrier so informs the USPPI or agent, the port of exportation must be corrected by the filer in AES. Proposed 192.14 (c) (2) (vi) is revised in this final rule to clarify this issue. • The USPPI or his agent, pre-filing the EEI data, has only estimated transportation data. When the carrier transmits transportation data, ACE will be in receipt of updated EEI transportation information in ACE (AES and AES Direct) automatically rather than require updates from the EEI filer based upon second hand data. REQUIREMENT RECOMMENDATION 1. ACE must provide the capability to file export transportation data via the ACE secure data portal or via electronic (EDI) batch transmissions. ACE must provide the means for USPPIs (exporters), freight forwarders, carriers, or their authorized agents to file all transportation information required for the advanced electronic filing of the outbound manifest. 2. For filing export transportation data, ACE shall make available to carriers and NVOCCs all functionality that is currently provided to them by</p> | 12/18/2006 | Pending | M3 | <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-940) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p> |

AES and AES Direct, except for functionality that has been rendered unnecessary by changes in the CBP business processes that AES and AES Direct support.

3. When USPPIs or EEI filers access their EEI data in ACE, updates made by ACE to transportation based upon the Export Manifest shall be accessible along with the originally submitted values.

CLARIFICATION - The Federal Register, Vol. 68, No 234/Friday December 5, 2003 – Department of Homeland Security, 19 CFR Parts 4, 103 et al., Required Advance Electronic Presentation states on page 68176: (2) Transportation Data, Reporting of the following transportation information is currently mandatory for AES participants under 15 CFR 30.63 for the vessel, air, truck and rail modes:

- (v) Country of ultimate destination (this is the country as known to the USPPI or its authorized agent at the time of exportation, where the cargo is to be consumed or further processed or manufactured ; this country would be identified by the 2-character ISO code for the country of ultimate destination.

- Please note that this is not transportation data.

This information can only be supplied by the USPPI. The carrier can only supply the ultimate destination of his contract of carriage. The carrier could be moving the goods to Rotterdam, and the ultimate country of destination could be Germany. The buyer may elect to pick up the goods in Rotterdam and truck them to Germany with his own transportation. The carrier's ultimate destination could be different than the USPPI's country of ultimate destination.

4. ACE must provide the capability for an authorized Trade user to view, download, and print Export Manifest data that they have filed or that has been filed on their behalf.

5. ACE must provide viewable, downloadable, and printable reports to the TAO and authorized Export Manifest filers through the ACE portal. The report set must include the number of Export Manifest filed over a specified period, the number late or in error over a specified period, and specific details for each incident of a late or erroneous filing.

Note: -- These reports will support tracking of transaction statistics and compliance rates.

6. Upon acceptance of Export Manifest data by ACE, ACE shall update estimated transportation data elements on the corresponding EEI using the transportation data values from the Export Manifest? In case of a discrepancy between the two sets of transportation data, the ACE system will

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| | | <p>NOT notify the USPPI or filer of the EEI. The discrepancy will be resolved upon submission of the departure message by the carrier to CBP. The TAO will have access to any transaction changes that have been made via the ACE Portal.</p> <p>[SEE ATTACHMENT FOR DETAILED PROCESS FLOW DIAGRAMS]</p> <p style="text-align: right;"><i>Owner:</i> William Delansky</p> | | | | |
| EXP-003 | 941 | <p>"Export Batch Filing Methodology" BACKGROUND (This is a technology based requirement and subject to technology available at the time of implementation)</p> <ul style="list-style-type: none"> • It is required that any standards adopted for filing export commodity and transportation data must be consistent with the trade links to the Bureau of Customs and Border Protection (CBP) for other components of ACE. • Given the large investment in the existing links established to CBP by the trade (AMS, ABI, AES) the equivalent links to ACE should be evolutionary (not revolutionary), and as far as possible backwards compatible. • ACE should allow alternate Network suppliers (e.g. Sprint) for MQ communications to introduce competition. <p>USER REQUIREMENT RECOMMENDATION</p> <ol style="list-style-type: none"> 1. ACE should continue to support, for the foreseeable future, the existing methods of communication to CBP. At the current time these are primarily 1-800 Dial-up, SDLC, and VPN communication systems. 2. ACE should continue to support existing formats; Customs Propriety, X12, CATAIR, AESTIR 3. ACE development should ensure that all changes to existing formats are, as far as possible, backwards compatible. This means that if a new data item(s) or records are introduced they should be included in such a way that companies not required to send them will not have to alter their existing processors (file layouts). In other words added and not inserted in the middle of records. <p>Any introduction of XML based message should be offered on an optional basis.</p> <p style="text-align: right;"><i>Owner:</i> William Delansky</p> | 12/18/2006 | Pending | M3 | <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-941) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release. THIS RERQUIREMENT REPLACES EXP-004</p> |

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| EXP-004 | 942 | <p>"EXPORT CONTROL & LICENSING VIA ACE BUREAU OF INDUSTRY & SECURITY (BIS), DEPARTMENT OF COMMERCE"</p> <p>1. The ACE portal should provide the exporter (USPPI) or its authorized filer access to information on export licenses and related export control information on a shipment that falls under the authority of the Bureau of Industry & Security, Department of Commerce pertaining to the export of dual use merchandise as stated in the BIS Export Administration Regulations.</p> <p>2. ACE should provide a mechanism whereby the USPPI or its authorized filer could communicate with BIS for information regarding a transaction or shipment concerning export control or licensing requirements.</p> <p>3. ACE should provide a mechanism whereby BIS could communicate with a particular USPPI or its authorized filer for information regarding a transaction or shipment.</p> <p>4. ACE should provide for all authorized parties (BIS, USPPIs, and their authorized filers) the ability to query license balance (value and/or quantity) and expiration date information.</p> <p>5. ACE should provide for license decrementation functionality based on date, amount, quantity, and expiration date.</p> | 12/18/2006 | Pending | M3 | <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-942) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p> |
| | | <i>Owner:</i> | William Delansky | | | |

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| EXP-005 | 943 | <p>"Export Control & Licensing via ACE - State Department, Directorate of Defense Trade Controls (DDTC)"</p> <ol style="list-style-type: none"> 1. The ACE portal should provide the exporter (USPPI) or its authorized filer access to information on export licenses and related export control information on a shipment that falls under the authority of the Directorate of Defense Trade Controls (DDTC), Department of State, pertaining to the export of merchandise covered under the International Traffic in Arms (ITAR) regulations for items on the U.S. Munitions List. 2. ACE should provide a mechanism whereby the exporter or its authorized filer could communicate with the DDTC for information regarding a transaction or shipment concerning export control or licensing requirements. 3. ACE should provide a mechanism whereby the DDTC could communicate with a particular exporter or its authorized filer for information regarding a transaction or shipment. 4. ACE should provide for all authorized parties (DDTC, Exporters, and their authorized filers) the ability to query license balance (value and/or quantity) and expiration date information. 5. ACE should provide for license decrementation functionality based on date, amount, quantity, and expiration date. 6. ACE should provide the functionality to allow users to verify that a particular State Department (International Traffic In Arms Regulation (ITAR)) license exemption applies to their export (e.g. have access to Canada's list of Registered companies for purpose of verifying that the Canadian exemption 22 CFR 126.5 can be used). This functionality should include a list of prompts to help a user verify whether or not a particular license exemption (or license exception if controlled by the Commerce Department's Export Administration Regulations (EAR) applies to their export. 7. ACE should provide the functionality to access the State Department's tracking system for tracking of temporary ITAR licenses. | 12/18/2006 | Pending | M3 | <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-943) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p> |
| | | <i>Owner:</i> | William Delansky | | | |

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| EXP-006 | 944 | <p>"Export Control & Licensing via ACE - Department of the Treasury, Office of Foreign Assets Control (OFAC)"</p> <ol style="list-style-type: none"> 1. The ACE portal should provide the exporter (USPPI) or its authorized filer access to information on export licenses and related export control information on a shipment that falls under the authority of the Office of Foreign Assets Control (OFAC), Department of the Treasury, pertaining to the export of merchandise covered under OFACs sanctioned countries and parties lists. 2. ACE should provide a mechanism whereby the exporter or its authorized filer could communicate with the OFAC for information regarding a transaction or shipment concerning export control or licensing requirements or current restrictions on exports to a certain country or entity. 3. ACE should provide a mechanism whereby the OFAC could communicate with a particular exporter or its authorized filer for information regarding an export transaction or shipment. 4. ACE should provide for all authorized parties (OFAC, Exporters, and their authorized filers) the ability to query license balance (value and/or quantity) and expiration date information. 5. ACE needs to be able to address special license requirements issued by OFAC on a case by case basis. | 12/18/2006 | Pending | M3 | <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-944) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p> |

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| EXP-007 | 945 | <p>"Export Control & Licensing via ACE - Department of Justice, Drug Enforcement Administration (DEA)"</p> <p>1. The ACE portal should provide the exporter (USPPI) or its authorized filer access to information on export licenses and related export control information on a shipment that falls under the authority of the Drug Enforcement Administration (DEA), Department of the Treasury, pertaining to the export of merchandise covered under DEA permit regulations and statute.</p> <p>2. ACE should provide a mechanism whereby the exporter or its authorized filer could communicate with the DEA for information regarding a transaction or shipment concerning export control or permit requirements or current restrictions on exports to a certain country or entity.</p> <p>3. ACE should provide a mechanism whereby the DEA could communicate with a particular exporter or its authorized filer for information regarding an export transaction or shipment.</p> <p>4. ACE should provide for all authorized parties (DEA, Exporters, and their authorized filers) the ability to query DEA permit information.</p> | 12/18/2006 | Pending | M3 | <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-945) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p> |
| <i>Owner:</i> William Delansky | | | | | | |
| EXP-008 | 946 | <p>Export Control & Licensing via ACE, Nuclear Regulatory Commission"</p> <p>1. The ACE portal should provide the exporter (USPPI) or its authorized filer access to information on export licenses and related export control information on a shipment that falls under the authority of the Nuclear Regulatory Commission (NRC), pertaining to the export of merchandise covered under NRC regulations and statutes.</p> <p>2. ACE should provide a mechanism whereby the exporter or its authorized filer could communicate with the NRC for information regarding a transaction or shipment concerning export control or licensing requirements or current restrictions on exports to a certain country or entity.</p> <p>3. ACE should provide a mechanism whereby the NRC could communicate with a particular exporter or its authorized filer for information regarding an export transaction or shipment.</p> <p>4. ACE should provide for all authorized parties (NRC, Exporters, and their authorized filers) the ability to query license balance (value and/or quantity) and expiration date information.</p> <p>5. Need to add more detail on specific NRC licensing requirements (General Licenses and Specific Licenses)</p> | 12/18/2006 | Pending | M3 | <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-946) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p> |
| <i>Owner:</i> William Delansky | | | | | | |

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| EXP-010 | 893 | " Creating Reports via ACE" Provide a tool via the ACE secure data portal that would give exporters and their authorized filers the ability to extract and download export data on outbound shipments for their own marketing strategies, compliance, and for other recordkeeping purposes. Exporters should have access to full export transaction data only when they are the USPPI on the export transaction and the transactions are not routed export transactions. For routed export transactions, exporters should have access to only the data they are required to provide under 15CFR30.4(c)(1). Authorized filers should have access only to the export data the filer filed. All information filed electronically must be available for downloading and extracting in report format. Export Reports for exporters, authorized agents, carriers and all other account types must be able to generate reports on data for which they are authorized to access. | 12/18/2006 | Pending | A3 | JUNE 10, 2009 - THIS REQUIREMENT (CSPO-GIF-893) WAS APPROVED BY THE CCB. CBP AND CENSUS ARE DISCUSSING IMPLEMENTATION. MAY 28, 2009 - THIS REQUIREMENT (CSPO-GIF-893) WAS SUBMITTED TO CBP FOR CHANGE CONTROL BOARD (CCB) REVIEW. This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release. |
| | | | <i>Owner:</i> | William Delansky | | |

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| EXP-011 | 947 | <p>"EXPORT ACCOUNT TYPES"</p> <p>The ACE portal should provide the exporter or the exporters authorized agent the ability to establish their account and account structure. CBP needs to define the export account types for</p> <ol style="list-style-type: none"> 1. U.S. Principal Party in Interest (Exporter) 2. Authorized Agent (Freight Forwarder) 3. Truck Carrier 4. Sea Carrier 5. Air Carrier 6. Rail Carrier 7. Service Provider 8. Port Authority <p>The ACE portal should allow the exporter or the exporters authorized agent to view their master data based on their authority to access that data. Potential master data elements for the USPPI should be:</p> <ol style="list-style-type: none"> 1. Party Name = USPPI = Account Manager 2. Party Street Address = USPPI 3. Party City = USPPI City 4. Party Country Code = USPPI country 5. Party email Address = USPPI email 6. Party fax number = USPPI fax 7. EIN 8. SSN 9. DUNS 10. Customs assigned number (passport, visa, etc. 11. Customs assigned port numbers <p>The exporter or authorized agent should have the ability to update data elements in their account profile through the ACE portal.</p> | 12/18/2006 | Pending | A3 | <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-947) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p> |
| <i>Owner:</i> William Delansky | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| EXP-012 | 948 | <p>"PROCESSING EXPORT CARNETS" the trade requires US Customs and Border Protection (CBP) to accept the electronic Shipper's Export Declaration (SED/ EEI) that is filed through ACE as one of the methods for proof of export for a closure of CARNET's. The ITN Number (ACE confirmation number issued to filer of SED) will be transmitted on the CARNET. The ITN number is a unique identifier on the transaction that indicates the exact export commodity data filed on behalf of a USPPI (US Principle Party of Interest) . The commodity data and export record provide substantial and necessary proof of export and meet the requirements for proof of export under Part 191.72. Proof of exportation requires proof of the date fact of exportation and identify of the exporter. The date of export will be on this record as provided by the carrier and the fact of exportation will be established by the information showing destination, vessel and specific description of the exported articles by Schedule B or HS number, quantity and value. The information contained in the SED should satisfy all of the requirements for proof of export. Information is filed by HTSUS or Schedule-B number (commodity details) and the transportation details are confirmed by all carriers (VOCC and NVOCC) filing their cargo manifest in advance of cargo loading for ocean carrier, prior to flight for air carriers and prior to crossing for all truck and rail carriers.. The cargo manifest details will be matched in ACE exactly to the commodity details by way of the ITN number. As mentioned above, we expect in 2005 the mandatory electronic filing of all SED information. We also expect the term "SED" to be changed to "EEI" (Electronic Export Information). This change will not change data elements required today in the export process.</p> | 12/18/2006 | Pending | M3 | <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-948) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p> |
| | | <i>Owner:</i> | William Delansky | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| EXP-013 | 949 | <p>"TEMPORARY IMPORTATION UNDER BOND (TIB) PROCESS"</p> <p>Trade requests that US Customs and Border Protection (CBP) accept the Electronic Export Information (EEI) that is filed through ACE as one of the methods for proof of export for a closure of TIB's. The ITN Number (ACE confirmation number issued to filer of EEI) will be transmitted on the TIB. The ITN number is a unique identifier on the transaction that indicates the exact export commodity data filed on behalf of a USPPI (US Principle Party of Interest). The commodity data and export record provide substantial and necessary proof of export and meet the requirements for proof of export under CFR Part 191.72.</p> <p>Proof of exportation requires proof of the date as fact of exportation and identity of the exporter. The date of export will be on this record as provided by the carrier and the fact of exportation will be established by the information showing destination, conveyance and specific description of the exported articles by Schedule B or HTS number, quantity and value.</p> <p>The information contained on the EEI should satisfy all of the requirements for proof of export. Information is filed by HTS or Schedule-B number (commodity details) and the transportation details are confirmed by all carriers filing their cargo manifest in advance of cargo loading for ocean carrier, prior to flight for air carriers and prior to crossing for all truck and rail carriers.. The cargo manifest details will be matched in ACE exactly to the commodity details by way of the ITN number.</p> | 12/18/2006 | Pending | M3 | <p>JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-949) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release.</p> |
| | | <i>Owner:</i> | William Delansky | | | |

| Requirement # | GIF | TSN Requirement Description | Submission date | Status | Release (s) | Comments |
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| EXP-014 | 950 | "EXPORTS OUT OF A FOREIGN TRADE ZONE" Foreign Trade Zone Outbound/Export Requirements. This will serve as a placeholder for future development of export user requirements for exports out of a foreign trade zone. Direct & Indirect exports after entry - Export of merchandise directly out of the zone - Export of merchandise manufactured in the zone - NAFTA duty deferral merchandise - FTZ/Exports that require PGA release authority for export out of the zone (DEA, FWS, BIS, State, OFAC) - In-bond process - Close out the FTZ import with the export document (automated closeout within ACE) – requirements need to be focused on ACE! - OIT movement between U.S. & Puerto Rico - Bonded Warehouse movement? | 12/18/2006 | Pending | M3 | JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-950) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release. This requirement also needs additional development in conjunction with the Foreign Trade Zone subcommittee of the Entry committee. |
| <i>Owner:</i> William Delansky | | | | | | |
| EXP-015 | 951 | "COMMUNICATING ERROR MESSAGES" ACE should provide a tool that would allow the exporter and their authorized agents a view of the ACE error messages so that the exporter can have visibility to the error messages for export transactions filed by a freight forwarder on behalf of the exporter. | 12/18/2006 | Pending | M3 | JULY 31, 2009 - THIS REQUIREMENT (CSPO-GIF-951) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. This requirement was submitted to the CCR Team on December 18, 2006 as a placeholder for reference and development in a future ACE release. |
| <i>Owner:</i> William Delansky | | | | | | |
| Interface - 1 | 931 | CBP should ensure that XML utilization for Trade interfaces complies with appropriate international standards. | 7/25/2001 | Accepted in Part | A1, A2, A3, M1, M2, M3 | DETERMINED TO BE IN SCOPE FOR M1 July 16, 2009: THIS REQUIREMENT (GIF 931) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW Will be developed throughout the process. INT-1 and INT-7 have been combined and replaced by GIF 201 (submitted to CBP on 1/9/2006). The GIF was drafted to reflect XML among the list of recommended message formats. STATUS of GIF 201: This GIF has been referred to CBP for consideration at the enterprise level (Reviewed by CCR team June 2006-regular updates will be provided) |
| <i>Owner:</i> Susan Maskell | | | | | | |

| Requirement # | GIF | TSN Requirement Description | Submission date | Status | Release (s) | Comments |
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| Interface - 2 | 932 | Whenever an ACE capability is successfully deployed that would replace a legacy interface capability, the legacy interface will be supported for five years. In the event that use of the legacy interface by Trade partners ceases, the older interface may be eliminated in less than five years. | 7/25/2001 | Accepted in Part | A1, A2, A3, M1, M2, M3 | <p>July 16, 2009: THIS REQUIREMENT (GIF 932) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW</p> <p>Subject to CBP policy considerations.</p> <p>INT-2 has been replaced by GIF 202 (submitted to CBP on 1/9/2006). The GIF was drafted to also recommend a phased deployment for ACE when replacing legacy systems. STATUS of GIF 202: This GIF has been withdrawn by the committee: the policy decision to pursue a big bang deployment strategy has already been made by CBP.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| | | | <i>Owner:</i> | Susan Maskell | | |
| Interface - 9 | 934 | All communications modes, exclusive of uncontrollable aspects of the Internet, must be available 24 hours a day, every day of the year. Performance of communications modes, exclusive of uncontrollable aspects of the Internet, must be demonstrably within industry norms. Comparison of performance metrics for similar communications implementations should be used to set nominal response time for those developed by eCP. | 7/25/2001 | Accepted in Part | 2, 3, 4, A1, A2, A3, M1, M2, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>JULY 16, 2009: THIS REQUIREMENT(GIF 934) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| | | | <i>Owner:</i> | Susan Maskell | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| ITD-015 | 1057 | NHTSA: Entry Summary for all preferred shipments. NHTSA data will be collected in the entry summary similar to that currently collected in the ABI. | 7/27/2001 | Pending | M2 | <p>SEPTEMBER 17, 2009 - THIS REQUIREMENT (CSPO-GIF-1057) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>4/18/2007: This requirement was accepted by CBP on 2/16/07 and is included in the NHTSA CONOPS. The NHTSA CONOPS is still pending OFO approval. This is also a cargo release requirement and will be worked by the CCR team. The ITDS team will work with the CCR team to implement this requirement.</p> <p>This requirement was submitted to the ITDS Board (December 2004) for PSG and ACE Support Team review. A meeting was held with NHTSA to discuss on March 8, 2005. The requirement was revised per NHTSA requirements. NHTSA has requested in their ConOps that Form HS-7 data continue to be an option for electronic filing by the trade in ACE as it currently is in ACS/ABI. NHTSA proposes in their ConOps the electronic exchange of information with hACE including the electronic communication of potential admissibility decisions and a mechanism to allow NHTSA's authorized users to maintain and update registered importer information for vehicle entry data validation and screening.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Susan Dyszel</p> |
| ITD-024 | 1058 | Import/Export Release Coordination. ACE needs to ensure CBP will not release a shipment until all appropriate PGAs have also released the shipment. CBP will coordinate such releases with the appropriate PGA. | | Pending | CR | <p>SEPTEMBER 17, 2009- THIS REQUIREMENT (CSPO-GIF-1058) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>4/18/2007: ACE shall withhold final release until all PGA admissibility requirements have been satisfied. (REF: RSSTD.092.030). This requirement is accepted by CBP and CBP will work with the PGAs to implement.</p> <p>This requirement requires further review by CBP. This will require release coordination policy decisions between CBP and the specific PGA.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Susan Dyszel</p> |

| Requirement # | GIF | TSN Requirement Description | Submission date | Status | Release (s) | Comments |
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| MMM-004 | | If CBP finds that the equivalent of a trip # is a needed data element for other modes of transportation, it will need to accept the current AMS participants' method of identifying flight, voyage or train. | 7/9/2001 | Pending Further Committee Review | 4, M1, M2.1, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>062909: Per June 24, 2009 MMM working group meeting, this is a significant issue. CBP and the trade want and need unique trip number. CBP is considering CBSA's current Conveyance Reference Number (CRM) format, reported in N9 segment.</p> <p>4/11/2007 - 2.4 Add Ocean Bols - complete manifest, 4.1 Add consist</p> <p>Subject to CBP policy determination. R4 WILL HAVE A "TRIP NUMBER" for each truck crossing.</p> <p>(Reviewed by CCR team June 2006-regular updates will be</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| MMM-006 | 997 | SEND WARNING TO CARRIER IF ALL PARTIES REPORTED IN FAST ARE NOT C-TPAT: The carrier needs to know if the information supplied by the shipper is acceptable for FAST release. | 7/10/2001 | Pending CBP L/P Input | CR | <p>SEPTEMBER 16, 2011 - This requirement is pending CBP L/P Input. There is a legal issue pertaining to maintaining the confidentiality of C-TPAT participants.</p> <p>JANUARY 7, 2011-This GIF was determined to be cargo release related in the cargo release revalidation effort.</p> <p>SEPTEMBER 1, 2009 - THIS REQUIREMENT (CSPO-GIF-997) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>4/11/07 - Scheduled for implementation</p> <p>FAST shipment processing available for Truck carriers.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

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| MMM-010 | | CBP is to use existing shipment identifiers for the FAST issuer/transaction number (SID). Those identifiers are: air – master air waybill number and house waybill number, sea – ocean bill of lading, rail – unique CBP bill of lading number, truck – PRO number/unique carrier reference number. | 7/9/2001 | Pending Further Committee Review | 4, M1, M2.1, M2.2, M2.3, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>June 29, 2009 - Recommendation for further review to ensure the requirement as stated is still valid or if it needs to be changed. A working group has been formed to review this issue.</p> <p>4/11/07 - Need information on track 4 - maybe maps to TD.37 "ACE shall process GOL information in accordance with the CAMIR Customs Automated Manifest Interface Requirements</p> <p>Release 4 uses Shipment Control Number (PRO number). Ocean and rail will use their Bills of Lading which will increase up to 50 characters.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Vincent Annunziato</p> |
| MMM-FR-01 | | Code Share/Slot Charter is when multiple move shipments on a single conveyance with multiple participants sending manifest data to CBP. All code share partners on each conveyance will be declared to CBP. A. Participants will use their own unique trip number. B. The conveyance departure message would be sent by the carrier/owner/operator. C. The Trip Data, GEN DEC, would be sent by the carrier/owner/operator only. | 5/17/2002 | Pending CBP L/P Input | M1, M2.1, M2.2., M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>June 2009 - This requirement has been referred to the committee for further review by the air carriers. The Office of Regulations and Ruling requested a rewrite and the committee will pursue.</p> <p>4/11/07 Below status still accurate</p> <p>Subject to CBP policy. Release 4 does not accommodate. Subject to consideration for future releases. Bill Control Number is accommodated for in Release 4.</p> <p>Comments from review with T.F. 6/7/04:</p> <ul style="list-style-type: none"> * All code share partners on each conveyance will be declared to CBP - Yes * Participants will use their own unique trip number – Yes, as per CBP letter from OFO dated Aug 6, 2004. * The conveyance departure message would be sent by the Carrier/Owner/ Operator only - Yes * All participants would need to have the capability to send and receive electronic data to CBP - Yes <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Vincent Annunziato</p> |

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| MMM-FR-02 | | ACE should support split shipments AND be able to use the Bill of Lading number as the CBPF-7512 control number. | 5/17/2002 | Pending Further Committee Review | 4, M1, M2.1, M2.2, M2.3, M3 | <p>JUNE 9, 2010 - Jim Swanson - M1 is not using the Bill of Lading number as the in-bond number. This is in scope for development in Air Manifest.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>July 2009 - Recommend to close portion that has been met by events and rewrite the portion for truck functionality to allow the use of the bill of lading number as the in bond number.</p> <p>062909: This capability was provided in R4, but does not work because the in bond number field is not large enough.</p> <p>4/11/07 - CBP requirement states that "ACE shall provide the capability for an authorized trade participant ot designate a BOL as "split" between multiple trips using the ACE Portal</p> <p>Subject to CBP policy. CBP requires Shipment quantity and if shipment is split a boarded quantity will also be required. CBP approved this requirement. Only issue is the 10 day clock from the arrival of the first portion until the last portion must arrive.</p> <p>Review with T.F. 6/7/04: * Split Shipment supported for trucks in R4 in accordance with CFR 141 & 142 - Accepted in Part * For Sea/Rail & Air Split Shipment - Preliminary CBP Approval.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| | | | | <i>Owner:</i> Vincent Annunziato | | |

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| MMM-FR-03 | | The Multi Modal Manifest System needs to support the various release mechanisms offered by U.S. CBP. | 5/10/2002 | Pending Further Committee Review | 4, M1, M2.1, M2.2, M2.3, M3 | <p>JUNE 9, 2010 - Jim Swanson - M1 does not allow ocean and rail carriers to request Sce 321(low value release). Closer integration to release is part of the scope for cargo release processing.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>July 2009 - This requirement is too generic and needs more detail for each mode. The MMM committee will review and present revisions.</p> <p>062909: There is a CR for R4 to accommodate release messages that wer NOT delivered for truck manifest, such as carnets, government entries, etc.</p> <p>4/11/07 - "ACE shall provide interfaces to systems that utilize EDI standards"</p> <p>Comments from Review with T.F. 6/7/04:</p> <p>* Accepted in Part - Accepted for truck Release 4</p> <p>* Preliminary CBP approval - CBP intends to support the various release mechanism for all modes thru ACE</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |

Owner: Vincent Annunziato

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|----------------------|------------|--|------------------------|----------------------------------|-----------------------|--|
| MMM-FR-04 | | Provide for transfer on bond liability and closure of manifest after transfer of bond liability. Harmonize automated processing on in bond across all modes. | 5/21/2002 | Pending Further Committee Review | 4, M1, M2.1, M2.2, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>July 2009 - Portions of this requirement are currently in programming for M1. This requirement is currently in committee review to better define the transfer of bond liability items.</p> <p>4/11/07 - ACE shall provide the capability for an authorized user to add In-Bond information for shipments that arrive at the following; 1) US Intermediate Ports; 2) US Destination Ports. The following functionality has been accepted in the CBP requirements document.</p> <ul style="list-style-type: none"> - "ACE shall provide the capability for an authorized user to arrive an in-bond at the BOL level". - "ACE shall provide the capability for an authorized user to arrive an in-bond at the in-bond level". - "ACE shall provide the capability for an authorized user to arrive and in-bond at the equipment level". - "ACE shall validate a transfer of liability request from an authorized user using all of the following: 1) Bonded carrier ID (IRS number) for carrier submitting original BOL data; 2) Carrier authorized to use bonded carrier ID." - "(In Bond) "ACE shall keep the same in-bond number through a movement if bonded carriers change due to a transfer of liability. - "(Reference Data) "ACE shall provide access to reference information on in-bond number banks" - "(Notifications) "ACE shall send an error message to the original submitting carrier in an unauthorized transfer of liability request was received by CBP" <p>Comments from review with T.F. 6/7/04:</p> <ul style="list-style-type: none"> * Accepted in part - Release 4 are tied to current ACS/AMS in-bond system. * Preliminary CBP approval - For other modes. * continue to use the Vbond numbers as an option-utilize the carriers shipment control number throughout the life cycle of the shipment - Yes * Clear cut bond liabilities and transfer of bond liability - Yes * Manifest closure after transfer of bond liability - Rejected. <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| | | | | <i>Owner:</i> | Vincent Annunziato | |

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| MMM-FR-05 | | Carrier Web Portal Requirements including view/list/search capabilities needed, maintenance functions required, query and download capabilities, status notifications and searches. | 5/23/2002 | Accepted in Part | 4, A1, M1, M2.1, M2.2, M2.3, M3 | <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>4/11/2007 - In scope for A1. Ability to search, view and report on data as well as maintenance of data and specific download capabilities are provided for in ACE, e.g. M1 requirements TC.053.016, .017 (Notifications), XT.073.022; 023 (System Interfaces)</p> <p>Comments from review with T.F. on 6/7/04:</p> <ol style="list-style-type: none"> 1.The carrier account shall include ALL the codes by which the carrier is known, SCAC, IATA, DUNS, IRS, ICAO, etc.... - Accepted 2. Carrier account will include Bond Information - Prelim CBP Approval 3. Carrier account shall include all programs in which the carrier participate i.e. FAST & C-TPAT, BRASS - Prelim CBP approval for C-TPAT Rejected for others. 4.The Carrier I.D. who can obligate Bonds - Prelim CBP Approval 5. Carrier should inquire the history who obligated their Bond - Prelim CBP Approval. 6.Carrier to view history of all transactions - Accepted in part for R4 Truck Manifest. Prelim CBP Approval for all other modes. Shipment Status not provided. 7.Carrier to receive Administration Messages - Prelim CBP Approval. 8.Carrier access to All Reference Data - Accepted in part 19CFR, HTS & Cross. Reject the "ALL Reference Data". 9.Carrier require access to "Sanction List" & "Denied Party List" - Pending CBP L/P input. 10.Carrier access to penalties/Liq Damages - Prelim CBP Approval. 11.Carrier require ability to electronically send petitions - Prelim CBP Approval. 12.Carrier to receive electronically all notices of Penalties, Decisions on petitions/mitigations - Prelim CBP Approval. 13.Carrier to receive Seizure/Detention notices electronically - Prelim CBP Approval. 14.Carrier to download account data - Pending HQ Inputl. |

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| | | | | | | <p>15. Carrier will have access to compliance rate & "Report Card" - Prelim CBP Approval.</p> <p>16. Move to Financial Committee</p> <p>17. Truck carrier need ACE to track \$5.00 payments - Prelim CBP Approval.</p> <p>18. Carrier to pre-Register crew members - Accept for R4 Truck Manifest & Prelim CBP Approval for all other modes.</p> <p>19. Carrier to pre-Register conveyances - Accept for R4, Truck Manifest & Prelim CBP Approval for all other modes.</p> <p>20. Carrier account to include certificates/licenses - Prelim CBP Approval.</p> <p>21. Carrier to act as Account Administrator - Accepted in part for R4 Truck Crossover - CCR and ESAR Accounts</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Vincent Annunziato</p> |
| MMM-FR05.03 | 998 | Carrier account shall include all programs in which the carrier participate i.e. FAST & C-TPAT, BRASS | 5/23/2002 | Pending | M2.3 | <p>SEPTEMBER 1, 2009 - THIS REQUIREMENT (CSPO-GIF-998) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>Status: 03/26/2008 - ESAR review - Delivered in A1 - CBP must add these programs.</p> <p>4/11/07 In scope for A1 for FAST</p> <p>Comments from review with T.F. on 6/7/04: Prelim CBP approval for C-TPAT Rejected for others.</p> <p><i>Owner:</i> Vincent Annunziato</p> |

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| MMMFR-05.04 | 999 | CARRIER BOND AUTHORIZATION: The Carrier Account shall allow the carrier to indicate which parties are permitted to obligate the carriers' bond. The Account will also include the bond type since a carrier may have more than one bond type, i.e., International Carrier, Custodial, CFS, etc. Any party obligating a carrier's bond (on any transaction) shall be validated against the carrier account for existing permission. The validation shall also include the type of bond the party is permitted to use. | 5/23/2002 | Pending | M1, M2.1, M2.2, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>SEPTEMBER 1, 2009 - THIS REQUIREMENT (CSPO-GIF-999) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>4/11/07 Owner changed to Kim Santos</p> <p>Comments from review with T.F. on 6/7/04: Prelim CBP Approval</p> <p>Cross over with other business processes.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Vincent Annunziato</p> |
| MMM-FR05.09 | 1002 | Carrier require access to "Sanction List" & "Denied Party List" | 5/23/2002 | Pending CBP L/P Input | M1, M2.1, M2.2, M3 | <p>JUNE 9, 2010 - Jim Swanson - This will be in scope for export manifests</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>SEPTEMBER 1, 2009 - THIS REQUIREMENT (CSPO-GIF-1002) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>Comments from review with T.F. on 6/7/04: Pending CBP L/P input.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Vincent Annunziato</p> |

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| MMM-FR05.10 | 1040 | CARRIER ACCESS TO PENALTIES/LIQUIDATED DAMAGES The carrier requires the capability to access all penalties/liquidated damages issued to the specific carrier. The information shall include a description of the penalty/liquidated damage, date issued, status, and disposition if closed including the amount paid if applicable. | 5/23/2002 | Pending | A2.4 | <p>SEPTEMBER 11, 2009 - THIS REQUIREMENT (CSPO-GIF-1040) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>4/11/07 - Owner changed to Valarie Neuhart</p> <p>Comments from review with T.F. on 6/7/04: Prelim CBP Approval.</p> <p>No FP&F data.</p> <p><i>Owner:</i> Vincent Annunziato</p> |
| MMM-FR05.11 | 1003 | CARRIER REQUIRES ABILITY TO ELECTRONICALLY SEND PETITIONS | 5/23/2002 | Pending | M1, M2.1, M2.2, M2.3 | <p>JUNE 9, 2010 - Jim Swanson - Penalty and seizure information is not in scope at this time.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1003) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>8/9/07 Will require a GIF for further CBP review.</p> <p>Comments from review with T.F. on 6/7/04: Prelim CBP Approval.</p> <p><i>Owner:</i> Vincent Annunziato</p> |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| MMM-FR05.12 | 1004 | Carrier to receive electronically all notices of Penalties, Decisions on petitions/mitigations. The carrier requires capability to receive all notices issued relative to penalty, liquidated damages, including decisions on petitions/migrations, electronically . Through the carrier account the carrier may designate a specific point of contact to which these notices shall be addressed. | 5/23/2002 | Pending | M1, M2.1, M2.2, M2.3 | <p>JUNE 9, 2010 - Jim Swanson - Penalty and seizure information is not in scope at this time.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1004) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>8/9/07 - Will require a GIF for futher CBP review.</p> <p>Created during review with T.F. on 6/7/04: Prelim CBP Approval</p> <p><i>Owner:</i> Vincent Annunziato</p> |
| MMM-FR05.14 | 1006 | The Carrier requires the capability to order overtime electronically from all Participating Government Agencies (PGAs.) The carriers' request shall include the date, time, and location for the overtime and the government agency requested. The Carrier requires confirmation from the specified government agency, which includes the date, time and location of the overtime to be performed. | 5/23/2002 | Pending | M1, M2.1, M2.2, M2.3 | <p>JUNE 9, 2010 - Jim Swanson - Conveyance management is not in scope at this time.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1006) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>062909: Requirement Pending</p> <p>4/11/07 - Will be referred to MMM committee for further review.</p> <p>Created during review with T.F. on 6/7/04: Further Committee evaluation required.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Vincent Annunziato</p> |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|---|------------------------|---------------|--------------------|--|
| MMM-FR05.15 | 1007 | The Carrier requires the capability to download all data related to their Account including history of manifest transactions, penalty/liquidated damages, bond obligations, compliance data, statistical data, etc. | 5/23/2002 | Pending | M1, M2.1, M2.2, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1007) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>4/11/07 - Will be covered in Authorized Data Extract.</p> <p>Created during review with T.F. on 6/7/04: Pending HQ Input</p> <p>A GIF has been prepared covering the trade's needs for bulk data downloads (submitted to CBP 1/9/2006). CR 1687</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| | | | | <i>Owner:</i> | Vincent Annunziato | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------------------|-------------|---|------------------------|---------------|----------------------|---|
| MMM-FR05.16 | 1008 | The Carrier shall have access to their compliance rates and any 'report card' type information recorded about the carrier. This may include transmission rejects and the reasons for those rejects. This will allow the carriers to monitor their compliance in this area. | 5/23/2002 | Pending | M1, M2.1, M2.2, M3 | <p>JUNE 9, 2010 - Jim Swanson - Not currently in scope but should be evaluated as part of a future release.</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1008) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>4/11/07 -The below functionality is included in the CBP requirements set: - "ACE shall provide the capability for an authorized to produce compliance reports" - "ACE shall provide the capability for an authorized user to produce carrier activity reports."</p> <p>Created during review with T.F. on 6/7/04: Prelim CBP Approval.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| MMM-FR05.17 | 1009 | The carrier requires that all moniew owed for penalty/liquidated damages cases may be presented on a periodic statement. The carriers could make then make payment for all or part of this statement with one check or utilize an electronic payment capability such as ACH. This requirement will be optional based on the carrier's indication to elect this form of payment. | 5/23/2002 | Pending | M1, M2.1, M2.2, M2.3 | <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1009) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: This will require a GIF if FP&F will be in A3</p> <p>Created during review with T.F. on 6/7/04:</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|---|------------------------|------------------|--------------------|--|
| MMM-FR05.20 | 1010 | The Carrier Account may include a listing of all pre-registered conveyances with their pertinent information such as VIN, License Tag number, Lloyds Code, Tail Number, etc. so that full conveyance information would not be required with each manifest (trip) transaction. | 5/23/2002 | Accepted in Part | R4, M1, M2.1 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1010) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>Status: 03/26/2008 - ESAR Review - Delivered in R4</p> <p><i>Owner:</i> Vincent Annunziato</p> |
| MMM-FR05.21 | 1039 | CERTIFICATES/LICENSES ISSUED BY PGAs TO BE NATIONAL OR PORT SPECIFIC: The carrier account may include any existing certificates and or licenses issued to that carrier by any PGA. The carrier account shall include an indication that a particular certificate and/or license is national in scope or if port specific the port at which the certificate and/or license is valid. | 5/23/2002 | Accepted in Part | A1, A2.4 | <p>SEPTEMBER 11, 2009 - THIS REQUIREMENT (CSPO-GIF-1039) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>This was delivered in A1 but not fully utilized by the PGA. Current functionality includes blanket licenses and does not support to the level of port versus national specificity.</p> <p>Status: 03/26/2008 - ESAR Review - Delivered in A1</p> <p>4/11/07 - In scope for implementation</p> <p>Created during review with T.F. on 6/7/04: Prelim CBP Approval.</p> <p><i>Owner:</i> Vincent Annunziato</p> |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| MMM-FR05.22 | 1011 | CARRIER TAO TO BE ABLE TO CONROL ACCESS TO SENSITIVE INFORMATION: The carrier requires the capability to act as "Account Administrator" to it's account. The account owner must be able to designate specific functions to specific users of their account and to add and delete functions as the account owner deems necessary. All account access information should be available to the account owner including the tools necessary to administer access sto the account data. For example: account owner may want to designate only one individual to electronically order overtime. | 5/23/2002 | Pending | M1, M2.1, M2.2, M2.3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1011) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - The functional requirements listed below are covered in individual requirements MMM-FR05.1 thru MMM-FR05.22. The MST Business Lead will work with the ESAR Team and identify items that have been accepted and those that still need work and get back to the MMM committee with results.</p> <p>Status: 03/26/2008 - ESAR Review - ESAR needs more specificity</p> <p>4/11/07 - In scope for implementation</p> <p>Created during review with T.F. on 6/7/04: Accepted in part for R4 Truck Manifest, Prelim CBP Approval for other modes & Reject ability to designate individual for ordering overtime.</p> |
| | | | | <i>Owner:</i> | | Vincent Annunziato |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| MMM-HL-002 | 1013 | Standardization of cargo and conveyance information for all modes of transportation for import and export. A. Single enterprise architecture for all modes. B. Common manifest (common reporting of cargo & conveyance detail). C. Common set of operating procedures for CBP. D. Common user interface. | 8/27/2001 | Pending | 4, M1, M2.1 M2.2, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1013) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - MMM committee recommends that this requirement remain open for continued review until ACE is delivered.</p> <p>4/11/07 -The below issues are included in the CBP requirements set: - "ACE shall transmit response messages for EDI transactions to authorized users utilizing the ANSI-X-12 standard" - "ACE shall transmit response messages for EDI transactions to authorized users utilizing the CAMIR standard" - "ACE shall produce EDI responses in the same format as the original EDI input." - ":ACE shall transmit outgoing Notifidcation messages to authorized users utilizing the ANSI-X-12 statndard." - "ACE shall transmit outgoing Nootification messages to authorized users utilizing the CAMIR standard." - "ACE shall produce outgoing Notifications in the format specified by the recipient's EDI profile." - "ACE shall support broker downloads for all modes of transportation." - "ACE shall provide the capability to receive house BOLs from all modes of transportation.</p> <p>Initial functionality begins with truck manifest in Release 4 and will continue in M1, M2, M3 & M4 for the rest of the modes and completed with exports and the single multi-modal manifest.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Vincent Annunziato</p> |
| MMM-HL-003 | 1014 | AUTOMATE THE TRANSFER OF CUSTODIAL AND MANIFEST LIABILITY: Proposal: That the bond of the border crossing or importing carrier be the one obligated, but that the bond and associated manifest liability be transferred to the next bonded carrier in the route of movement at time of cargo interchange. This will result in the bond obligation becoming officially resident with the carrier who has care and control of the shipment. This transfer of liability would take place with CBP using the ACE (or AMS) system by all participating carriers. The In-Bond carrier would be identified by their I.R.S. number and the CBP unique identifier (which may be equivalent to the SCAC). | 8/31/2001 | Pending | M1, M2.1, M2.2, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1014) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - Need to determine if a GIF should be submitted for CBP CCB review.</p> <p>4/11/07 - This requirement has been included in the CBP requirements set - "ACE shall provide the capability for an authorized user to submit a transfer of liability."</p> <p><i>Owner:</i> Vincent Annunziato</p> |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| MMM-HL-005 | | CBP regulations currently require a separate identifying control number for each part of a split shipment. The air waybill number is used for the first part of the split and individual CBP 7512 control numbers are assigned to each subsequent part of the split shipment. Retain option of using the V number. | 8/31/2001 | Pending CBP L/P Input | M2.1, M2.2 | <p>Ju 2009 - This requirement needs further clarification from the MMM committee.</p> <p>4/11/07 - This requirement has been included in the CBP requirements set - "ACE shall allow the same In-Bond number to be used on all parts of an air split shipment."</p> <p>Subject to CBP policy determination and possible regulatory changes.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Vincent Annunziato</p> |
| MMM-HL-007 | | CBP authored Transportation Dictionary. | 8/31/2001 | Pending Further Committee Review | M3 | <p>July 2009 - Currently R4 (truck manifest) and A2 (entry summary) reports data dictionary is published on cbp.gov. for use with the new ad hoc reporting tool. The MMM committee will review the original list of trade terms and forward to CESAC for review and enhancement.</p> <p>4/11/ 07 - Out of scope for ACE</p> <p>Subject to CBP policy. This does not represent ACE functionality. Should this be rejected?</p> <p><i>Owner:</i> Vincent Annunziato</p> |
| MMM-HL-010 | 1016 | All federal government agencies that require manifest information should be connected to U.S. CBP' automated system. | 8/31/2001 | Accepted in Part | 4, M1, M2.1, M2.2, M3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1016) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - This requirement is covered by ITDS via ACE in M1. Requirement will be left open for confirmation of delivery post M1.</p> <p>4/11/07 - CBP is working with appropriate PGAs.</p> <p>Initial PGA participation will begin in Release 4 and will expand throughout ACE as PGAs continue to interface with ACE. The CCR team will work with the CBP/ITDS team to ensure PGA requirements are incorporated where necessary.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Vincent Annunziato</p> |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| MMM-HL-011 | 1017 | To allow for remote filing for all entry methods. | 8/31/2001 | Pending CBP L/P Input | CR | <p>JANUARY 11, 2011 - Dependent on whether policy office will determine to continue RLF in the future.</p> <p>SEPTEMBER 16, 2011 - This requirement is pending CBP L/P input for cargo release. RLF will be available for all entry types. There are two policy and legal implications: 1) The inclusion of FAST PAPS is a policy decision and 2) Filing an entry in lieu of an in-bond requires legal review. This requirement will require additional discussion.</p> <p>JANUARY 7, 2011-This GIF was determined to be cargo release related in the cargo release revalidation effort.</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1017) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - MMM committee recommends that this requirement be moved to the Release committee for further action.</p> <p>4/11/07 - Pending CBP Legal Policy Input</p> <p>Subject to CBP policy determination and possible regulatory changes.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| | | | <i>Owner:</i> | Vincent Annunziato | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| MMM-HL-012 | 1018 | When CBP is informed by ocean carriers the port of discharge has been changed, CBP system can replace the original port of discharge with the new port of discharge automatically without requiring ocean carrier re-transmit amendment on B/L by B/L basis | 8/31/2001 | Pending CBP L/P Input | M1, M2.1, | <p>JUNE 9, 2010 - Jim Swanson - Not currently in scope for M1 but should be evaluated as part of a future release. The biggest work on this involves release/entry.</p> <p>DETERMINED TO BE NOT IN SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1018) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - MMM committee recommends this requirement be kept open for further risk review (diversion, broker not permitted to file at new POD, but clearances remain in effect, the cargo is released in error.) Open for review by OFO and ORR.</p> <p>4/11/07 - Diversion requirements included in M2.2 Cargo Release . To be finalized.</p> <p>Subject to CBP policy determination.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Vincent Annunziato</p> |
| MMM-HL-013 | | <p>Multiple participants sending and receiving manifest messages for a single conveyance.</p> <p>A. The owner/ operator or their agent would be responsible for submitting the general declaration and hardcopy manifest presentation, if required.</p> <p>B. All participants will send their part of the manifest electronically for the conveyance under their own bond.</p> <p>C. The ACE system would need to return the status messages to the return address of the sender.</p> | 8/31/2001 | Pending Further Committee Review | M1, M2.1, M2.2, M2.3 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>July 2009 - The MMM committee will re-write for AIR messages.</p> <p>4/11/07 - Use cases 2.4 Add Ocean BOLs - Complete Manifest, 4.1 Add Consist.</p> <p>Under the trade act, this is made into law.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Vincent Annunziato</p> |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| MMM-HL-014 | 1019 | Revise the current in-bond process to eliminate redundancies and utilize automation. | 8/31/2001 | Accepted in Part | 4, M1, M2.1, M2.2 | <p>DETERMINED TO BE IN SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1019) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - This requirement strives to eliminate the use of a 9 digit CBP control number and use the carrier's unique shipment control number in place of the in bond number - Leave this requirement open for in bond subcommittee review.</p> <p>4/11/07 - Parts of this requirement are included in the CBP requirements set:</p> <ul style="list-style-type: none"> - "ACE shall provide the capability for an authorized user to add in-bond information for shipments that arrive at the following: 1) US Intermediate Ports; 2) US Destination Ports. - "ACE shall provide the capability for an authorized user to arrive an in-bond at the BOL level. - "ACE shall provide the capability for an authorized user to arrive an in-bond at the in-bond level." - "ACE shall validate a transfer of liability request from an authorized user using all of the following: 1) Bonded Carrier ID (IRS Number) for carrier submitting original BOL data; 2) Carrier authorized to use Bonded Carrier ID. - (In-Bond) "ACE shall keep the same in-bond number through a movement if bonded carriers change due to a transfer of liability." - (Reference Data) "ACE shall provide access to reference information on in-bond number banks." - (Notifications) "ACE shall send an error message to the original submitting carrier if an unauthorized transfer of liability request was received by CBP." <p>Truck Manifest accommodate a portion of In-Bond, the rest will be completed in Release M1 and M2.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| | | | <i>Owner:</i> Vincent Annunziato | | | |
| MMM-HL-015 | | The unique bill of lading number used by airlines shall be composed of the following elements: carrier's code, origin airport code and air waybill number. A. The bill shall also be identified in the system by the destination code, flight number and flight date. | 8/31/2001 | Pending Further Committee Review | M2.1 | <p>July 2009 - This requirement is currently being reviewed by the MMM committee.</p> <p>Subject to CBP policy determination.</p> <p>Comment from review with T.F. on 6/7/04:</p> <p>Airlines asked CBP and were given a period of 1 year for Airway bill to be unique.</p> |
| | | | <i>Owner:</i> Vincent Annunziato | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------------------|-------------|---|------------------------|----------------------------------|--------------------------|--|
| MMM-HL-018 | 1038 | Allow for pending in-bond actions to be held for activation (i.e. permits to transfer, additional in-bond legs). Currently if a shipment is moving in-bond and has not yet arrived at the in-bond destination port and an additional in-bond movement is required beyond that port it is not allowed. If a carrier has initiated a bond and a broker or other party to the transaction wishes to add an in-bond leg it should be accepted and held pending the arrival at the initial bonded port and processed after the arrival is reported. This is particularly important when the cargo arrives on a weekend and offices are closed resulting in cargo delays. | 8/31/2001 | Pending | M1, M2.1, M2.2, M2.3, M3 | <p>JUNE 9, 2010 - Jim Swanson - Checking with CCR on the status. If a carrier has initiated a bond and a broker of other party to the transaction wishes to add an in-bond leg it should be accepted and held by CBP pending the arrival of the initial bonded port and processed after the arrival is reported.</p> <p>SEPTEMBER 11, 2009 - THIS REQUIREMENT (CSPO-GIF-1038) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - ACE should accept a subsequent in-bond even though the first in-bond move has not yet arrived. Leave open for confirmation of delivery in ACE. Review for M2 as needed. In-Bond committee will also review.</p> <p>4/11/07 - Truck Manifest will accommodate.</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| MMM-HL-019 | | Allow for release/hold information to be sent while in-bond traffic is still moving in the US to the final destination. | 8/31/2001 | Pending Further Committee Review | M3 | <p>July 2009 - Open for in-bond committee review</p> <p>Subject to CBP policy determination and possible regulatory changes.</p> <p>CBP rejected this requirement and will send advisory messages instead of all messages</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| MMM-HL-020 | 1020 | Automate the transfer of In-Bond shipments from carrier's bonded facility to Foreign Trade Zone. | 8/31/2001 | Pending | M2.3, M2.1, M2.3 | <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1020) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - Leave open to verify functionality post M1.</p> <p>8/9/07 - Refer to Kim Santos for update.</p> <p>Will be accommodated in future releases. Appears to overlap with M1, M2 & M3.</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| MMM-HL-023 | 1023 | Expand the export progressive clearance process to allow cargo that has been cleared for export at an inland gateway to transload to another international export conveyance of the same carrier at another US gateway. | 8/31/2001 | Pending CBP L/P Input | M2.1 | <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1023) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - Leave open - this is an air manifest requirement.</p> <p>4/11/07 - Subject to CBP and PGA policy determinations and possible regulatory changes.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|--|------------------------|-----------------------|--------------------------|---|
| MMM-HL-026 | 1025 | Entrance and clearance of transportation conveyances must be automated for all modes. | 9/4/2001 | Accepted in Part | R4, M1, M2.1, M3 | <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1025) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>4/11/07 - At this point, Entrance and Clearance for vessels is included in M2.1. Entrance and Clearance for Air is in planning.</p> <p>Will be accommodated in future release.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Vincent Annunziato</p> |
| MMM-HL-031 | 1026 | <p>Print and download trip and shipment information in the format of a complete manifest.</p> <p>9/15/2003</p> <p>Print the trip number in Bar-Code format on the top of this document (Manifest). A carrier is required to maintain record of documentation/information that has been supplied to Customs and Border protection (CBP). If a carrier or his agent utilize the ACE Secure Data Portal to input and submit data to CBP, then they need the ability to either print or download a record of the manifest as submitted. Without this capability, a carrier can not comply with current CBP recordkeeping requirements unless CBP can officially state that the storage of the manifest information in ACE is sufficient. The trip number in Bar-Code format should be printed on the first page of the manifest.</p> | 9/15/2003 | Pending CBP L/P Input | R4, M1, M2.1, M2.2, M2.3 | <p>JUNE 9, 2010 - Jim Swanson - Not relevant for most modes as the full manifest does not appear in the trade portal. This is allowed for trucks</p> <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1026) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - Leave open for truck. Enter as CSPO-GIF.</p> <p>4/11/07 - Current status unchanged.</p> <p>Comments from review made with T.F. on 6/7/2004:</p> <p>A. Print & Download trip & shipment information in the format of a complete manifest - Prelim CBP Approval</p> <p>B. Print the trip number in bar-code format on the top of the document - - Rejected</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p><i>Owner:</i> Vincent Annunziato</p> |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|---|------------------------|------------------|--------------------|--|
| MMM-HL-033 | 1028 | Support of Secondary Notify Procedures. Current ocean & Rail ACS legacy manifest systems have the ability to direct copies of shipment's status disposition notifications to parties other than the originating carrier. ACE carriers need the ability to direct copies of release notifications to multiple parties. ACE should be able to direct these messages to ACS participants as well as ACE participants. This means that ACE status messages will need to be translated to legacy format of CATAIR, CAMIR, ANSI X12 and CARGO IMP so that they can be exchanged with carriers that are already automated. | 9/15/2003 | Accepted in Part | M1 | <p>FEBRUARY 8, 2011 - DETERMINED TO BE IN SCOPE FOR M1:SEA & RAIL MANIFEST AND WILL BE AVAILABLE FOR ALL CARGO RELEASE APPLICATIONS.</p> <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1028) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>July 2009 - Refer to Rail Task force to rewrite this requirement and circulate for review and enter as CSPO-GIF.</p> <p>4/11/07 - Parts of this requirement is included in the CBP requirements set: 1. "ACE shall allow an authorized user to designate secondary notify parties to receive BOL notifications." 2. "ACE shall send all status notifications to the Secondary Notify parties designated by the carrier." 3. (M1 Notification) "ACE shall send copies of notifications to each Secondary Notify Partyh identified in the related BOL information.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> |

Owner: Vincent Annunziato

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| MMM-HL-037 | 1029 | <p>Creation of Templates For Use in the Portal Manifest.</p> <p>Carriers utilizing the portal for manifest, should not be forced to input the entire bill data every day when transporting the same commodity between two or more customers. The same is true when creating crew lists by conveyance. A Template should reduce the input to the data which have changed from the last manifest.</p> <p>This recommendation identifies 2 methods of expediting data entry when companies use the portal UI:</p> <ol style="list-style-type: none"> 1. Templates: The ability to recall previous shipments and modify the data elements that are different for a current shipment. 2. Establish carrier maintained address files to draw shippers, consignees and 3rd party addresses into a manifest similar to the way conveyance, crew and equipment can be stored in a carrier account in Release 4. <p>Carriers without EDI connection and utilizing the Manifest Portal will be much more receptive to use it if they do not need to re-input every data element every time they create a complete manifest or any part of a shipment, equipment or crew information</p> | | Pending | M2.2 | <p>SEPTEMBER 2, 2009 - THIS REQUIREMENT (CSPO-GIF-1028) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>4/11/07 - Current status = (Reviewed by CCR team June 2006-regular updates will be provided)</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| MMM-HL-038 | 952 | <p>ENTRY ON FILE MESSAGE SENT TO CARRIER PRIOR TO ACE MANIFEST FILING. CBP will send the entry on file message to the carrier before the ACE manifest is filed. This will give the carrier prior notice of the entry on file allowing the carriers to plan their loads, reduce costs due to removing the manual tracing on the broker website, remove excess calls to brokers, remove excess faxing costs, allow carriers to further automate the entire process and reduce costs.</p> <p>If this GIF is accepted then CR-2136 would be cancelled. CR-2136 requests that the entry on file message be sent to the carrier as soon as a preliminary bill is placed on file rather than after the carrier has submitted their 358 and 353 (truck) to status the manifest as complete</p> | 8/3/2009 | Pending | M1, M2.1 | <p>DETERMINED TO BE OUT OF SCOPE FOR M1</p> <p>AUGUST 3, 2009: THIS REQUIREMENT (CSPO-GIF-952) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|---|------------------------|---------------|--------------------|---|
| MMM-HL-043. | 1043 | INCLUDE THE CBP ORIGIN AND DESTINATION CITY PAIRS IN THE TRN REQUEST LINE: 1. Include the CBP origin and destination city pairs in the TRN request line This would apply to any TRN line in any type of message NOTE: In-bond number could be 9-digit CBP issued CCN or a 50 character MAWB, MAWB + HAWB, or MAWB + HAWB + Sub-HAWB combination Current FRC Transfer message: FRC MIAAAL 001-76547332-M ARR/AAL0918/14FEB TRN/ORD-D//inbond # CSD/CN/1700-USD RFA/19 New version of TRN line showing city pair in TRN line FRC MIAAAL 001-76547332-M ARR/AAL0918/14FEB TRN/MIAORD-D//inbond # CSD/CN/1700-USD RFA/19 | 9/14/2009 | Pending | M2.1 | SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1043) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |

Owner: Vincent Annunziato

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|---|------------------------|--------------------|--------------------|---|
| MMM-HL-043. | 1044 | <p>MODIFY DATA RETURNED IN THE CSN LINE: 2. Modify data returned in the CSN line Include seconds in the time stamp and data relative to the Transfer request (city pairs, inbond type indicator, forms code and complete inbond number) in the returned CSN line of the FSN</p> <p>Current AMS response: FSN MIAAAL 001-76547332-M ARR/AAL0918/14FEB CSN/1D-15/14FEB1224</p> <p>New version of CSN line showing seconds and relative TRN information FSN MIAAAL 001-76547332-M ARR/AAL0918/14FEB CSN/1D-15/14FEB122432/MIAORD-D//inbond #</p> <p>Or</p> <p>FRC MIAAAL 001-30665106-M ARR/AAL0109/11SEP TRN/MIAMIA-D//N783 RFA/03</p> <p>New version of CSN line showing seconds and relative TRN information FSN MIAAAL 001-30665106-M ARR/AAL0109/11SEP CSN/1F-15/12SEP122432/MIAMIA-D//N783</p> | 9/15/2009 | Pending | M2.1 | SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1044) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | | <i>Owner:</i> | Vincent Annunziato | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------------------|-------------|---|------------------------|---------------|--------------------|--|
| MMM-HL-043. | 1045 | <p>NEW CONDITIONAL LINE IDENTIFIER FOR EXPANDED COMMENTS:</p> <p>3. Implement new conditional line identifier for expanded comments</p> <p>In FSN message, implement a new line identifier "CMT" for comments from CBP.</p> <p>This line will be mandatory if any hold status code is present in CSN line. When mandatory, the first 4 characters will default to the port code number that originated the hold status. If the hold was generated by the system, the port code will default to 9999.</p> <p>The port code can be followed by text manually entered by a CBP officer.</p> <p>It is suggested that this will assist carriers to research and resolve the reason for the hold status more quickly and reduce the number of calls to CBP personnel seeking information.</p> <p>New version of CSN line showing CMT line information with default port code</p> <p>FSN MIAAAL 001-76547332-M ARR/AAL0918/14FEB CSN/1H-15/14FEB122432/MIAORD-D//inbond # CMT/5206</p> <p>New version of CSN line showing CMT line information with comments</p> <p>FSN MIAAAL 001-76547332-M ARR/AAL0918/14FEB CSN/1H-15/14FEB122432/MIAORD-D//inbond #</p> | 9/14/2009 | Pending | M2.1 | SEPTEMBER 14, 2009- THIS REQUIREMENT (CSPO-GIF-1045) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------------------|------------|--|------------------------|---------------|--------------------|--|
| MMM-HL-043. | 1046 | <p>ACKNOWLEDGEMENT FROM CBP ON IN BOND CANCELLATION: 4. Acknowledgement from CBP of inbond cancellation Establish new status code for inbond cancellation and return in CSN line with data relative to the TRN that is being cancelled If status code "X" is available we recommend using it for cancellation acknowledgement.</p> <p>Current inbond cancellation message FRC MIAAAL 001-30665106-M ARR/AAL0109/11SEP TRN/000 RFA/03</p> <p>New version of inbond cancellation request with specific TRN cancellation info FRC MIAAAL 001-30665106-M ARR/AAL0109/11SEP TRN/000/MIAMIA-D//N783 RFA/03</p> <p>New version of AMS response with cancellation status code and relevant TRN cancellation info: FSN MIAAAL 001-30665106-M ARR/AAL0109/11SEP CSN/1?-15/12SEP122410/MIAMIA-D//N783</p> <p>Or</p> <p>FRC MIAAAL 001-76547332-M ARR/AAL0918/14FEB TRN/000/MIAORD-D//inbond # RFA/03</p> <p>FSN MIAAAL 001-76547332-M ARR/AAL0918/14FEB CSN/1?-15/14FEB122410/MIAORD-D//inbond #</p> | 9/14/2009 | Pending | M2.1 | SEPTEMBER 14, 2009- THIS REQUIREMENT (CSPO-GIF-1046) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|---|------------------------|---------------|--------------------|--|
| MMM-HL-043. | 1047 | <p>New CPB status code when onward carrier is indicated in TRN line and acceptance of bond liability by onward carrier:</p> <p>5. New CPB status code when onward carrier is indicated in TRN line and acceptance of bond liability by onward carrier.</p> <p>When an onward carrier is indicated in the TRN line, a new status code 1P will be used by CBP to indicated permission to transfer to the onward carrier. Bond liability will remain with requesting transmitter until the onward carrier accepts the bond liability and acknowledges possession of the shipment by means of a new ASN8 status code.</p> <p>Current FRC message with onward carrier FRC MIAAAL 001-80947565-M ARR/AAL0912/14FEB TRN/MIA-I/NW/inbond # CSD/CN/1984-USD RFA/19</p> <p>Current AMS response FSN MIAAAL 001-80947565-M ARR/AAL0912/14FEB CSN/1D-15/14FEB1945</p> <p>New version of FRC message with onward carrier FRC MIAAAL 001-80947565-M ARR/AAL0912/14FEB TRN/MIAMIA-I/NW/inbond # CSD/CN/1984-USD RFA/19</p> <p>New Version of AMS response with new CSN code, seconds and relevant TRN info FSN MIAAAL 001-80947565-M ARR/AAL0912/14FEB CSN/1P-15/14FEB194520/MIAMIA-I/NW/inbond #</p> <p>New response by onward carrier to acknowledge acceptance of bond liability and custody of shipment FSN MIAAAL 001-80947565-M ARR/AAL0912/14FEB ASN8 Once an onward carrier has sent the ASN8 to</p> | 9/14/2009 | Pending | M2.1 | SEPTEMBER 14, 2009- THIS REQUIREMENT (CSPO-GIF-1046) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |

Requirement # **GIF** **TSN Requirement Description** **Submission date** **Status** **Release (s) Comments**

confirm they have taken the bond liability, the onward carrier should be able to delete and adjust with out receiving the 011 error message and having the HAWB or MAWB revert to the first carrier.

Owner: Vincent Annunziato

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|--|------------------------|---------------|--------------------|---|
| MMM-HL-043. | 1048 | <p>ALLOW SAME IN-BOND CONTROL NUMBER TO BE USED FOR MULTIPLE IN BOND MOVEMENTS:</p> <p>6. Allow same inbond control number to be used for multiple inbond movements</p> <p>Since the Customs origin and intended Customs destination city codes will be shown in each TRN line, it is suggested that the same inbond number could remain activate for multiple inbond movements (multiple legs).</p> <p>All previous inbond legs must be arrived before subsequent leg requests are accepted. If an inbond leg is open, when a subsequent request is transmitted, the sender will receive an error message "Previous inbond leg not arrived".</p> <p>Example: The importing carrier initiates an inbond movement from MIA to ORD at the first port of arrival because they had to transload to another flight. On arrival in ORD, the importing carrier will interline transfer the shipment to NW as onward carrier ORD to MSP. Because the city pairs are in the TRN lines, each leg of the inbond movement is traceable.</p> <p>a) Importing carrier requests inbond MIA ORD and receives 1D authorization FRC MIAAAL 001-76547332-M ARR/AAL0918/14FEB TRN/MIAORD-D(inbond = default to MAWB number) CSD/CN/1700-USD RFA/03</p> <p>b) Importing carrier arrives MIA ORD leg with ASN3</p> <p>c) Importing carrier requests ORD MSP leg with onward carrier FRC MIAAAL 001-76547332-M ARR/AAL0918/14FEB TRN/ORDMSP-D/NW(inbond = default to MAWB number) CSD/CN/1700-USD RFA/03</p> <p>d) Customs responds with new status code to allow transfer to onward FSN MIAAAL 001-76547332-M ARR/AAL0918/14FEB CSN/1P-15/14FEB194520/ORDMSP-D/NW//00176547332</p> | 9/14/2009 | Pending | M2.1 | SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1048) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| | | <p>e) Onward carrier acknowledges acceptance of bond liability and custody of shipment FSN MIAAAL 001-76547332-M ARR/AAL0918/14FEB ASN8</p> <p>f) Onward carrier arrives the ORD MSP leg with ASN3</p> | | | | |
| | | | <i>Owner:</i> | Vincent Annunziato | | |
| MMM-HL-043. | 1049 | <p>USE OF THE AWB AS THE IN BOND CONTROL NUMBER ON ALL PARTS OF SPLIT SHIPMENT ARRIVALS: 7. Use of the AWB as the inbond control number on all parts of split arrivals With the introduction of the new unique flight identifier capability, and the introduction of the inbond origin and destination city pairs in the TRN line, it is suggested that the AWB number can be used as the inbond control number on all parts of a split arrival shipment.</p> <p>FRC MIAAAL 001-82289546-M ARR/AAL091802142006/14FEB/B125/1900K TRN/MIAORD-D CSD/CN/2700-USD</p> <p>RFA/03 FRC EWRAAL 001-82289546-M ARR/AAL093202162006/16FEB/B75/900K TRN/EWRORD-D CSD/CN/2700-USD RFA/03</p> | 9/14/2009 | Pending | M2.1 | SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1049) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | | <i>Owner:</i> | Vincent Annunziato | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|---|------------------------|---------------|--------------------|---|
| MMM-HL-043. | 1050 | <p>MMM-HL-043.8-M2.1-MAWB inbond versus HAWB inbond:</p> <p>8. MAWB inbond versus HAWB inbond (Current process)</p> <p>Although the ability of the forwarder and broker to submit electronic inbond requests at HAWB level is beneficial, it causes processing problems on occasion for the importing carrier.</p> <ul style="list-style-type: none"> • An Inbond at HAWB level can be submitted prior to the MAWB information being transmitted. Should this occur, if the importing carrier transmits their inbond request at MAWB level and their intended Customs destination is a non-automated location, the carrier's inbond request is denied. The carrier must contact the forwarder or broker, determine which HAWB has the TRN that is blocking the MAWB TRN and have them cancel it. • Sometimes the TRN at MAWB level or the MAWB transmission is cancelled because adjustments must be made. If there are existing TRNs at HAWB level, they block the carrier from re-establishing an inbond TRN at MAWB, Error 185 is received: "Transfer at HAWB: MAWB transfer is invalid". <p>Many conventional carriers transport shipments for Express carriers through purchased lift or blocked space agreements. Both of the above scenarios are extremely problematic if the conventional carrier is trying to report or correct a MAWB that has hundreds of express HAWBS.</p> <p>(Suggested process)</p> <p>Inbonds at the MAWB level and the HAWB level should be processed independently from each other.</p> <ul style="list-style-type: none"> • Including the Customs origin and Destination city codes in TRN lines will specifically identify inbond legs that are being requested at each level • HAWB inbond should continue to be able to be requested before the MAWB is sent. • HAWB inbond request could be accepted by the system with a notification back to the sender, by either conditional status code or text message. • On arrival of MAWB at the HAWB inbond origination point, the HAWB inbond will receive the 1D status approving movement. • It is assumed that there is some type of arrival indicator on the MAWB record: <ul style="list-style-type: none"> Direct ArrivalATA time manually entered or by message Permit to Proceed - Permit to Proceed arrival date/location Inbond movement - SN3 transmitted | 9/14/2009 | Pending | M2.1 | SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1050) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |

Owner: Vincent Annunziato

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| MMM-HL-044 | 1051 | <p>INTER-MODAL SHIPMENTS - a method that would "cross reference" specific data that would allow each Mode to use their standard revenue tracking numbers for subsequent movements while maintaining a relationship or link to the original shipment control number that was reported to Customs and Border Protection when the shipment was first reported entering the US.</p> | 9/14/2009 | Pending | M2.1 | SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1051) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
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Due to variations in carrier liability and conditions of carriage, Bills of Lading are not interchangeable between various modes of transportation. When the mode of transportation (MOT) changes during the movement of an international shipment, a new Bill of Lading is issued on behalf of the mode performing the next leg of transport.

Additionally in the case of a consolidation, the contract of carriage is at the Master Bill of Lading level between a specific origin and destination, yet the lower level House Bills could require further transportation beyond the Master level termination point in order to reach their final their destination.

The following scenarios suggests a method that would "cross reference" specific data that would allow each Mode to use their standard revenue tracking numbers for subsequent movements while maintaining a relationship or link to the original shipment control number that was reported to Customs and Border Protection when the shipment was first reported entering the US.

In these scenarios the standard revenue tracking document for a mode is referred to as a Supplemental Bill of Lading (SBL) and would be issued based on the following:

- SBL field is conditional but
- Mandatory on MAWB when new MOT Bill of Lading is required for onward movement
- Mandatory when TRN is initiated at HAWB level

(See Requirement for Detail)

Owner: Vincent Annunziato

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| MMM-HL-045 | 1052 | Air carriers recommend that the status messages process remains status-quo. It is suggested that status codes mean the same thing across all modes: New status messages proposed by CBP. Generally the air carriers recommend that the status messages process remains status-quo. In the case where holds are placed on the shipment, before the actual delivery of the merchandise to the customer, the carrier will make every attempt for retrieval. It is suggested that status codes mean the same thing across all modes. | 9/14/2009 | Pending | M2.1 | SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1052) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| MMM-HL-047 | 1054 | The MAWB or the MAWB-HAWB combination should be allowable as the inbond control number on all parts of a split MAWB or split HAWB: Split Shipments <ul style="list-style-type: none"> • It is the carriers understanding that there will no longer be a part arrival indicator. The unique flight number and the boarded quantity in the ARR line will distinguish various parts on a split shipment. <ul style="list-style-type: none"> • Given the ability to distinguish parts of a split shipment by the unique flight number, and the specific origin/destination of the inbond leg, the MAWB or the MAWB-HAWB combination should be allowable as the inbond control number on all parts of a split MAWB or split HAWB. • In order to allow the broker to file a single entry which will decrement from the total shipment count, it is assumed that ABI will allow listing of multiple flight numbers is the shipment is split. | 9/14/2009 | Pending CBP L/P Input | CR | NOVEMBER 23, 2011 - This requirement is pending further review for cargo release. JANUARY 7, 2011-This GIF was determined to be cargo release related in the cargo release revalidation effort. SEPTEMBER 14, 2009 - THIS REQUIREMENT (CSPO-GIF-1054) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| MMM-HL-050 | 497 | <p>DEFAULT TRIP EQUIPMENT TO SHIPMENT: The process of associating a shipment to a piece of equipment is very laborious. When creating a shipment record it is required that you identify what equipment the shipment is located in. Most of the time a carrier has identified the equipment in the trip section of the manifest prior to entering shipment records. However, the carrier currently is required to drop down a list from which he is to choose Account, Create One Time, Conveyance or Trip. Once selected he must continue to identify the equipment then wait for more details to be displayed on a separate screen from which he must finally click continue.If the equipment changes then the user must change every shipment record, this causes a great deal of re-work and increases chance for error. Equipment can change for many reasons, i.e. out of service, equipment failure, user error.When the user arrives at the shipment screen we should have the equipment that was reported in the trip already associated with the shipment. The user should be able to immediately proceed to the commodity screens. The option to report that the commodities are located in the conveyance should remain for the user to override if necessary. If there is more than one piece of equipment reported in the trip then the user should be required to select which equipment the commodity is loaded in.If a user chooses to change the equipment in the trip screen ask the user if they wish to change the equipment in all attached shipments.</p> | 1/17/2007 | Pending | R4 | MAY 30, 2007- THIS REQUIREMENT WAS ACCEPTED BY THE CBP CHANGE CONTROL BOARD AND WILL BE IMPLEMENTED IN M2.2 |
| | | <i>Owner:</i> | Vincent Annunziato | | | |
| MMM-HL-051 | 498 | <p>DISPLAY COUNTRY CODES, CONVEYANCES, US PORT CODES, AND SCHEDULE K IN USABLE ORDER: A. It takes 14 key strokes to enter Mexico and 5 to enter Canada. Place US, CA & MX as top three selections. B. The display of conveyances is in no obvious order. C. The list of US Port codes is not in a convenient order. (PTR 11949)D. When user looks up schedule K for Canada we display all Canadian Province codes. When user looks up schedule K for Mexico we display all seaports. E. If a user looks up a schedule K they also have to answer the qualifier that says that this is a schedule K number. This is redundant work for the user</p> | 1/17/2007 | Pending | M2.2 | MAY 25, 2007 - THIS REQUIREMENT WAS APPROVED WITH LOW PRIORITY BY THE CBP CHANGE CONTROL BOARD (CCB) ALSO REFERENCE PTR-11949 ON LIST OF US PORT CODES. |
| | | <i>Owner:</i> | Vincent Annunziato | | | |

| Requirement # | GIF | TSN Requirement Description | Submission date | Status | Release (s) | Comments |
|----------------------|------------|---|------------------------|-----------------------|--------------------|---|
| MMM-HL-052 | 499 | <p>SET ON SCREEN FIELD LENGTH VALIDATIONS (PORTAL)</p> <p>There are many data entry fields that allow the user to enter more characters than the element requires or should allow. (CR 1447)</p> <p>G. On screen field length validation (US port code (4), BCN (12), Shipment Identifier (??) Broker down load filer code (3), office code (2), est date of arrival, estimated time of arrival, seal numbers, all data in driver record, Equipment number, place of receipt, firms code (5), shipper and consignee fields.</p> | 1/17/2007 | Pending CBP L/P Input | M2.2 | APRIL 4, 2007 - THIS REQUIREMENT WAS DEFERRED BY THE CBP CHANGE CONTROL BOARD (CCB) PENDING ADDITIONAL INFORMATION. ALSO REFERENCES PTR-11949 AND CR-1447 |
| | | | <i>Owner:</i> | Vincent Annunziato | | |
| MMM-HL-053 | 500 | <p>ELIMINATE REDUNDANT KEYSTROKES: Improve usability. Eliminate redundant and unnecessary keystrokes. PTR - 11506</p> | 1/17/2007 | Pending CBP L/P Input | M2.2 | NOVEMBER 15, 2007- THIS REQUIREMENT IS LISTED AS CLOSED IN CCB AND WAS DEFERRED PENDING ADDITIONAL INFORMATION. CR-11506 and PTR-11506 apply to this GIF |
| | | | <i>Owner:</i> | Vincent Annunziato | | |
| MMM-HL-055 | 502 | <p>ENLARGE THE COMMODITY DESCRIPTION FIELD</p> <p>A carrier cannot give the complete description of some commodities in the limited space given today. If a carrier is reporting hazardous materials he does not have enough space to give a proper description. Enlarge the size of the commodity description field</p> | 1/17/2007 | Pending CBP L/P Input | M2.2 | APRIL 4, 2007 - THIS REQUIREMENT IS LISTED AS DEFERRED BY THE CBP CHANGE CONTROL BOARD (CCB) PENDING REQUEST FOR ADDITIONAL INFORMATION |
| | | | <i>Owner:</i> | Vincent Annunziato | | |
| MMM-HL-057 | 505 | <p>TAO TO GRANT ACCESS TO TRANSACTIONS TAB FOR ANY PAO OR USER:</p> <p>Valuable information is stored in the Transactions tab in the Carrier view. Details on entries being filed@, FDA Prior Notice having been filed, status of crew, equip., conveyance and shipments. Currently only the Trade Account Owner can access this Tab. Enable the TAO and PAO to grant access to the Transactions Tab.</p> | 1/17/2007 | Pending CBP L/P Input | M2.2 | APRIL 4, 2007 - THIS REQUIREMENT WAS DEFERRED BY THE CBP CHANGE CONTROL BOARD (CCB) PENDING REQUEST FOR ADDITIONAL INFORMATION. This requirement may be tied to PTR-15164 |
| | | | <i>Owner:</i> | Vincent Annunziato | | |
| MMM-HL-058 | 506 | <p>ENABLE TAO TO SEE IN BOND ARRIVAL TAB: Trade account owner cannot currently see the in bond arrival tab. Enable the TAO to view and use the in bond arrival tab.</p> | 1/17/2007 | Pending CBP L/P Input | M2.2 | APRIL 4, 2007 - THIS REQUIREMENT IS IN DEFERRED STATUS BY THE CBP CHANGE CONTROL BOARD (CCB) PENDING REQUEST FOR ADDITIONAL INFORMATION. |
| | | | <i>Owner:</i> | Vincent Annunziato | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------------------|------------|---|------------------------|-----------------------|--------------------|--|
| MMM-HL-059 | 507 | ATTACH QP IN BOND TO ANY SHIPMENT TYPE. CREATE NEW SHIPMENT RELEASE TYPES: There is no shipment type for a carrier to declare if they wish to report the manifest and let the broker file QP. Any shipment type may at some point have to move in-bond. Create a shipment release type for carriers to declare that the broker will file the QP request. Not all shipment release types are available for a carrier to declare. Carriers should not have to always declare a release type, it is not a requirement for them. ACE should search for PAPS, in-bond, CF-214 types automatically. Add shipment release type for (release type unknown@, CF-214 FTZ admittance@, Carnet, local transfer) | 1/17/2007 | Pending | M2.2 | MAY 25, 2007 - THIS REQUIREMENT WAS APPROVED BY THE CBP CHANGE CONTROL BOARD (CCB) WITH A MEDIUM PRIORITY. May be tied to PTR - 13758 |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| MMM-HL-060 | 508 | ENTERING THE ADDRESS TO WHERE THE DRIVER WILL BE IN THE U.S. IS TIME CONSUMING AND LABORIOUS. A. The user is currently required to select USA in a field that is mandatory USA. Hardcode USA do not allow user to change. B. The address where the driver will be in the USA is often times the consignees address. Currently a carrier is required to manually type this complete address every time they use a non-FAST driver. Give the user the ability to access the consignee file on the screen where they are required to enter the address where the driver will be in the US. | 1/17/2007 | Pending | M2.2 | MAY 25, 2007 - THIS REQUIREMENT WAS APPROVED WITH LOW PRIORITY BY THE CBP CHANGE CONTROL BOARD. |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| MMM-HL-063 | 511 | CARRIER REPORTS NOT WORKING PROPERLY: Relationship of data elements reported in carrier reports are not showing logical or correct relationships. One example can be seen by running TR003 where every shipper and consignee that is on the trip is being associated with every shipment control number. This six shipment report shows 54 lines of incorrectly associated data. (ECP_PTR_12664) | 1/17/2007 | Pending CBP L/P Input | M2.2 | APRIL 4, 2007 - THIS REQUIREMENT WAS DEFERRED BY THE CBP CHANGE CONTROL BOARD (CCB) |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------------------|------------|---|------------------------|-----------------------|--------------------|---|
| MMM-HL-065 | 513 | AMEND EQUIPMENT ERROR MESSAGE: A frequent error message received is License Plate Information Missing for Equip This error happens when an e-Manifest has been sent to CBP and the equipment needs to be amended. The carrier does everything correctly to replace the equipment but then receives this error. At this point the carrier has no choice but to cancel the manifest. They can keep the shipment by selecting "Cancel Manifest but Keep Shipment". The carrier then creates a new manifest and attaches the unassociated shipment to it, which now reflects the new equipment. This workaround is time consuming and cumbersome. Enable carriers to amend equipment details after filing a manifest. | 1/17/2007 | Pending | M2.2 | MARCH 26, 2007 - THIS REQUIREMENT WAS APPROVED BY THE CBP CHANGE CONTROL BOARD (CCB). |
| <i>Owner:</i> Vincent Annunziato | | | | | | |
| MMM-HL-066 | 514 | SHIPMENT PARTY ERROR MESSAGE A frequent error message received is: SHP_PTY: An exception with the type CX_SY_O PEN_SQL_DB occurred, but was neither handled locally, nor declared in a RAISING cause. There are also several other variations of this error message. They all mean the same thing to the carrier though and that is that they can not continue with the e-Manifest that they are working on. They must delete the whole thing and start over again. This error is occurring more and more and really needs to be addressed ASAP. Carriers are having to delete many large manifests and are becoming very, very frustrated. A frequent error that has occurred in many forms but has not been solved since at least June 2 | 1/17/2007 | Pending CBP L/P Input | M2.2 | APRIL 2, 2007 - THIS REQUIREMENT IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| <i>Owner:</i> Vincent Annunziato | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|---|------------------------|--------------------------|--------------------|--|
| MMM-HL-067 | 515 | MEXICAN STATE CODES: TT# 326008 -This isn't a portal user issue but it is an officer trying to process an e-Manifest issue. The portal is transmitting 3 character state codes while the officer can only receive and process a 2 character code. If OFO is instructing the trade to use 3 character code to identify the state in which the license plate was issued and requiring this code to be placed on the paper manifest then the officer should be able to either type the 3 letter code and let the system translate to a two letter code or OFO should change the policy for both the trade and they system to require just a two letter code. Enable ACE and ACS/TECS to recognize either the two or three letter code so that it is transparent to CBP officer and the carrier. | 1/17/2007 | Pending CBP L/P Input | M2.2 | APRIL 2, 2007 - THIS REQUIREMENT IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | <i>Owner:</i> | Vincent Annunziato | | | |
| MMM-HL-068 | 516 | INVALID ADDITIONAL PARTY: TT# 215076 - Error message of: Invalid Party Type Qualifier ZAC000 combination. This happens when the carrier uses the "Additional Party" feature in the portal, wishing to indicate an "Ultimate consignee", multiple consignees, "delivery warehouse", etc..... If for any reason the carrier needs to "Save and Continue" or "Save and Finish Later" or go back in edit the manifest at a later time, they will receive this error and they will have no choice but to cancel the shipment and start over again. | 1/17/2009 | Pending CBP L/P Input | M2.2 | APRIL 2, 2007 - THIS REQUIREMENT IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | <i>Owner:</i> | Vincent Annunziato | | | |
| MMM-HL-069 | 517 | ADD LOOK UP PORT CODE NEXT TO IN BOND DESCRIPTION: Lookup US Port Code function is not located next to the *In-bond Destination field in the "pre-filed inbond@" shipment. This does exist in the trip section of a manifest. This is the cause for many calls from carriers trying to prepare an inbond shipment for the first time. They simply don't know what they're supposed to type this field because our "lookup@" is missing. Add US Port Code Look Up capability to this section. TT# 5803 | 1/17/2007 | Pending | M2.2 | MAY 30, 2007 - THIS REQUIREMENT WAS APPROVED BY THE CBP CHANGE CONTROL BOARD (CCB) |
| | | <i>Owner:</i> | Vincent Annunziato | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|---|------------------------|---------------|--------------------|---|
| MMM-HL-071 | 1101 | <p>ALLOW NVOCC HOUSE BILL INFORMATION TO BE INPUTTED AND PROCESSED THROUGH THE SECURE ACE WEB PORTAL.</p> <p>The Current Non-Automated NVOCC issue/process: There are inefficiencies and added steps in the current process that perpetuate an already complex process. An example of this being the non-automated NVOCC and the process they and other trade partners must go through to provide the required house bill information into AMS. If the master loader for a consolidated container is an automated NVOCC, the Non-Automated NVOCC supplies the house bill information to the automated NVOCC who then submits the house bill information into AMS directly. However the automated NVOCC does so with a self generated (surrogate) house bill number NOT the true contract of carriage house bill number provided by the Non-Automated NVOCC. This can cause unnecessary confusion on the part of the customs broker as they have typically been supplied the house bill number from the Non-Automated NVOCC and are likely to file an ISF and entry that will result in a mismatch. This same scenario happens when the Master Loader is a Non-Automated NVO with the difference being the VOC then provides the submission of the house bill information into AMS directly and with the VOC also submitting a self generated (Surrogate) house bill number with no relationship to the Non-Automated NVOCC house bill number. In addition to the challenges this creates with mismatches to the brokers, it is also a major security issue. CBP doesn't have visibility to the true commercial party responsible for initiating the original shipment of cargo. In the scenarios presented CBP has the SCAC and bond of the filer submitting directly to AMS but not the original NVOCC who arranged the shipment.</p> <p>This current process delays broker transmission of entry release to CBP as it takes longer to discover the AMS HBL number. Many times it is not found out until after arrival. Container Freight Stations receive a manifest for the consolidated container reflecting the true contract of carriage HBL numbers for devanning and transportation release. The AMS HBL differs from the manifest causing delays in cargo release.</p> <p>Today's Non-Automated NVOCC only has a single automation solution available which is EDI based. Unless you have thousands of transactions occurring on a regular basis, an EDI solution direct from their operating system cannot be cost justified.</p> | 11/4/2009 | Pending | CR | <p>FEBRUARY 8, 2011-This GIF was determined to be NOT CARGO RELEASE RELATED. This refers to house bill input via the ACE portal. It is not directly related to cargo release but is intended to facilitate release of the cargo. Leave as cargo release related but it also ties to house bill release and manifest.</p> <p>JUNE 9, 2010 - Jim Swanson - This has not been developed for rail and sea due to limited interest and usage by that community.</p> <p>NOVEMBER 4, 2009 - THIS REQUIREMENT (CSPO-GIF-1101) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> |

The use of Service Providers for very small companies is an additional transactional expense which many cannot afford. This is a major issue for the Non-Automated NVOCC businesses who play an important role in the process of international trade. Furthermore it is not reasonable to expect the automated filers to take on the liability against their bond for the Non-Automated NVOCC. Most importantly is the security risk the current process maintains.

Suggested Solution: Enhance the Secure ACE Web Portal so that the Non-Automated NVOCC has the ability to file their shipment information into ACE directly using the contract of carriage house bill number.

In the case of the NVOCC submitting the initial house bill information through the ACE web Portal they would:

1. Log onto the Secure ACE Web Portal and proceed via link to the "house bill Shipment Processing" screen where they will choose from a button to "Create" or a button to "Query"
2. If the NVO was creating a new shipment they would choose "Create" and if they were wanting to run a query to see what shipments are active that they have created they would choose "Query"
3. In the "Create" screen there would be the appropriate fields to be completed and then submitted much in the same way this information is submitted to AMS by the automated NVOCC and VOC today.
4. In the "Query" screen a group of parameter fields would be available and then a submit button to list all of the active/open shipments per the parameters provided.

Of course this is a high level outline however a very reasonable and low cost solution in which the benefits to CBP and the Trade are far greater.

Owner: Vincent Annunziato

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|---|------------------------|---------------|--------------------|--|
| REV-001 | 995 | <p>Provide a financial component to ACE for the management of Customs Trade Accounts that supports account based, periodic payment for accounts who elect to use this payment vehicle.</p> <p>A. Statements on accounts should reflect all payments and refunds including Importer Activity Summary Statements, other entries and payments and refunds discussed in Rev 001.1.</p> <p>B. Statement adjustments should be allowed prior to final statement without sanction by CBP if error is clerical and manifest from the paperwork.</p> <p>C. In addition, changes that do not alter the underlying summary data but perfect the duty calculations should also be allowed without sanction prior to the final settlement (i.e. SPI for NAFTA added based on CO, etc.). Additionally, the statement should reflect any adjustments by CBP Refer to proposal specifications for additional details.</p> | 9/4/2001 | Pending | A2.4 | <p>AUGUST 28, 2009 - THIS REQUIREMENT (CSPO-GIF-995) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Status: Sept 2008 - On hold in Revenue Committee until we get closer to the A3 Release date. Will work with Entry Committee to incorporate all IASS requirements.</p> <p>Status: 03/26/2008 - ESAR Review - Most of IASS functionality will be delivered in A3</p> <p>03/10/2008 - In discussion with BEMS for more details. Committee will revise</p> <p>01/28/08 - REV-GIF-001 drafted. There is some overlap with ENT-018. All IASS related ENT GIFs will be discussed at a later date with the Entry committee. The REV GIF is ready to submit to CBP for CBP GIF Board review.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: A. CBP will give a monthly report but not a statement. If anything is required in IASS a separate GIF will be required. B. This will be done in Post Summary Corrections - no GIF required. C. Same as B - no GIF required.</p> <p>Explanation of staged functionality for periodic payment: Release 3 – Generate statements and accept periodic payments via ACH credit and ACH debit, based on ACS activity. Release 5 - Periodic statements with ACE as system of record Release 7 - Full payment processing, Refund processing (including drawback and protest), IASS</p> <p>Explanation of multiple statuses (6/18/04): 1. Accepted in part: This recommendation is partially met with Release 3 2. Pending further committee review: While CBP is moving toward full periodic payment, in order for the trade's input to be fully considered in Business Blueprint, it is recommended that the Revenue Committee update this recommendation to (a) eliminate references to tracks 2, 3 and 4, (b) eliminate references to revenue neutrality, © decouple from IASS, (d) expand to encompass all payments, refunds rather than limiting to duties/taxes/fees, (e) include all account types (carrier and brokers) and (f) eliminate references to specific data elements.</p> <p>No updates required for REV 001.1.</p> <p>9/24/04: Based on recommendations from CBP (See 6/18/04</p> |

above), this requirement recommendation was modified and resubmitted to CBP on 9/24/04.
Updated status explanation:
1. Accepted in part: This recommendation is partially met with Release 3
2. Preliminary CBP approval: This recommendation will be considered in Business Blueprint for Releases 5 and 7 as appropriate.

10/14/05: Updated to reflect ESAR, A2.
Outstanding issues (9/27 TSN Revenue meeting):
1. Netting
2. Process for and information received on operational statement
3. National statements with non-portal accounts (reference REV-020)

Periodic statement is mapped to A2, with protest, drawback and IASS coverage mapped to A3.

11/2/05 -- Cross-team issue for Post Release - Part C of the requirement.
Exception: PMS settlement vehicle will not allow "flexibility for refinement" by product or by surety.
Exception: No Netting (Not accepted).

11/28/06: 1) CBP is not opposed to netting, but they do want to ensure that they can do statements correctly in A2. At that point CBP will consider expanding netting in A3; 2) National Statements will be allowed for filers and importers in A2; 3) Protest and drawback will be on the statement in A3; 4) A financial statement will be provided on all activity during the month, but this will not be a statement on which a payment can be made.

Owner: Monica Crockett

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|--|------------------------|---------------|--------------------|--|
| REV-001.3 | 666 | STATEMENT ADJUSTMENTS: B. Statement adjustments should be allowed prior to final statement without sanction by CBP if error is clerical and manifest from the paperwork. Allow adjustments if error is clerical or changes do no alter summary data but perfect duty calculations | 9/4/2001 | Pending | A2.4 | <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 666) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW</p> <p>Sept 2008 - Deferred to A2.4. Being tracked in Dimensions Data Base as GIF 666.</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review: Assigned CSPO-GIF-666 - Pending GIF Board Review.</p> <p>01/28/08: REV-GIF-001.3 prepared. Ready to submit to CBP for CBP GIF Board review. May have legal policy implications.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: Covered in Post Summary Corrections - will need a GIF if it maps to A2.</p> <p>Explanation of staged functionality for periodic payment: Release 3 – Generate statements and accept periodic payments via ACH credit and ACH debit, based on ACS activity. Release 5 - Periodic statements with ACE as system of record, initial payments (including EFT and lockbox), violation billing, acceptance of cash payments Release 7 - Full payment processing, Refund processing (including drawback and protest), IASS</p> <p>Explanation of multiple statuses (6/18/04): 1. Accepted in part: This recommendation is partially met with Release 3 2. Pending further committee review: While CBP is moving toward full periodic payment, in order for the trade's input to be fully considered in Business Blueprint, it is recommended that the Revenue Committee update this recommendation to (a) eliminate references to tracks 2, 3 and 4, (b) eliminate references to revenue neutrality, © decouple from IASS, (d) expand to encompass all payments, refunds rather than limiting to duties/taxes/fees, (e) include all account types (carrier and brokers) and (f) eliminate references to specific data elements.</p> <p>No updates required for REV 001.1.</p> <p>9/24/04: Based on recommendations from CBP (See 6/18/04 above), this requirement recommendation was modified and resubmitted to CBP on 9/24/04. Updated status explanation: 1. Accepted in part: This recommendation is partially met with Release 3 2. Preliminary CBP approval: This recommendation will be considered in Business Blueprint for Releases 5 and 7 as appropriate.</p> |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| | | | | | | <p>10/14/05: The portion of this requirement that isn't met in Release 3 is mapped to A2. Statement elements in A3 include protest, IASS, drawback.</p> <p>11/28/06: This requirement ties to Post Summary Corrections in A2</p> <p>12/11/06: If the PSC is received, accepted and processed by CBP prior to statement that the entry is on, CBP will net. This is the one time CBP will net in A2.</p> |
| | | | | | | <i>Owner:</i> Monica Crockett |
| REV-001.4 | 722 | ROLLING CREDIT BALANCES - The trade account 4/7/2008 should have the option of rolling credit balances forward to the next month or receiving an EFT (ACH Credit) or check from CBP to reconcile the account. | | Pending | A2.4 | <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 722) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Status: 04/07/2008 - Submitted to CBP for GIF Board Review = CSPO-GIF-722</p> |
| | | | | | | <i>Owner:</i> Monica Crockett |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
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| REV-002 | 667 | PRESERVE CURRENT MECHANISM FOR SETTING FINANCIAL OBLIGATION: Preserve current mechanisms for settling financial obligations to and from CBP, including daily statements via ACH debit/credit/check, single payment, etc. Add EFT to support single entry payments. Preserve payment and refund options. Allow single entry payments via EFT. | 7/26/2001 | Pending | A2.4 | <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 667) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Sept 2008 - Deferred to A2.4. Being tracked in Dimensions Data Base as GIF 667</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review: Assigned CSPO-GIF-667 - Pending GIF Board Review</p> <p>01/28/08: REV-GIF-002 prepared. Ready to submit to CBP for CBP GIF Board review.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: Will require a GIF for anything mapped to A2. CBP is not doing electronic funds transfer for single entry payments.</p> <p>Explanation of staged functionality for daily statements:: Release 6 - Daily statements/payments to include ACE entry summary transactions, reconciliation and liquidation revenue adjustments, violation billing and regulatory audits. Release 7 -Daily statements/payments to include drawback, IASS, protest, miscellaneous refunds.</p> <p>10/14/05: Updated to reflect ESAR A2 and A3. Daily statement capability planned for A2. IASS, drawback, protest coverage on daily statement mapped to A3.</p> <p>ACH Debit, ACH Credit</p> <p>11/28/06: 1) Drawback and protest processing will be in A2, the statement will be in A3 - the netting issue will be considered for A3.. The refund will be processed in A2. The inclusion on the statement is tied to the netting concept which will be in A3.</p> |
| | | | | <i>Owner:</i> | Monica Crockett | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|--|------------------------|---------------|--------------------|---|
| REV-004 | 668 | ACH REFUND AND NOTIFICATION TO TRADE: Process that will electronically deposit refunds due from CBP to an importers financial institution. Electronically deposit refunds to separate banks; provide transaction level detail, email notification of refund, Refund notice to IR, account who paid, drawback filer, surety. Specification of notice listed (entry#, liq. Record, name/address, etc.) | 10/1/2001 | Pending | A2.4 | <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 668) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO INCLUDES REV-014.</p> <p>Sept 2008 - Deferred to A2.4. Being tracked in the Dimensions Data Base as GIF 668</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review: Assigned CSPO-GIF-668 - Pending GIF Board Review.</p> <p>01/28/08: REV-GIF-004 being prepared. Combined requirements from REV-014. Ready to submit to CBP for CBP GIF Board review.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: Will require a GIF for A2.</p> <p>Explanation of staged functionality: Release 5 - Initial refund processing for liquidation Release 7 - Refund processing for functionality deployed in Release 7 (protest, drawback, IASS) 10/14/05: Updated to reflect ESAR drops. Staged functionality for A2 maps to Release 5 and for A3 maps to Release 7, as stated above.</p> <p>11/2/05 -- Exception - enhanced 4811 to direct funds to separate, specific financial institutions based upon multiple criteria -- Not mapped to any release.</p> <p>11/28/06: 1) CBP needs more clarification on the 4811 issue; 2) Revenue committee needs to clarify issue with Account Management committee; 3) CBP will do ACH refunds in A2; 4) CBP needs to clarify several issues with the trade on this requirement - future updates will be provided; 5) Also refer to REV-012 which also refers to the 4811 issue. Should REV-004 and 012 be combined?</p> |

Owner: Monica Crockett

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|--|------------------------|---------------|--------------------|---|
| REV-005 | 669 | PAYMENTS AND REFUNDS REPORT: ACE must provide the ability to access Payments Due, Outstanding refund claims, approved Refunds not issued. Access to payments due to CBP and refunds coming and approved refunds from CBP. | 10/1/2001 | Pending | A2.4 | <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 669) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO INCLUDES REV-001.1, REV-GIF-021, REV-GIF-022.</p> <p>Sept 2008 - Deferred to A2.4. Being tracked in the Dimensions Data Base as GIF 669</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review. Assigned CSPO-GIF-669 - Pending GIF Board Review</p> <p>01/28/08: REV-GIF-005 prepared for Revenue Committee review. Combined requirements from new GIFs Billing Notification Report and Refund Remittance Refund Report.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Update 6/18/2007: Will require a GIF for functionality that maps to A2.</p> <p>Explanation of staged functionality: Release 3 - Ability to access entry summary payments due via periodic payment Release 5 - Ability to access entry summary payments due via daily statement, ability to access amounts due and liquidation refunds (including reconciliation) Release 7 - Ability to access liquidated damage amounts due, ability to access amounts due and/or refunds for drawback, protest, IASS</p> <p>Explanation of multiple statuses: 1. "Accepted in part" for functionality that has been captured in Periodic Payment (Release 3) 2. "Preliminary CBP Approval" for functionality that is pending future release</p> <p>10/14/05: Mapped to ESAR drops. A2: Ability to access entry summary payments due via daily statement, ability to access amounts due and liquidation refunds (including reconciliation). Drawback refunds will be processed in A2. A3: Ability to access liquidated damage amounts due, ability to access amounts due and/or refunds for protest and IASS.</p> <p>11/28/06: Refunds for protest are in A2</p> |
| | | | | | | <p><i>Owner:</i> Monica Crockett</p> |

| Requirement # | GIF | TSN Requirement Description | Submission date | Status | Release (s) | Comments |
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| REV-009.1 | 670 | CLAIMS MANAGEMENT SYSTEMS: AUTOMATION Customs claims management systems need to be updated to provide for the following: 1.All claims must be automated. (such as Supplemental Duty Bills, Penalties, Liquidated Damages, Debt Vouchers, passenger user fees, etc.) | 3/5/2008 | Pending | A2.4 | MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 670) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 03/05/2008 - Submitted for CBP GIF Board Review. Assigned CSPO-GIF-670 - Pending GIF Board Review. Refer to REV-009 for more detail. |
| | | | <i>Owner:</i> | Monica Crockett | | |
| REV-009.2 | 671 | CLAIMS MANAGEMENT SYSTEMS: MULTIPLE BONDS Customs claims management systems need to be updated to provide for the following: 2.Mechanisms must be provided to recognize that there may be multiple bonds covering an entry and insure that claims are issued to the correct surety. Further, in the case of co-surety, the system must also insure that all sureties are notified in the event of a claim. | 3/5/2008 | Pending | A2.4 | MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 671) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 03/05/2008 - Submitted for CBP GIF Board Review. Assigned CSPO-GIF-671 - Pending GIF Board Review. Refer to REV-009 for more detail. |
| | | | <i>Owner:</i> | Monica Crockett | | |
| REV-009.3 | 672 | CLAIMS MANAGEMENT SYSTEMS: RECOGNIZABLE FORMAT Customs claims management systems need to be updated to provide for the following: 3.When making a claim, the supporting data that may be in an electronic form must be provided in a means that can be read by the surety and in a format that can be used in any proceeding action against a bond principal. | 3/5/2008 | Pending | A2.4 | MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 672) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 03/05/2008 - Submitted to CBP for GIF Board Review. Assigned CSPO-GIF-672 - Pending GIF Board Review. Refer to REV-009 for more detail. |
| | | | <i>Owner:</i> | Monica Crockett | | |
| REV-009.4 | 673 | CLAIMS MANAGEMENT SYSTEMS: TRADE NOTIFICATION Customs claims management systems need to be updated to provide for the following: 4.Claim notifications to the trade should be upgraded to contain more information to help the trade in determining the reason for the bill. Also Customs should provide a mechanism for the trade to re-print a notice. | 3/5/2008 | Pending | 2.4 | MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 673) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 03/05/2008 - Submitted to CBP for GIF Board Review. Assigned CSPO-GIF-673 - Pending GIF Board Review. Refer to REV-009 for more detail. |
| | | | <i>Owner:</i> | Monica Crockett | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|---|------------------------|---------------|--------------------|---|
| REV-010 | 674 | REFUND TO SURETIES: All refunds on payments by the surety under its bonds will be tendered directly to the surety with applicable interest, rather than to the bond principal. | 11/5/2001 | Pending | A2.4 | <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 674) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>Sept 2008 - Deferred to A2.4. Being tracked in the Dimensions Data Base as GIF 674</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review. Assigned CSPO-GIF-674 - Pending GIF Board Review.</p> <p>01/28/08: REV-GIF-010 prepared. May overlap with a Report GIF in the Accounts committee. The Revenue committee will submit this GIF separate from REV-004 and REV-005.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>Will require a GIF for A2.</p> <p>Explanation of staged functionality: Release 5 - Initial refund processing including reconciliation and entry summary liquidation Release 7 - Refunds on drawback, protest, IASS</p> <p>10/14/05: Mapped to ESAR A2: Initial refund processing including reconciliation, drawback and entry summary liquidation A3: Refunds on protest, IASS</p> <p>11/2/05: OPEN issue</p> <p>11/28/06: All Surety related requirements (REV-008, 009, 010, 014, 018) will be discussed in a separate meeting and updates provided.</p> |
| | | | | | | <p><i>Owner:</i> Monica Crockett</p> |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|--|------------------------|---------------|--------------------|---|
| REV-011 | 675 | SETTLEMENT OF USER FEES: The system will provide functionality that allows for settlement of all user fees not collected in connection with entry of merchandise and not otherwise provided for in the requirements issuing from this committee, e.g., the customs brokers user fees specified in 19 C.F.R. 111.96(c) and 111.96(d), by check at a single Customs office designated for collection of payments or by EFT or similar electronic means through ACE. | 2/12/2002 | Pending | A2.4 | MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 675) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Sept 2008 - Deferred to A2.4. Being tracked in the Dimensions Data Base as GIF 675 03/05/2008 - Submitted for CBP/GIF Board Review Assigned CSPO-GIF-674 - Pending GIF Board Review. 01/28/08: REV-GIF-011 prepared. Ready to submit to CBP for CBP GIF Board review. 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. 6/18/2007: Will require a separate GIF fo A2. June, 2004: While CBP agrees in concept, the goal would be to have such fees collected via broker statement. 9/23/04: The TSN Revenue Committee revised and resubmitted this requirement recommendation based on the clarification requested by CBP (above). 10/14/05: Mapped to ESAR 11/2/05 -- facilitated by ACH payments on bills. 11/28/06: On schedule for implementation in A2. <i>Owner:</i> Monica Crockett |
| REV-012 | 774 | REDIRECTION OF BILLS, REFUNDS, & LIQUIDATION NOTICES: The system will provide functionality equivalent to the current CF4811 that allows an importer to redirect CBP bills, refunds and notices of liquidation, singly or in any combination. Additionally, the system will provide for these changes to be defined, at the importer's discretion, with maximum flexibility, e.g. A. If an importer employs more than one entry filer, it can employ this functionality to the respective filer for the underlying entry transaction at the entry or summary level. B. It may elect to designate a single account to receive the items. C. It may select only specified entries or summaries for redirection D. It may set-up any logically consistent method for distribution of the items. | 5/17/2002 | Pending | A2.4 | MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 774) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Sept 2008 - Deferred to A2.4. Being tracked in the Dimensions Data Base as GIF 774 Status: 03/25/2008 - ESAR review - in scope for A2.3 4/11/07 - In scope for A2 - No GIF required. This requirement falls under the Account Mgmt business process. 10/14/05: Mapped to ESAR 11/2/05 -- secondary owner: Post Release 11/28/06: Refer to comments on REV-004. <i>Owner:</i> Monica Crockett |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|-------------------------------|------------|---|------------------------|---------------|--------------------|---|
| REV-013 | 676 | BORDER CROSSING FEES: Provide the ability for CBP to recognize a crossing and determine that the carrier has pre-paid the annual border crossing fee or should be billed for a month of crossings (up to 20 crossings annually). | 5/17/2002 | Pending | A2.4 | <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 676) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. ALSO INCLUDES REV-013, REV-013.1, REV-013.2.</p> <p>Sept 2008 - Deferred to A2.4. Being tracked in the Dimensions Data Base as GIF 676</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review Assigned CSPO-GIF-676 - Pending GIF Board Review.</p> <p>01/28/08: REV-GIF-013 prepared. Ready to submit to CBP for CBP GIF Board review.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>6/18/2007: Requires a Gif to cover border pass transponders that allows the account to be debited. Verify legal policy issues.</p> <p>10/14/05: Mapped to CCR</p> <p>11/2/05 -- Not currently planned as one of the ESAR drops.</p> <p>(Reviewed by CCR team June 2006-regular updates will be provided)</p> <p>11/28/06: CBP needs additional information on this requirement prior to implementation.</p> <p>12/11/06: Covers border crossing fees. This issue crosses between MMM and Finance. CBP is moving toward a method for decrementing via transponder technology. The thought is to allow the carrier to pre-pay linking their conveyances to their carrier account and decrementing as trucks cross.</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|-------------------------------|------------|---|------------------------|---------------|--------------------|---|
| REV-013.1 | 676 | A. Carriers not using transponders should be able to present debit and credit cards or cash to CBP at the border. B. Carriers with multiple truck should be able to make one annual payment for the fleet (account) at the beginning for the year. | 5/17/2002 | Pending | A2.4 | MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 676) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.. 01/28/08: Included in REV-GIF-013. - CSPO-GIF-676 Not in the A2 business design. Need to verify with ESAR CBP leads on status. Is a CR in the system? Mapped to CCR 11/2/05 -- Not currently panned as one of the ESAR drops. (Reviewed by CCR team June 2006-regular updates will be provided) 11/28/06: Proposed for inclusion in A2. May require a change request to be submitted. |
| <i>Owner:</i> Monica Crockett | | | | | | |
| REV-013.2 | 676 | Provide the ability for CBP to recognize a crossing and determine that the carrier has pre-paid the annual border crossing fee or should be billed for a month of crossings (up to 20 crossings annually). | 5/17/2002 | Pending | A2.4 | MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 676) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.. 01/28/08: Included in REV-GIF-013 - CSPO-GIF-676. 7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review. 6/18/2007: Same as REV-013 - will require a GIF for A2. 10/14/05: Mapped to CCR 11/2/05 -- Not currently panned as one of the ESAR drops. (Reviewed by CCR team June 2006-regular updates will be provided) 11/28/06: Proposed for inclusion in A2. May require a change request to be submitted. |
| <i>Owner:</i> Monica Crockett | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|---|------------------------|-----------------------|--------------------|---|
| REV-015 | 1034 | Eliminate the requirement for the Trade to present hard copy preliminary statements daily to all ports of entry when filed and paid electronically except when documents are required. When documents are required, reduce the information to be presented to statement header and entry summary information. | 1/14/2004 | Pending CBP L/P Input | A2 | <p>SEPTEMBER 10, 2009 - THIS REQUIREMENT (CSPO-GIF-1034) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW</p> <p>MAY 27, 2009 - Trade seeking OFO support.</p> <p>1/25/08 This requirement to be reviewed by the Entry Committee to determine if this has already been implemented.</p> <p>8/9/07 Need crossover review by Phyllis Henry for Revenue issues.</p> <p>(072704: Changed to L/P. SM) 10/14/05: Pending CBP policy determination.</p> <p>11/2/05: Elim. Requirement to present any paper when all CBP needs satisfied electronically and by ACH. This part of requirement is unnecessary (see CBP Admin Message 93-0458).</p> <p>11/28/06: Status change to "Preliminary CBP Approval". Functionality should be implemented in A2.</p> |

Owner: Monica Crockett

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|--|------------------------|---------------|--------------------|--|
| REV-017 | 677 | <p>PERIODIC MONTHLY NATIONAL STATEMENTS: This requirement will provide for four additional aspects of flexibility to the current periodic monthly statement process as relates to national statements:</p> <ol style="list-style-type: none"> 1.The production of periodic monthly national importer statements sorted by, and consolidating the transactions of single filers, respectively. 2.The production of periodic monthly national and port broker statements in the same accounting period. 3.The production of periodic monthly national broker statements sorted by and consolidating the transactions of single importer, respectively. 4.The ability of the payer to direct each of multiple national statements, whether importer statements or broker statements, produced during the same accounting period for a single importer or filer, to different ports, and with the added flexibility for independently assigned print dates, for transactional settlement. <p>The ability to create national periodic statements is key to leveraging the benefits of both periodic monthly payment and account based business processes in ACE. ACE should provide for national statements to be available both as filer statements by importer and importer statements by filer. ACE should also provide a mechanism by which a filer or importer may create both national and port statements in the same month. The election of a national statement should neither prohibit the future or concurrent creation of statements by port. The "flag" for assignment of a payment to a port or national monthly statement should be moved from the profile to the entry.</p> | 9/24/2004 | Pending | A2.4 | <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 677) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review. Assigned CSPO-GIF-677 - Pending GIF Board Review.</p> <p>01/28/08: REV-GIF-017 prepared. Combined REV-017.1, 017.2, 017.3 and 017.4. Ready to submit to CBP for CBP GIF Board review.</p> <p>7/25/07: GIF requirement will be discussed at the October 2007 Revenue workshop. If required, CBP/AST will prepare the GIF for committee review.</p> <p>6/18/2007: Refers to National Statements. CBP is planning on incorporating but will require four sepaate GIFs. Also a topic for the Statement workshop.</p> <p>10/14/05: Mapped to ESAR</p> <p>11/28/06: National statements are planned for implementation in A2. All 4 points will be covered. All points refer to the statement process in A2.</p> |
| | | | | <i>Owner:</i> | | Monica Crockett |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|-------------------------------|-------------|--|------------------------|---------------|--------------------|--|
| REV-033 | 1073 | <p>ELECTRONIC PETITIONS</p> <p>The trade community requests the capability to file a petition with respect to liquidated damages and penalty cases via ABI and the ACE Secure Data Portal. The account types who currently have the capability to file a petition should have access to the capability in ACE. These account types include:</p> <ul style="list-style-type: none"> • Importer • Broker • Surety • Consignee • Foreign Exporter/Producer • Attorney • Carrier (and NVOCC) • Terminal Operator • CFS <p>The ACE petition system should interface with SECATS system.</p> <p>The petition filer should also have the capability to attach files, images and any supporting document to complete the electronic submission of the petition.</p> <p>CBP should also be able to respond to the petition filed via ACE. CBP should have the capability to input a decision and provide explanation and comments – both text or files/images.</p> | 10/16/2009 | Pending | A2.4 | OCTOBER 16, 2009 - THIS REQUIREMENT (CSPO-GIF-1073) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW |
| <i>Owner:</i> Monica Crockett | | | | | | |
| REV-DBK-001 | 745 | <p>DRAWBACK ACCOUNT TYPE - Establish a Drawback account type to meet the express needs of the drawback claimant that is not defined by the existing ACE Portal Account types. The majority of drawback claimants will have access to an importer or broker account and will file drawback claims via ABI. But there will be instances in which an entity, who is not an importer/exporter and who does not want to use a broker will need ACE Portal account access..</p> | 7/8/2008 | Pending | A2.4 | <p>MAY 28, 2009 - THIS REQUIREMENT (GIF 745) IS PENDING CBP CHANGE CONTROL BOARD REVIEW.</p> <p>July 8, 2008: Entered in Dimensions GIF database. Pending CCB (GIF Board) Review</p> |
| <i>Owner:</i> Monica Crockett | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|--|------------------------|---------------|--------------------|--|
| REV-DBK-002 | 1068 | <p>ESTABLISH DRAWBACK REPORTS: Specific Drawback Reports are required by the trade to better monitor and track the status of drawback claims. Currently three (3) specific reports have been identified to satisfy these requirements: 1) Drawback Import Report; 2) Drawback Claimant Report; 3) Drawback Bond Report. Specific data elements for the reports are listed in the full requirement.</p> | 10/7/2009 | Pending | A2.4 | OCTOBER 7, 2009 - THIS REQUIREMENT (CSPO-GIF-1068) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | <i>Owner:</i> | Monica Crockett | | | |
| REV-DBK-003 | 768 | <p>DRAWBACK RULINGS AND PRIVILEGE APPLICATIONS SUBMITTED TO CBP:</p> <ol style="list-style-type: none"> 1. Ability to file via the ACE Portal, rulings and privilege applications along with scanned supporting documentation with CBP headquarters. 2. Ability to file via the ACE Portal, rulings and privilege applications along with scanned supporting documentation with the CBP Drawback Offices. 3. Ability to view via the ACE Portal for given IR# (with permission from the entity holding the approved ruling or privilege) the rulings and privilege applications approved by CBP headquarters and the CBP Drawback Offices. 4. Ability to view via the ACE Portal for given IR# (with permission from the entity who filed said ruling or privilege application) the approval status of the rulings and privilege applications on file with CBP headquarters and the CBP Drawback offices. 5. Ability to receive via the ACE Portal for given IR# (with permission from the entity who filed said ruling or privilege application) a request for revisions, additional information, or supplemental documentation for rulings or applications that are on file with CBP headquarters or the CBP Drawback Offices | 7/29/2008 | Pending | A2.4 | MAY 28, 2009 - THIS REQUIREMENT (GIF 768) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. |
| | | <i>Owner:</i> | Monica Crockett | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|--|------------------------|--------------------|--------------------|--|
| REV-DBK-004 | 1069 | <p>DRAWBACK PROOF OF EXPORT: Trade requires CBP to accept the Electronic Export Information (EEI) that is filed through ACE as one of the methods for proof of export for a drawback claim. The Internal Transaction Number (ITN) issued to the filer of the EEI will be transmitted on the drawback claim. The ITN number is a unique identifier on the transaction that indicates the exact export commodity data filed on behalf of the exporter (U.S. Principal Party In Interest (USPPI)). The commodity data and export record provide substantial and necessary proof of export and meets the requirement for proof of export under Part 191.72. Proof of exportation requires proof of the date and fact of exportation and identity of the exporter. The date of export will be on this record as provided carrier and the fact of exportation will be established by the information showing destination, vessel and specific description of the exported articles by Schedule B or HTS number, quantity and value.</p> | 10/7/2009 | Pending | M3 | OCTOBER 7, 2009 - THIS REQUIREMENT (CSPO-GIF-1069) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | | <i>Owner:</i> | Vincent Annunziato | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|---|------------------------|---------------|--------------------|---|
| REV-DBK-005 | 1074 | <p>ESTABLISH DRAWBACK CROSS ACCOUNT TYPE</p> <p>The trade requires cross account access for what is typically known as 3rd party drawback, and for situations where a broker is filing drawback on behalf of a claimant.</p> <ul style="list-style-type: none"> In 3rd party drawback, the drawback claimant is not always both the importer and the exporter. For example, a drawback claimant may be the exporter and the drawback claim will be based upon imports that have been transferred from an importer (in another importer's account). The drawback claimant might also be the importer and the drawback claim will involve exports made by an exporter (assuming exports are linked with ACE, in another party's export account). Any other party in the chain (manufacturer, producer, intermediate party) could also be a drawback claimant even though that party is neither the importer nor the exporter. In each of these instances, the claim will be based upon records (either import or export) in the account of another party. Where a broker files drawback, that broker will only have access to the transactions of the importer if the broker was the filer of the import entry. In order properly to prepare a claim or transfer drawback rights, the drawback broker (broker with a power of attorney (POA)) needs access to the transactions of its client even though the record may have been filed by another filer. Drawback claimants and brokers filing drawback need the ability to access this drawback data before the claim is filed in order to view the underlying import data to file claims, to download import entry information, or to view previous drawback claim data. This cross-account access would be based upon importer ID number. In order to grant access, the account would need to identify the specific IR number and perhaps user name for the party allowed access to this data. In addition, in order to prevent access beyond that necessary for drawback, the screen granting access should allow the account to limit this access to records with specific filer codes, dates, HTS numbers, ports, manufacturers, entry numbers, etc. Once the appropriate access has been granted, the party granted access would have the ability within its own portal to query/download to standard desktop application data for liquidated or un-liquidated import entries using selection criteria such as IRN, entry #, port, filer code, import date, entry date, HTS #, liquidation status. | 01/16/2009 | Pending | A2.4 | OCTOBER 16, 2009 - THIS REQUIREMENT (CSPO-GIF-1074) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |

Owner: Monica Crockett

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|--|------------------------|---------------|--------------------|--|
| REV-GIF-023 | 691 | PAYMENTS TO CBP VIA THE ACE PORTAL: Set up payment to CBP via the ACE Portal. | | Pending | A2.4 | <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 691) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>03/18/2008 - Deferred to A2.4. Submitted for CCB Review CSPO-GIF-691</p> <p>03/10/2008 - Account committee added comments. BEMS needs more detail. Currently being reviewed by the Office of Finance.</p> <p>1/30/08: Action to draft GIF from the October 2007 Revenue workshop. Comments from ACT-009.22 included in the requirement. Ready to submit to CBP for CBP GIF Board review.</p> <p><i>Owner:</i> Monica Crockett</p> |
| REV-GIF-024 | 678 | PROTEST REPORT | | Pending | A2.4 | <p>MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 678) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW.</p> <p>03/05/2008 - Submitted for CBP/GIF Board Review. Assigned CSPO-GIF-678 - Pending GIF Board Review.</p> <p>01/30/08: Action to draft GIF from the October 2007 Revenue workshop. Ready to submit to CBP for CBP GIF Board review.</p> <p><i>Owner:</i> Monica Crockett</p> |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|-------------|---|------------------------|-----------------|--------------------|---|
| REV-GIF-026 | 727 | FINANCIAL REPORT IN LIEU OF DAILY STATEMENTS: It is critical that if the Periodic Daily Statement is eliminated that the Trade be able to receive payment information for all entries via ABI. This information is used for purposes such as ensuring that a filer's import system payable information matches the ABI receivable information (soon to be ACE). Returning the periodic daily statement information will enable reconciliation by the filer during the month so payment may be accomplished timely when the monthly statement is issued. The preferred solution would be retention of the current daily periodic statement. This includes entries showing zero payment due. | 8/25/2009 | Pending | A2 | AUGUST 25, 2009 - THIS REQUIREMENT (CSPO-GIF-727) WAS RETITLED AND THE DESCRIPTION WAS REVISED IN THE DIMENSIONS DATA BASE FOR CBP CHANGE CONTROL BOARD REVIEW. ALSO INCLUDES REV-GIF-025. MAY 28, 2009 - Revenue committee will submit part of this requirement and the Entry committee will submit part of the requirement. The Revenue committee will resubmit this requirement as "Replacement of Daily Statements Financial Report" MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 727) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. March 2009 - Revenue committee will divide this GIF into two parts: 1) Post Release and 2) finance. 05/29/2008 - Submitted for CCB review and being tracked in Dimensions data base as CSPO-GIF-727 03/10/2008 - Conference call to be held by committee for further review. 01/30/08: Action to draft GIF from the October 2007 Revenue workshop. Detailed discussion planned for TSN (Feb 2008) |
| | | | <i>Owner:</i> | Monica Crockett | | |
| REV-GIF-027 | 1035 | NOTICE OF LIQUIDATION EXTENSION: Where liquidation has not been suspended or extended the system will generate and give official notice of liquidation at the time of liquidation. If an extended liquidation will be further extended the system will give official notice of further extension prior to the expiration of the last extension. The System will generate and give official notice of liquidation at the time of liquidation, or if that is not possible for any reason, as soon thereafter as is possible regardless of the legal authority by which the liquidation was done. | 3/5/2008 | Pending | A2.4 | SEPTEMBER 10, 2009 - THIS REQUIREMENT (CSPO-GIF-1035) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. Sept 2008 - On hold for review by Legal Policy Committee 03/05/2008 - Being reviewed internally by the Revenue Committee. |
| | | | <i>Owner:</i> | Monica Crockett | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|---|------------------------|---------------|--------------------|--|
| REV-GIF-028 | 723 | STATEMENT OF PAYMENT DELETE: For entries filed at the Port a customs broker may file an entry summary with a notation that the importer did not fund the duty payment, hence no duty tender accompanies the entry summary filing. For RLF entry the current ACS system does not permit deletion of the payment from a statement. The system currently allows this function for non-RLF entries. ACE should provide functionality for both RLF and non-RLF entries to cover this business scenario. Additionally the importer should have near real time visibility to these deletions. | 3/5/2008 | Pending | A2.4 | MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 723) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 05/29/2008 - Submitted for CCB review and being tracked in Dimensions data base CSPO-GIF-723 03/12/2008 - This requirement is in internal committee review. |
| | | <i>Owner:</i> | Monica Crockett | | | |
| REV-GIF-029 | 775 | THIRD PARTY BOND QUERY: The system must identify the query submitter as the bond principal, surety, surety agent, bond filer, or customs broker of record, or other. If other, ACE will return limited information to include: bond on file, the name and address of the principal on that bond, type of bond activity covered. If the submitter matches one of the above identified parties, ACE will return complete bond information. | 3/5/2008 | Pending | A2.4 | MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 775) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. 08/01/2008 - Submitted for CCB review. Being tracked in Dimensions data base as CSPO-GIF-775 03/12/2008 - This requirement is in internal committee review. |
| | | <i>Owner:</i> | Monica Crockett | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|--|------------------------|---------------|--------------------|---|
| REV-GIF-030 | 990 | <p>NOTICE TO BOND FILER FOR PM STATEMENT ACTIVATION AND DEACTIVATION: Business Scenario problem that pertains to recommended change: Currently, there is no notice to the surety or the bond filer when an importer is approved for participation in periodic payment processing. When the bond rider was eliminated in the September 22, 2005 Federal Register notice, CBP agreed to "...notify sureties, electronically, of a bond principal's participation in the test." Description of Recommended Change: The system must generate a notice to the surety and bond filer that an importer has been authorized for PM Statement, including the name and I.D. number of the importer, the bond number, the three digit surety code and the name and contact of the party that initiated the authorization. Additional Comments: ACE should provide visibility for an obligor to any party that creates an obligation for their account. A customs bond is a "...legally enforceable contract between a first party (the principal or obligor), a second party (the customer or obligee) [CTB], and a third party (the surety, such as a bank, bonding company, or insurance company) whereby the surety guarantees payment of a specified maximum sum, or to otherwise compensate (indemnify), the obligee against damage or loss caused by the actions (or a failure to perform) of the obligor."</p> | 8/25/2009 | Pending | A2.3 | AUGUST 25, 2009 - THIS REQUIREMENT (CSPO-GIF-990) IS PENDING CBP CHANGE CONTROL BOARD REVIEW. |
| | | <i>Owner:</i> | Monica Crockett | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|---|------------------------|-----------------|--------------------|---|
| REV-GIF-031 | 991 | <p>ELECTRONIC PROTESTS: The trade community requests the capability to file a protest via the ACE Secure Data Portal. The account types who currently have the capability to file a protest should have access to the capability in ACE. These account types include:</p> <ul style="list-style-type: none"> •Importer •Broker •Surety •Consignee •Drawback Claimant •Ship's Master •Vessel Owner/Operator •Foreign Exporter/Producer •Attorney <p>The ACE protest system should interface with ACE entry summary records similar to what it does today. The capability requested is to automate the protest filing process or automating the submission 514 protests, 520(d) petitions, 181.115 interventions and CBP Form 19. The protestant would enter the required data in the ACE Portal. These data fields are the same ones currently found on CBP Form 19 and include:</p> <ol style="list-style-type: none"> 1.Name and address of the protestant; 2.The importer number of the protestant; 3.The number and date(s) of the entry(s); 4.The date of liquidation of the entry (or the date of a decision); 5.A specific description of the merchandise; 6.The nature of and justification for the objection set forth distinctly and specifically with respect to each category, claim, decision, or refusal; 7.The date of receipt and protest number of any protest previously filed that is the subject of a pending application for further review; and 8.If another party has not filed a timely protest, the surety's protest shall certify that the protest is not being filed collusively to extend another authorized person's time to protest. 9.Whether accelerated disposition is being requested | 8/25/2009 | Pending | A2.4 | AUGUST 25, 2009 - THIS REQUIREMENT (CSPO-GIF-991) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |
| | | | <i>Owner:</i> | Monica Crockett | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|-------------------------------|------------|---|------------------------|---------------|--------------------|--|
| REV-GIF-404 | 404 | Expansion of Broker Reference Field on Entry and Entry Summary Records. Increase the length of the Broker Reference Number to 16 characters in the following places in ABI CATAIR: Entry Optional Input (currently pos 39-47) in record identifier H2; Entry Conditional Output (currently pos 19-27) in record identifier H6; Entry Summary Optional Input (currently pos 40-48) in record identifier 20; Entry Summary Conditional Output (currently pos 70-78 in record identifier H1. | 10/6/2006 | Pending | M2 | 11/28/06: The configuration Management Team reviewed this GIF. Status Reported - "CSPO-GIF-404 - Expansion of Broker Reference Field on Entry and Entry Summary has been disapproved (11/08/06). This GIF will not be implemented for ACS; it will be considered for A2 Status has been changed to "Pending" |
| <i>Owner:</i> Monica Crockett | | | | | | |
| REV-GIF-611 | 611 | SURETY ACCESS TO AUTHORIZED DATA EXTRACT: When there is a breach of bond conditions on an entry and/or entry summary, the surety which has underwritten the bond covering the entry/entry summary is entitled to all information related to that entry/entry summary. This gives the surety the information it needs so that it can address any demand against the bond in a timely fashion. The information is necessary for the surety to exercise its rights and obligations to protest, petition, or pay after reviewing the entry data to determine the validity of the CBP demand on the surety | 9/5/2007 | Pending | A 2.4 | MAY 20, 2009 - THIS REQUIREMENT (CSPO GIF 611) HAS BEEN ACCEPTED BY THE CCB ON JULY 9, 2008 AND IS MAPPED TO RELEASE A2.4. (REFERENCE CR 3027) 09/05/2007 - Submitted to CBP for GIF Board Review. CSPO-GIF-611 |
| <i>Owner:</i> Monica Crockett | | | | | | |

| <i>Requirement #</i> | <i>GIF</i> | <i>TSN Requirement Description</i> | <i>Submission date</i> | <i>Status</i> | <i>Release (s)</i> | <i>Comments</i> |
|----------------------|------------|--|------------------------|---------------|--------------------|--|
| TRA-GIF-203 | 929 | <p>The trade would like the following indicators added to the tariff query and download:</p> <ul style="list-style-type: none"> •Other Agency Requirements <ul style="list-style-type: none"> oAdditional agency indicators should be added for any agency that has tariff specific processing and reporting requirements. For example: <ul style="list-style-type: none"> •EPA Required / Maybe required •TSCA (Toxic Substances Control Act) Certification Required •CPSC (Consumer Products Safety Commission) Required •Free Trade Agreements <ul style="list-style-type: none"> oThese need to be returned for all tariff numbers where they can apply. For example: <ul style="list-style-type: none"> •Currently there is an issue with the FTA for the Gaza Strip. Customs requires input of SPI primary N for duty-free on textile items with c/o and c/e JO, but does not return the N with the tariff number. For example: Tariff Numbers 4811.59.4040, 6103.42.1020 and 6109.10.0012 •Restricted countries by HTS. There are many tariff numbers that cannot be used for imports from specific countries and there is no way to know what tariff numbers those are. For example: <ul style="list-style-type: none"> oThere are many tariff numbers that cannot be used for imports from Iran. oNetherland Antilles (AN) and Bahamas (BS) cannot be reported as the country of origin for crude oil because they do not produce the oil, they only store it. oAnother example is with Shrimp and State Department certification based on compliant use of Sea Turtle Excluder Devices (TEDs) by country. <ul style="list-style-type: none"> •On May 1, 2008, the Department of State certified 40 nations and one economy as meeting the requirements set by Section 609 of P.L. 101-162 for continued importation of shrimp into the United States. Section 609 prohibits importation of shrimp and products of shrimp harvested in a manner that may adversely affect sea turtle species. •Ranges of Harmonized Tariff Schedule (HTS) numbers require the submission of a formal entry for commercial shipments valued over \$250 as per CBP Regulations 143.21(a). See Appendix K of CATAIR. For example: <ul style="list-style-type: none"> o3901.10.0010 – 4304.00.0000 o5001.00.0000 – 6706.90.0000 •Some Harmonized Tariff Schedule (HTS) numbers require the submission of a formal entry for commercial shipments regardless of value. See Appendix K of CATAIR. For example: <ul style="list-style-type: none"> o3005.10.1000 3005.90.5090 o9404.90.1000 9404.90.9570 •Rate from Associated - The tariff rate is obtained from another tariff number. CBP internally has a | 7/17/2008 | Pending | A2.3 | JULY 16, 2009: THIS REQUIREMENT(GIF 929) IS PENDING CBP CHANGE CONTROL BOARD (CCB) REVIEW. |

reference code field that performs this function.

- oExample – 9813.00.50, 9017.90.0005-9017.90.0060, 5810.91.0010-5810.99.9000 (“The rate applicable to the article of which it is a part or accessory”)
- Aircraft - The use of “***” as the carrier code on an entry is only permitted if the tariff number is defined as an aircraft. An example: 8802.40.0099
- License, Certificates and Permits
 - oAgriculture License
 - oCanadian Export Sugar Certificate
 - oWool License
 - oCaribbean Basin Trade Partnership Act (CBTPA) Certification
- Example – 9820.11.15
- oAfrican Growth and Opportunity Act (AGOA) Textile Provision Number
- Hong Kong Visa
- oSee Appendix K of CATAIR – Examples: 6103.29.2066, 6104.29.2077 and 6110.90.9018
- Watch Flag - Special processing required for watches and clocks. See statistical notes for Chapter 91. For example:
 - o9109.19.6020, 9101.11.4000
- Sets - Numbers that define a set – “The rate of duty applicable to that article in the set subject to the highest rate of duty” and “The rate applicable to each article in the absence of this subheading”.
 - o9608.50.0000, 8215.20.0000, 8215.10.0000, 8206.00.0000, 8205.90.0000
- TIB fields for TIB Bond 110%, TIB Duration (6 or 12 months), and TIB Extension Limit (2 additional years). For example:
 - o9813.00.20, 9813.00.30, 9813.00.50, 9813.00.55, 9813.00.75
- MPF Not Required – For Example:
 - o9999.00.84, 9813.00.75, 9813.00.30, 9802.00.5030-9802.00.5060
- HMF Not Required – For Example:
 - o 9813.00.75, 9813.00.30
- Chapter 99 Numbers
 - oGrouping Required
 - oDuty Overrides what would normally be on the 1-98 numbers. For example:
 - 9903.02.21, 9903.02.45, 9903.41.10
 - oDuty in Addition to the duty on the 1-98 numbers. For example:
 - 9904.02.01, 9904.02.17, 9904.05.01
 - oTextile and Apparel Goods from Canada and Mexico. For example:
 - 9999.00.54, 9999.00.61 and 9999.00.84
- Group Processing – Tariff numbers that must be grouped with other numbers
 - oFor example: Tariffs that are listed in General Note 25(M) and require 9999.00.84 grouping to exempt

MPF, CBP does not send us an SPI in the tariff file because the 9999.00.84 grouping forces the exemption and no SPI is required, but we have no way of knowing which tariff numbers should be grouped with this 99 number because CBP does not return any flags in the tariff file.

oMore examples: 9017.90.0005 ("The rate applicable to the article of which it is a part or accessory"), 5810.91.0010 ("Free, but in the case of embroidery in the piece not less than the rate which would apply to such product if not embroidered."), Most Chapter 98 and 99 numbers.

- Cotton Assessment Excluded on Associated # and Base #
 - oWhen the cotton fee does not apply even if the HTS requires the fee
 - oFor example if a cotton classification is used with several of the 9802 provisions the fee does not apply. Additionally there is an override code that can be used to let ABI know that the cotton fee does not apply. This override code can be used only on some of the HTS that requires the fee.
 - oExample – 9802.00.4020
 - Province of Origin Reporting Required for Canadian Lumber
 - oExample – 4407.10.0001
 - Column 1 Quantity 1 Requires 1 Decimal Position
 - oExample – 2205.10.6000
 - Column 1 Quantity 1 Requires 2 Decimal Positions
 - oExample – 0402.21.5000
 - Column 1 Quantity 2 Requires 1 Decimal Position
 - oExample – 1701.12.0500
 - Column 1 Quantity 2 Requires 2 Decimal Positions
 - oExample – 0401.30.7500
 - Column 1 Quantity 3 Requires 1 Decimal Position
 - oExample - None in current tariff.
 - Column 1 Quantity 3 Requires 2 Decimal Positions
 - oExample – 5503.40.0000
 - Column 2 Quantity 1 Requires 1 Decimal Position
 - oExample – 2204.10.0030
 - Column 2 Quantity 1 Requires 2 Decimal Positions
 - oExample – 2203.00.0090
 - Column 2 Quantity 2 Requires 1 Decimal Position
 - oExample – 1701.11.0500
 - Column 2 Quantity 2 Requires 2 Decimal Positions
 - oExample – 2204.30.0000
 - Column 2 Quantity 3 Requires 1 Decimal Position
 - oExample - None in current tariff.
 - Column 2 Quantity 3 Requires 2 Decimal Positions
 - oExample - None in current tariff.
 - Quantity 1 – Not Provided for in the Tariff
 - oExample - None in current tariff.
 - Quantity 2 – Not Provided for in the Tariff
 - oExample – 2105.00.1000
 - Quantity 3 – Not Provided for in the Tariff

oExample - None in current tariff.
•Textile Visa/Quota Reporting Qty is Qty 2
oExample – 4202.12.4000
•Singapore (ISI) Eligible
oExample – 3818.00.0010
•Tariff Number Restricted from use with RLF
oExample – 0711.20.1800
•Duty Rate/Amount may be entered
oExample – 5810.91.0010
•IR Tax Rate/Amount may be entered
oExample – 9801.00.1015

Owner: Susan Maskell

Definition of Status categories:

| | |
|---|--|
| Accepted | The user requirement and/or GIF has been accepted by CBP and delivered in an ACE Release |
| Accepted in Part | A portion of the use requirement has been delivered in ACE but other portions of the user requirement are still pending CBP approval |
| Pending | The user requirement is pending CBP review and analysis for a future release |
| Rejected | CBP has rejected this recommendation. |
| Withdrawn | The recommendation is: a) no longer relevant; b) overtaken by events; or c) already incorporated in existing functionality or other recommendations. |
| Preliminary CBP Approval | The user requirement has been agreed to by CBP for planning consideration in a future release, but the requirement has not yet been delivered in an ACE release. |
| Pending CBP HQ Input | The user requirement will require further review by appropriate CBP HQ offices prior to formal CBP CSPO acceptance. |
| Pending CBP L/P Input | Requires CBP L/P review to determine if there are any L/P dependencies that will impact action on the recommendation. |
| Pending Further Committee Review | The user requirement has been returned to the submitting committee for further clarification and specificity. |
| Replaced | Recommendation was completely revised and replaced by a new version of the recommendation and/or included in a GIF |

Release/Drop Categories for Entry Summary Account and Revenue (ESAR) and Cargo Control and Release (CCR)

| | |
|-------------|--|
| | ENTRY SUMMARY ACCOUNTS & REVENUE (ESAR) |
| A1 | Master Data and Enhanced Accounts |
| A2 | Entry Summary and Revenue |
| A3 | Drawback, Protest, and IASS |
| | CARGO CONTROL & RELEASE (CCR) |
| M1 | e-Manifest: Rail & Sea |
| M2.1 | e-Manifest: Air |
| M2.2 | Full Multi-Modal Manifest – All Modes |
| M2.3 | Cargo Release Processes |
| M3 | Enhanced Tracking, Exports (AES), Manifests |